

# Laws of thermal radiation for hyperbolic materials

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Introduction - NFRHT  
oooooooooooo

Hyperbolic media  
oooooo

HMM  
oooooooo

BB law in HM  
oooooooo

Summary  
o

## Introduction - Near-field radiative heat transfer

### Hyperbolic media

### Hyperbolic Multilayer Metamaterial

### Thermal radiation inside HM

### Summary

# black-body radiation

- Planck's radiation law

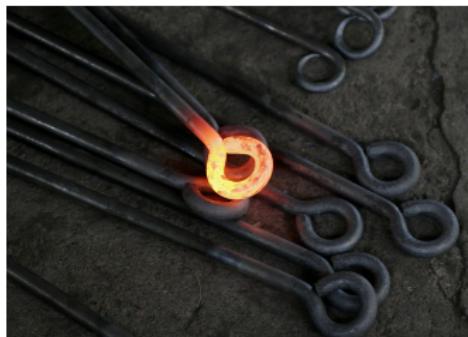
$$u(\omega) = \frac{\omega^2}{\pi^2 c^3} \times \hbar\omega \times \frac{1}{e^{\hbar\omega/k_B T} - 1}$$

- Stefan-Boltzmann's law

$$\Phi_{\text{BB}} = \frac{c}{4} \int d\omega u(\omega) = \sigma T^4$$

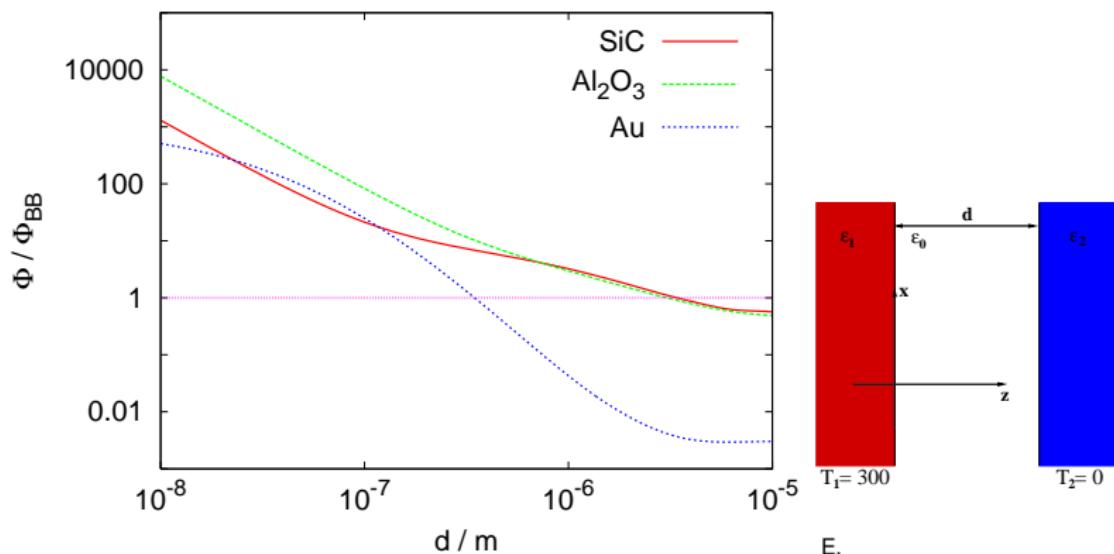
with

$$\sigma = \frac{2\pi^5 k_B^4}{15h^3 c^2} = 5.67 \cdot 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$$



- for real (grey) emitter:  $\Phi \leq \Phi_{\text{BB}}$
- Be careful, this holds only for  $d \gg \lambda_{\text{th}}$  !

# Theoretical predictions



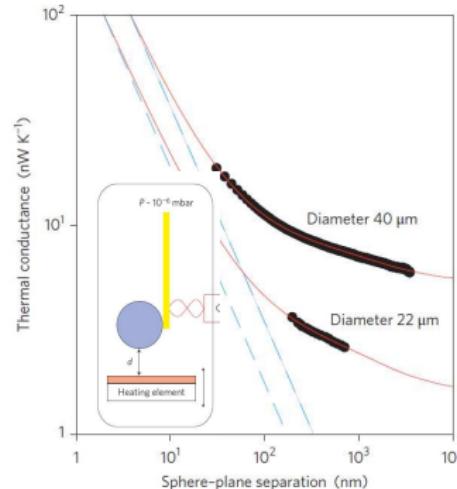
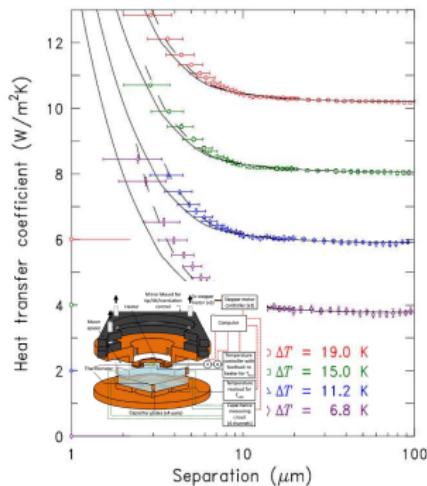
G. Cravalho, C. L. Tien, and B. P. Caren, Trans. ASME Ser. C **89**, 351 (1967)

A. Olivei, Rev. Phys. Appl. **3**, 225 (1968)

Polder and van Hove, Phys. Rev. B **4**, 3303 (1971)

# Some recent experimental results

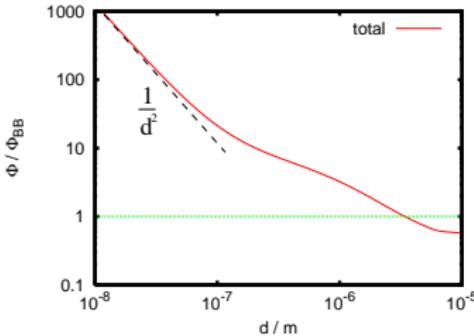
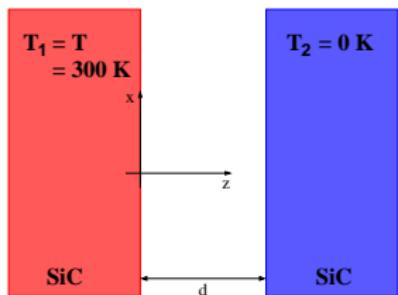
## halfspace - halfspace      sphere - halfspace



- Hu et al., APL **92**, 133106 (2008)
- Ottens et al., PRL **107**, 014301 (2011)
- Kralik et al., PRL **109**, 224302 (2012)
- M. Lim et al., PRB **91**, 195136 (2015)
- Narayanaswamy et al., PRB **78**, 115303 (2008)
- Shen et al., Nano Lett. **9**, 2909 (2009)
- Rousseau et al., Nature Photonics **3**, 514 (2009)
- J. Shi et al., Nano Lett. **15**, 1217 (2015).

# heat flux expression

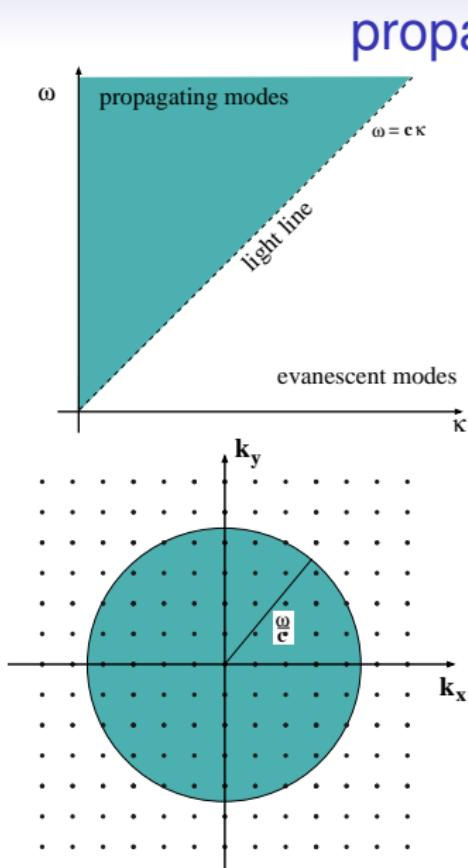
$$\Phi = \langle S_z \rangle = \int \frac{d\omega}{2\pi} \frac{\hbar\omega}{e^{\hbar\omega/(k_B T)} - 1} \int \frac{d^2\kappa}{(2\pi)^2} (\mathcal{T}_s + \mathcal{T}_p)$$



- transmission coefficient (Polder and van Hove, PRB **4**, 3303 (1971))

$$\mathcal{T}_i(\omega, \kappa; d) = \begin{cases} \frac{(1 - |r_i^{10}|^2)(1 - |r_i^{20}|^2)}{|1 - r_i^{10}r_i^{20} \exp(2ik_z d)|^2}, & \kappa < \frac{\omega}{c} \\ \frac{\text{Im}(r_i^{10})\text{Im}(r_i^{20})e^{-2|k_z|d}}{|1 - r_i^{10}r_i^{20} \exp(2ik_z d)|^2}, & \kappa > \frac{\omega}{c} \end{cases}$$

- $\mathcal{T}_i \in [0 : 1]$



## propagating modes

- plane wave

$$E_y = A(x, y; t) e^{ik_z z}$$

- prop. modes  $\kappa < \frac{\omega}{c}$

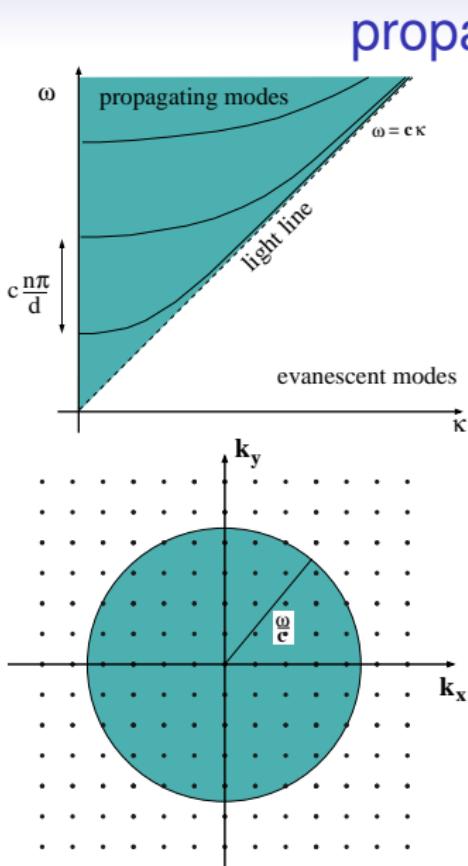
$$k_z = \sqrt{\frac{\omega^2}{c^2} - \kappa^2} \in \mathbb{R}$$

- evan. modes  $\kappa > \frac{\omega}{c}$

$$k_z = i\sqrt{\kappa^2 - \frac{\omega^2}{c^2}} \in \mathbb{C}$$

- res. transmission

$$k_z \equiv \frac{n\pi}{d}, n \in \mathbb{N}$$



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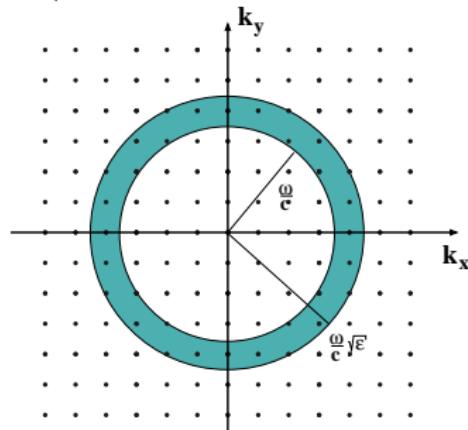
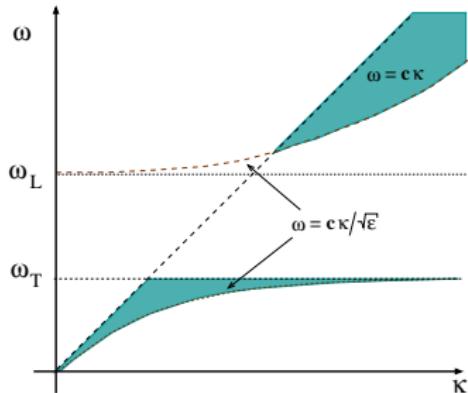
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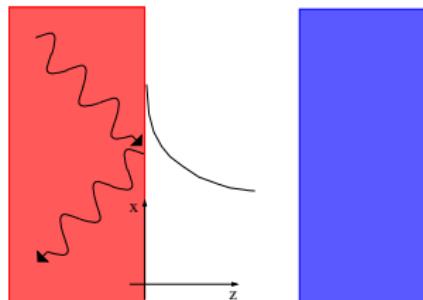
## Frustrated internal reflection



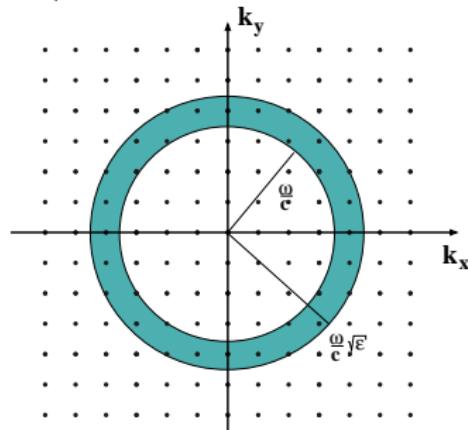
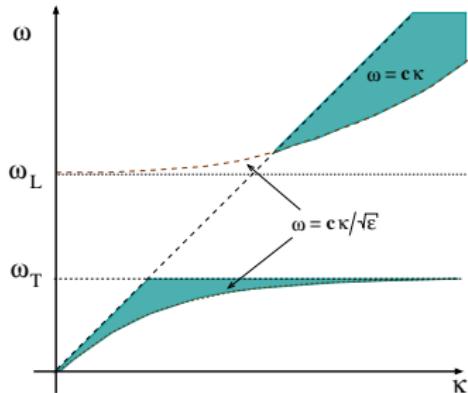
- propagating waves inside the medium

$$k_{1,z} = \sqrt{\frac{\omega^2}{c^2}\epsilon - \kappa^2} \in \mathbb{R}$$

$$\Leftrightarrow \kappa < \frac{\omega}{c} \sqrt{\epsilon}$$



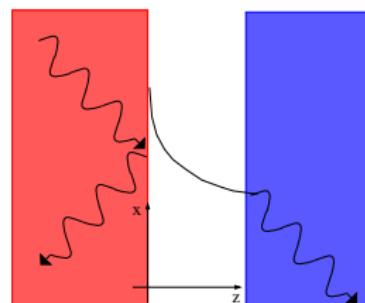
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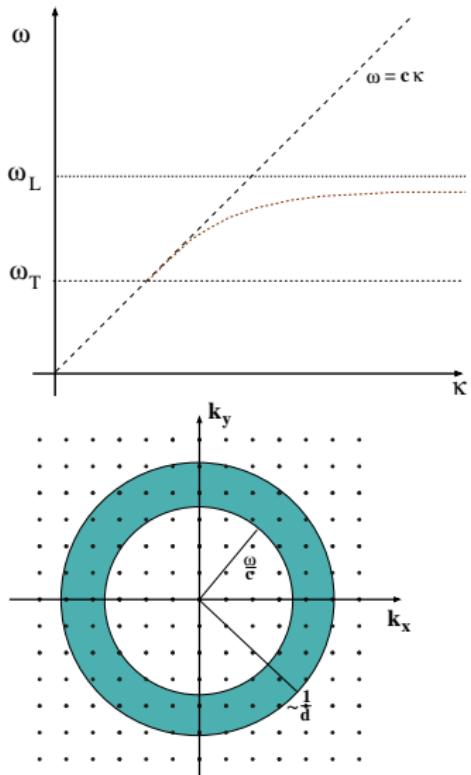
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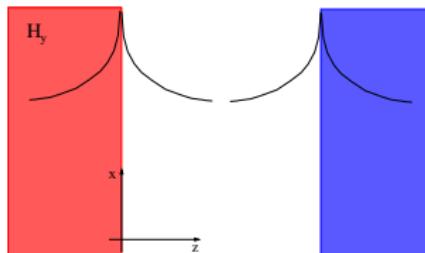


## Surface modes

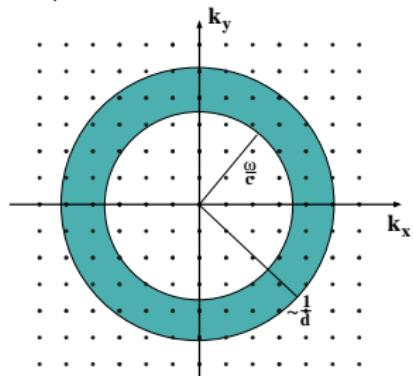
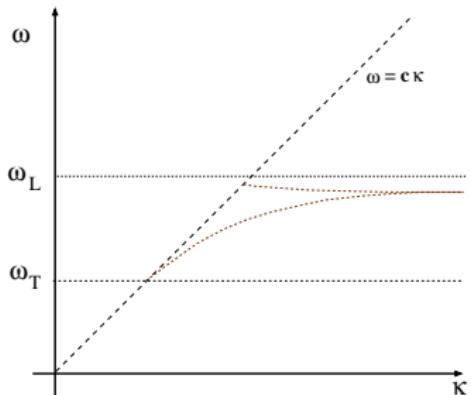


- 'bound' to the surface
- for p-polarisation only
- transmission coeff.  $\kappa \gg \omega/c$

$$T_p \approx \frac{\text{Im}(r_p^{10})\text{Im}(r_p^{20})e^{-2\kappa d}}{|1 - r_p^{10}r_p^{20} \exp(-2\kappa d)|^2}$$

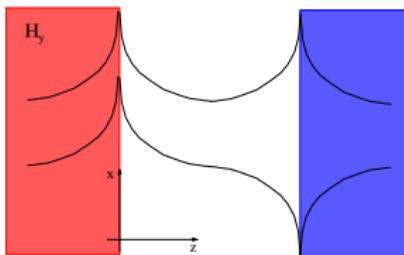


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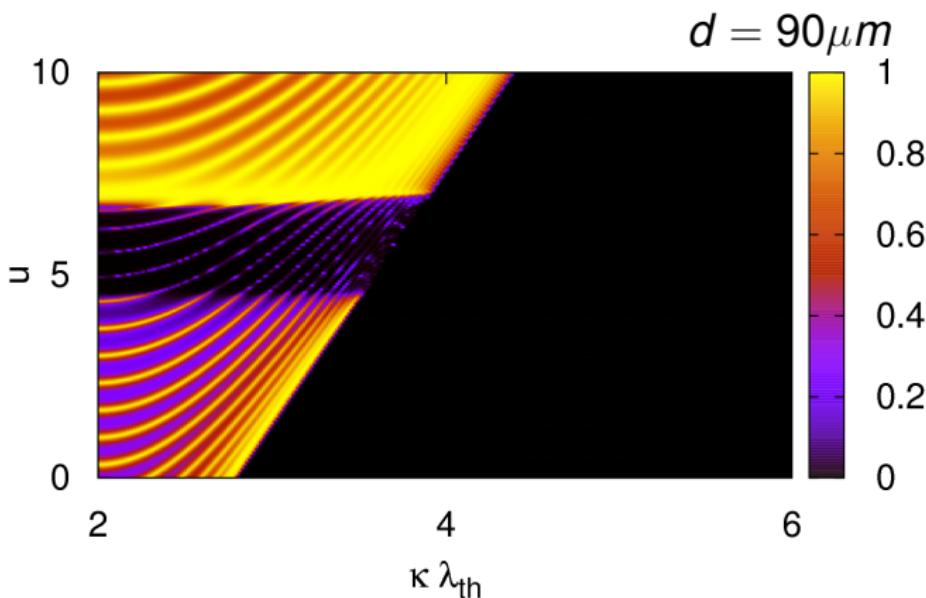


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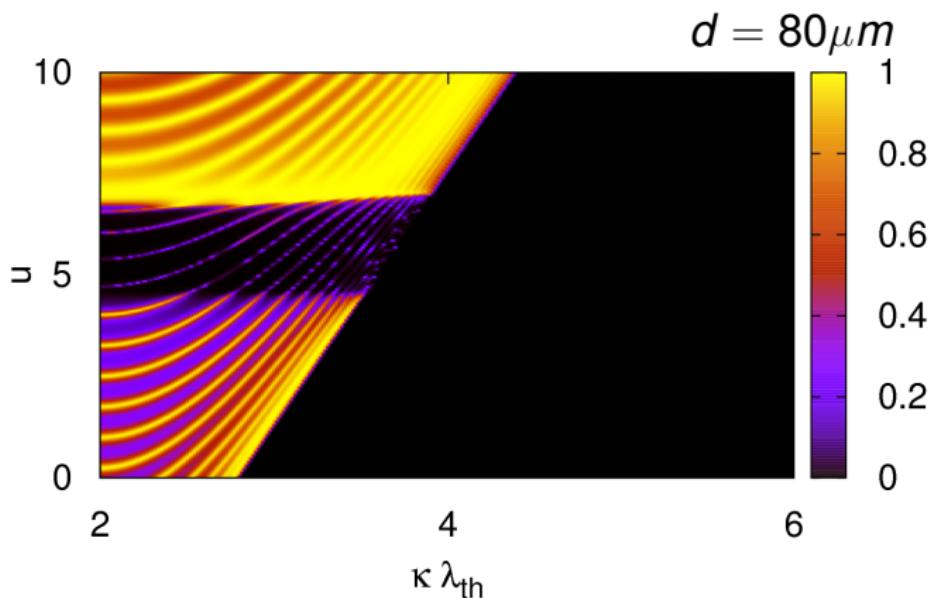
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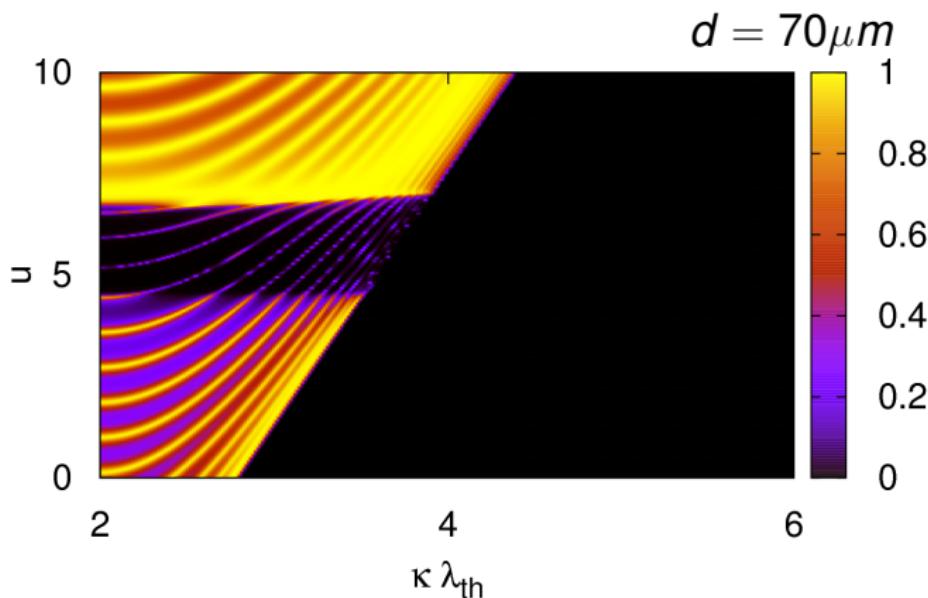
# Transmissions coefficient $\mathcal{T}_p$ (SiC, $u = \hbar\omega/k_B T$ )



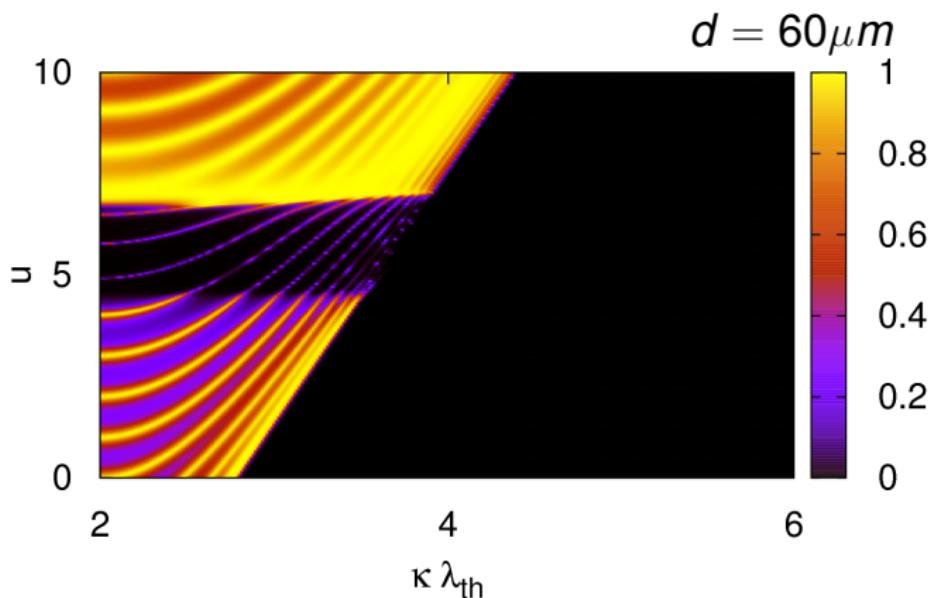
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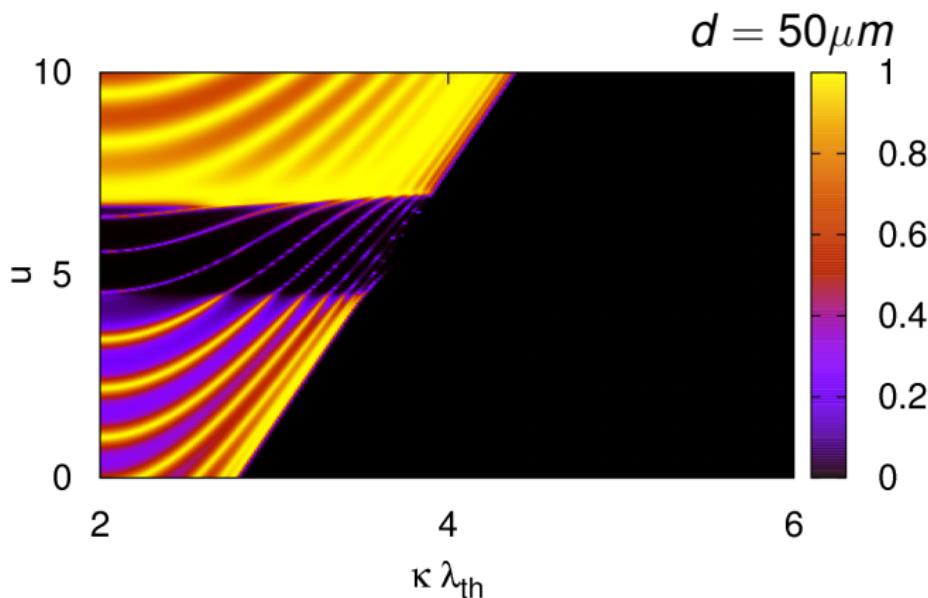
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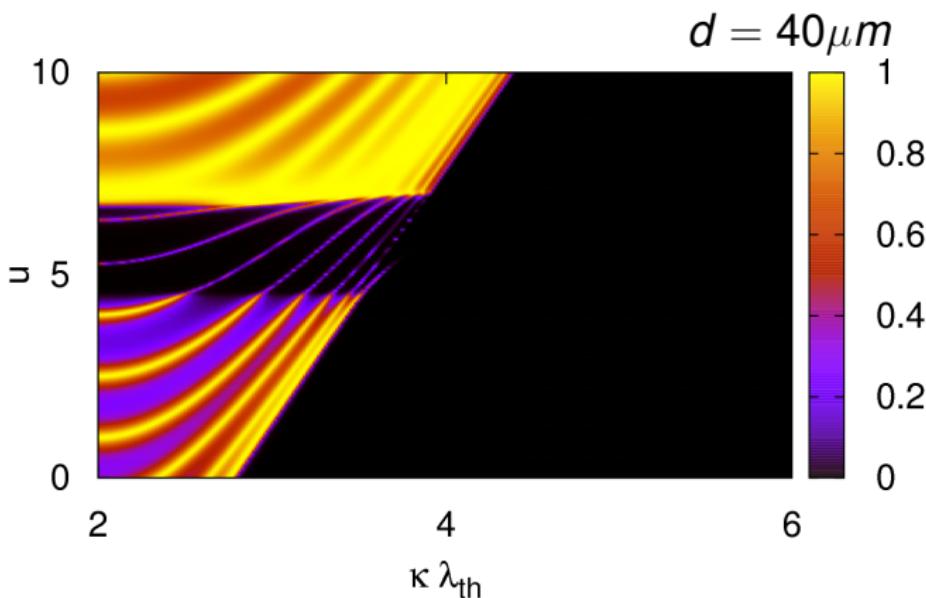
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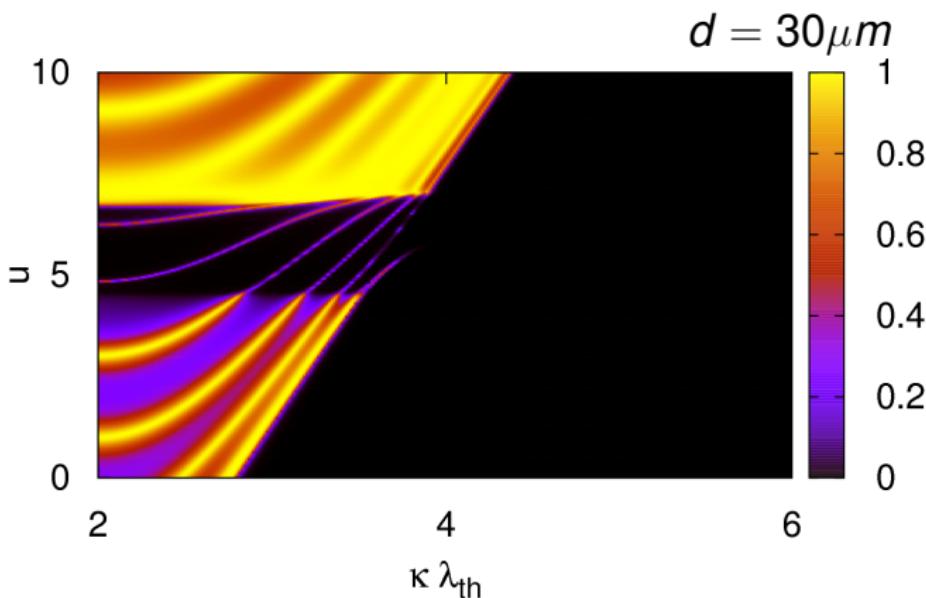
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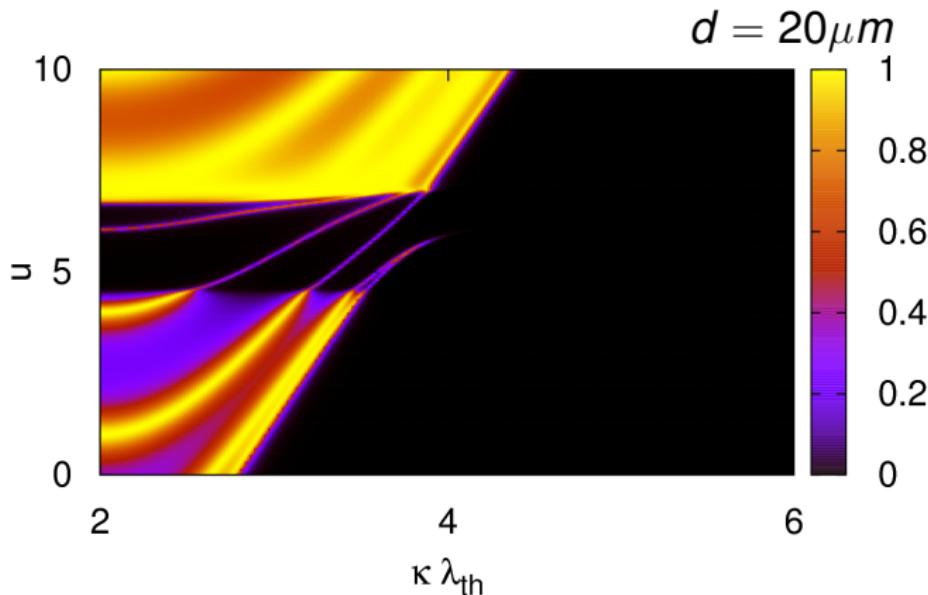
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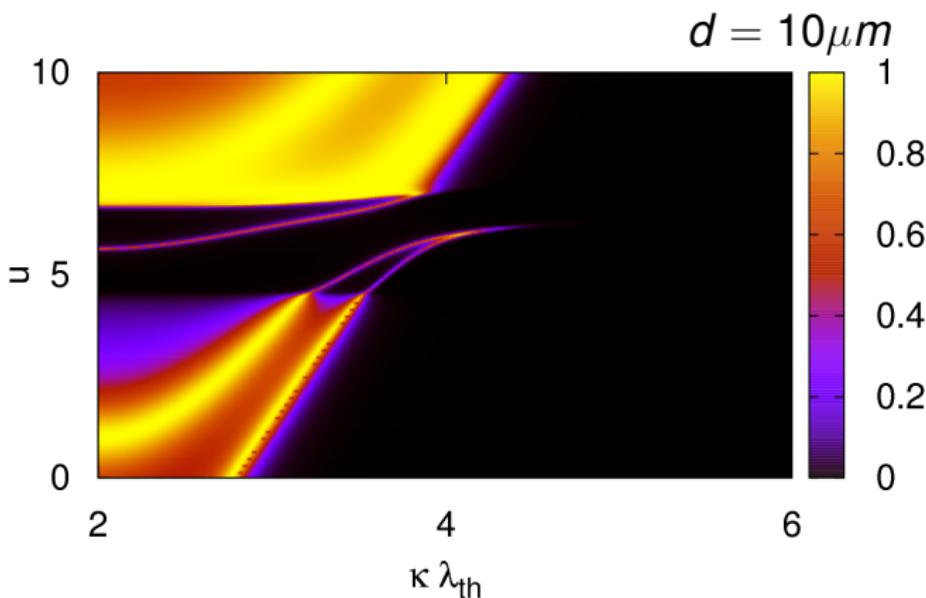
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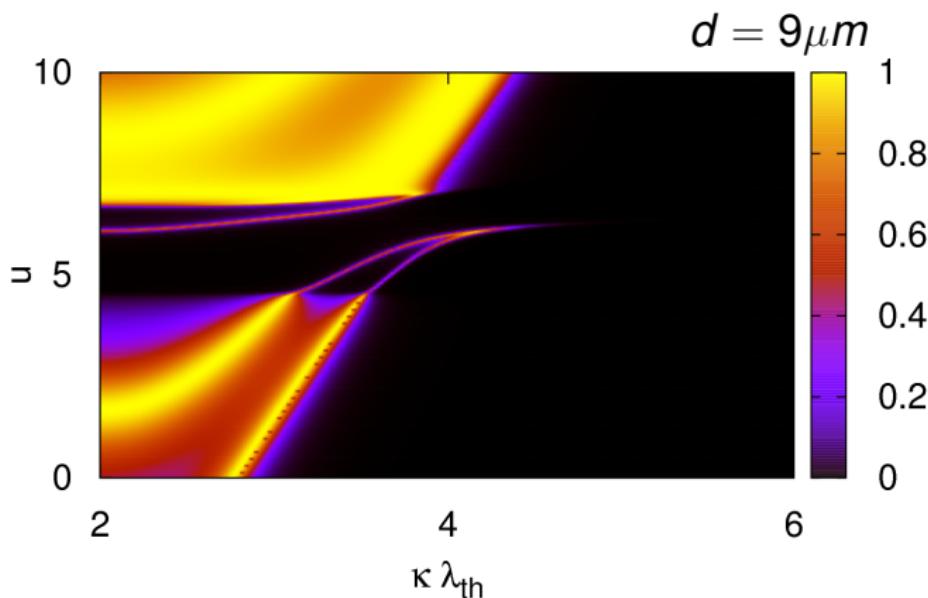
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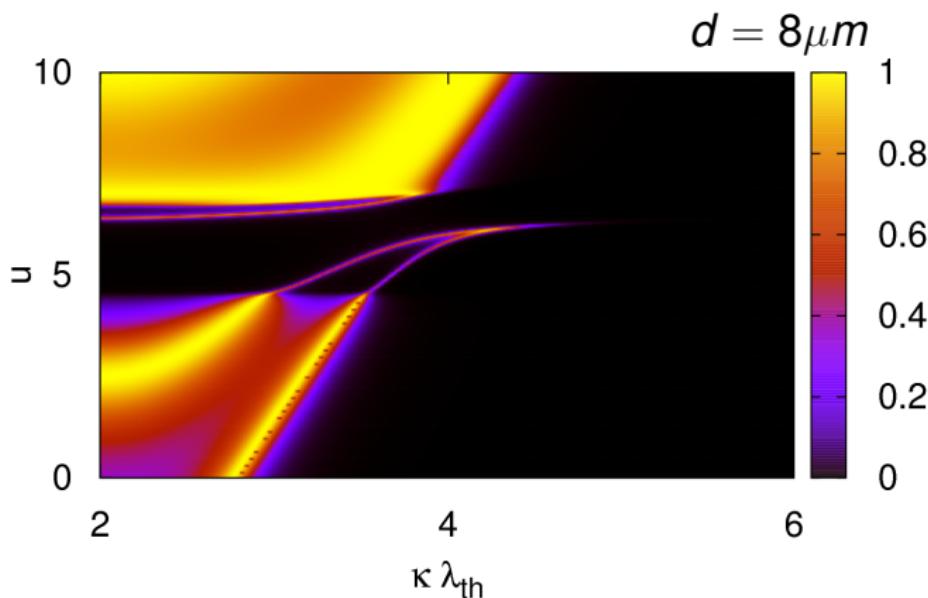
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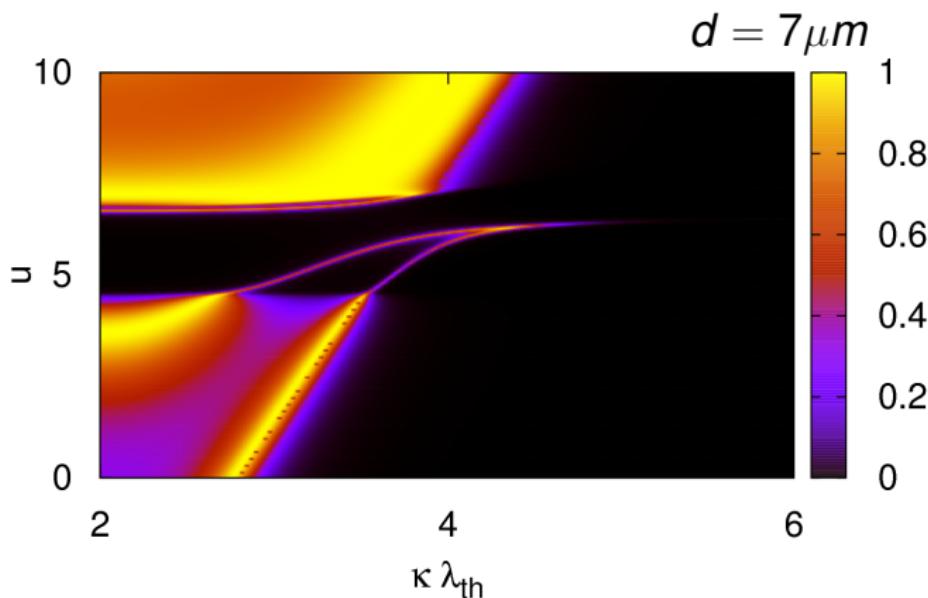
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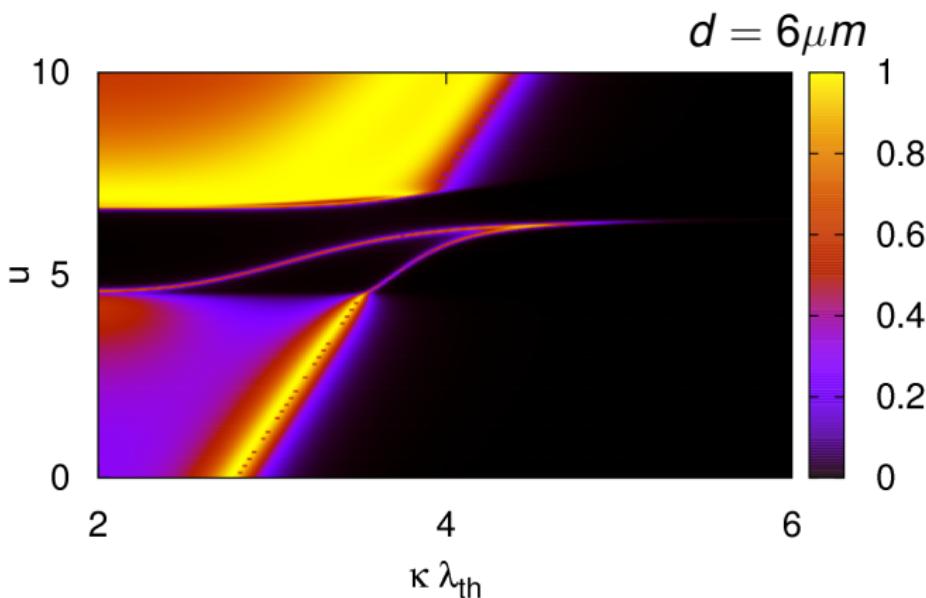
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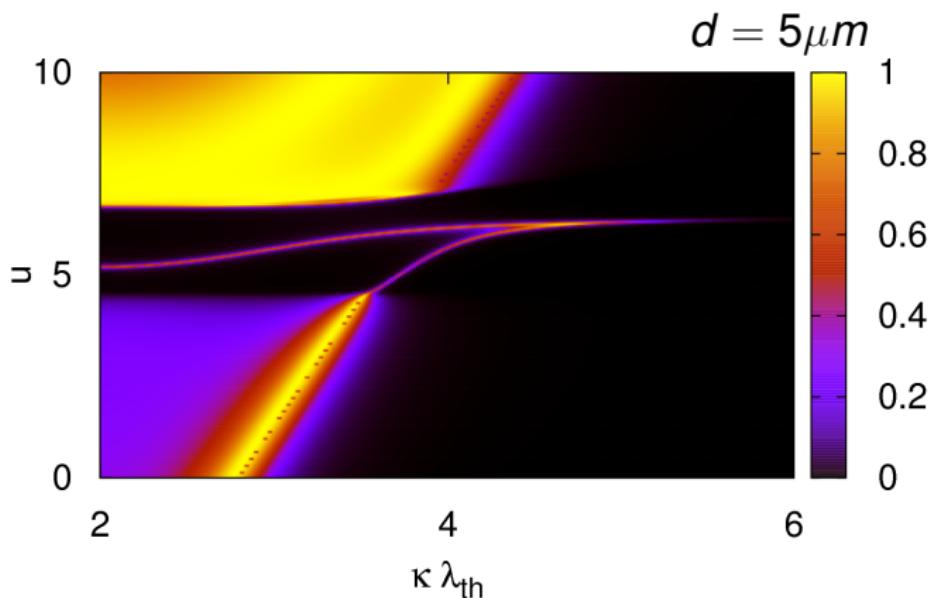
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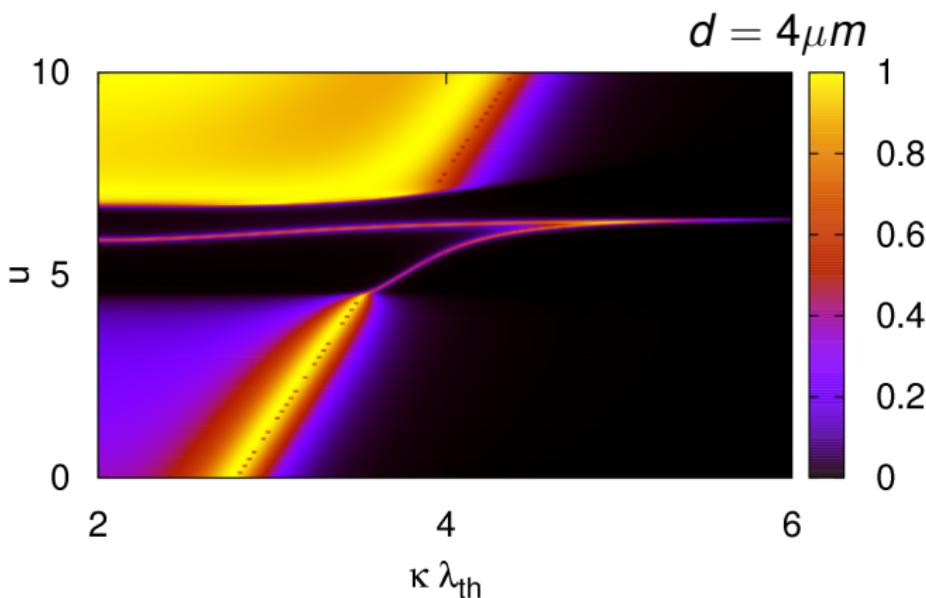
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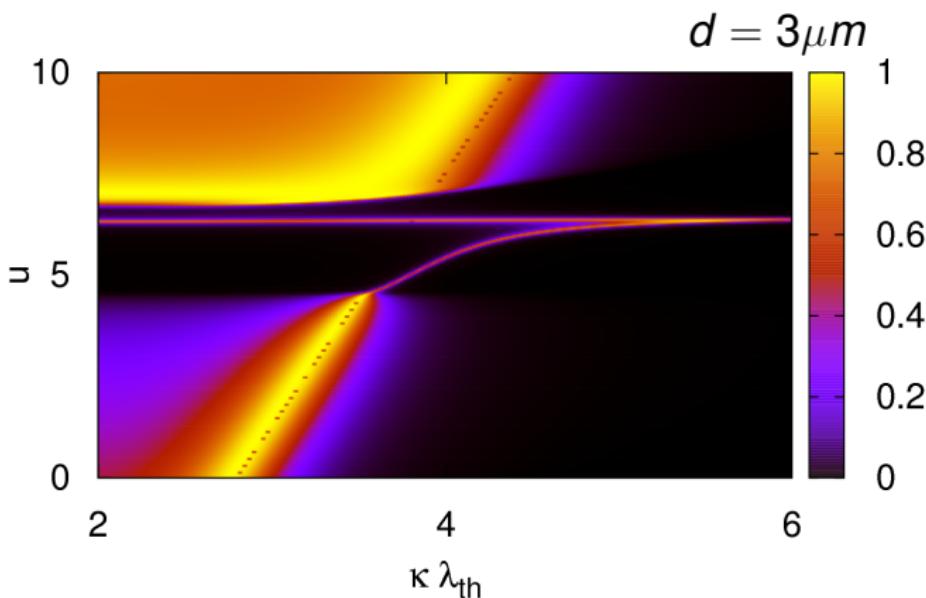
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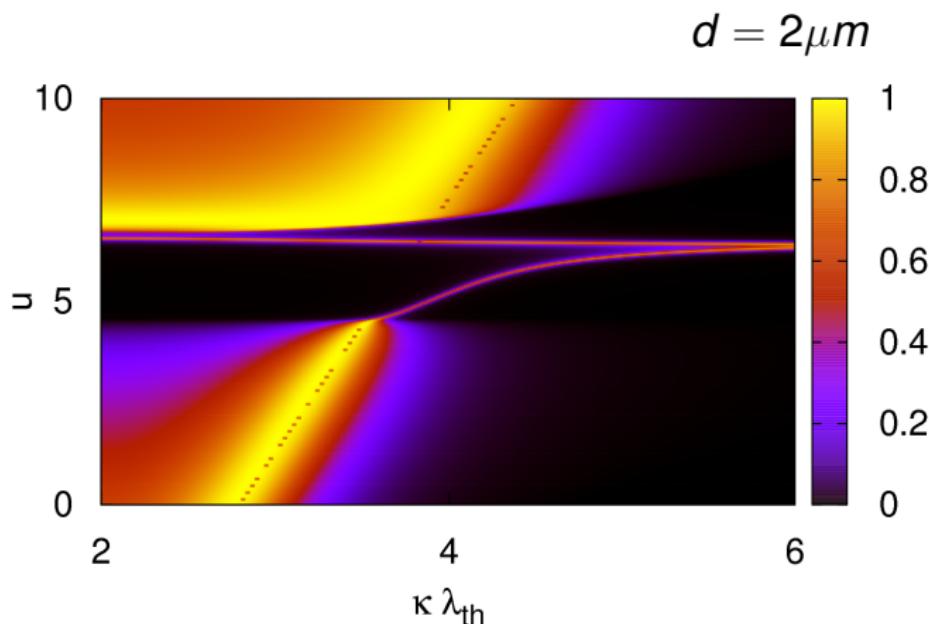
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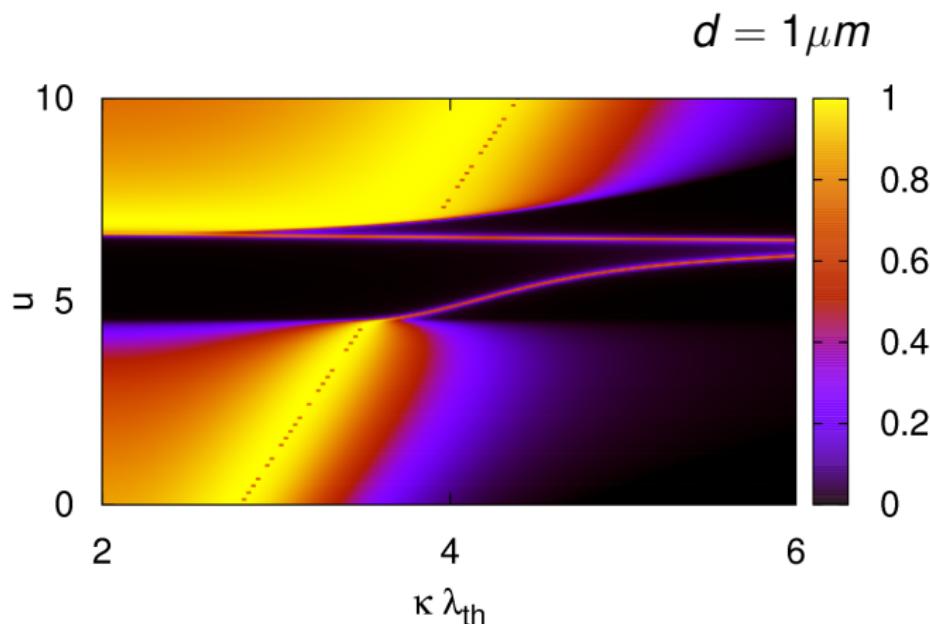
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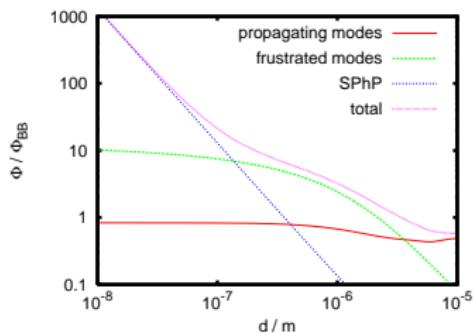
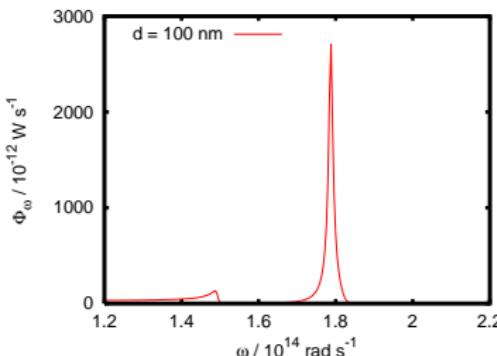
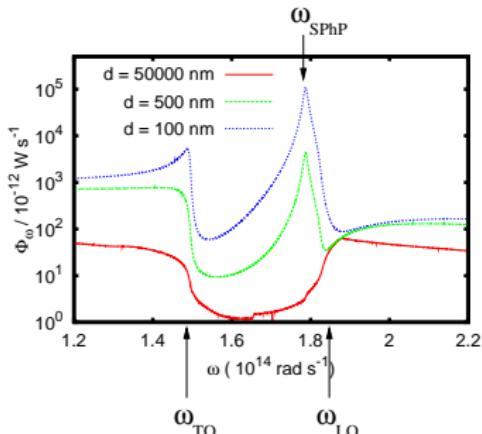
# Transmissions coefficient $\mathcal{T}_p$ (SiC, $u = \hbar\omega/k_B T$ )



# Transmissions coefficient $\mathcal{T}_p$ (SiC, $u = \hbar\omega/k_B T$ )



# heat flux (SiC, $T_1 = 300 \text{ K}$ , $T_2 = 0 \text{ K}$ )

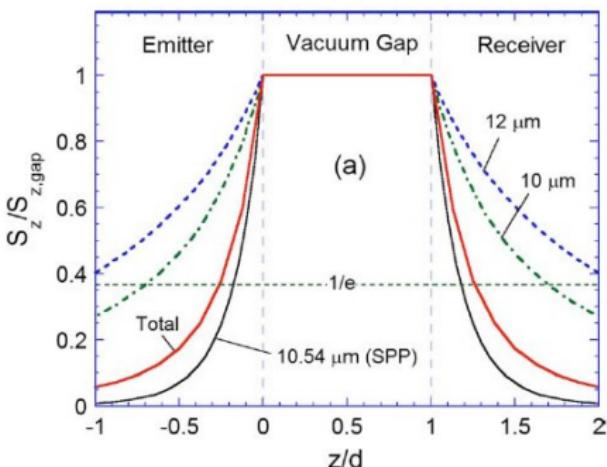


$$\Phi = \int \frac{d\omega}{2\pi} \frac{\hbar\omega}{e^{\hbar\omega/(k_B T)} - 1} \times \int \frac{d^2\kappa}{(2\pi)^2} (\mathcal{T}_s + \mathcal{T}_p)$$

Landauer: Biehs, Rousseau, Greffet, PRL **105**, 234301 (2010)

Limits: Ben-Abdallah, Joulain, PRB **82**, 121419 (R) (2010)

# Penetration depth of surface modes in SiC

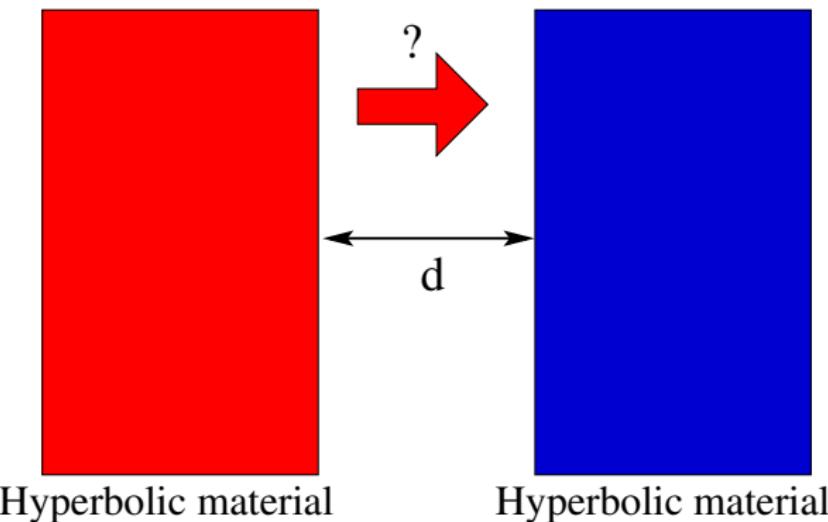


Basu and Zhang, Appl. Phys. Lett. **95**, 133104 (2009)

- Ultrasmall penetration depth ( $d \in [1 \text{ nm}, 100 \text{ nm}]$ )

$$\delta = 0.25d$$

# heat flux between hyperbolic materials?



Nefedov and Simovski, PRB **84**, 195459 (2011)

Biehs, Tschikin and Ben-Abdallah, PRL **109**, 104301 (2012)

Guo et al., APL **101**, 131106 (2012)

# indefinite/hyperbolic media I

- uni-axial materials

$$\epsilon = \begin{pmatrix} \epsilon_{\perp} & 0 & 0 \\ 0 & \epsilon_{\perp} & 0 \\ 0 & 0 & \epsilon_{\parallel} \end{pmatrix}$$

- ordinary modes (OM) and extra-ordinary modes (EM)

$$\text{OM: } \frac{k_{\perp}^2}{\epsilon_{\perp}} + \frac{k_{\parallel}^2}{\epsilon_{\perp}} = \frac{\omega^2}{c^2} \quad \text{EM: } \frac{k_{\perp}^2}{\epsilon_{\parallel}} + \frac{k_{\parallel}^2}{\epsilon_{\perp}} = \frac{\omega^2}{c^2}$$

- dielectrics

$$\epsilon_{\perp} > 0 \quad \text{and} \quad \epsilon_{\parallel} > 0$$

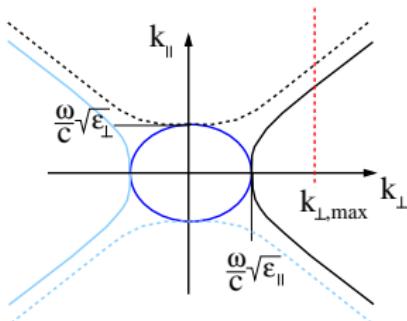
- hyperbolic/indefinite media

$$\epsilon_{\perp} \epsilon_{\parallel} < 0$$

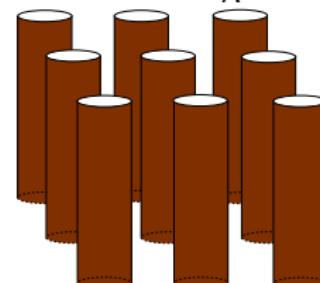
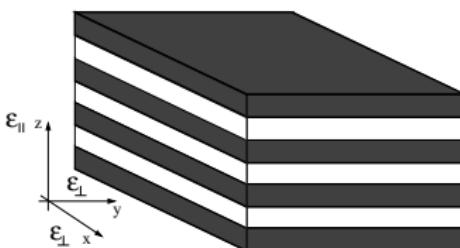
## indefinite/hyperbolic media II

- isofrequency lines  
extra-ordinary modes

$$\frac{k_\perp^2}{\epsilon_\parallel} + \frac{k_\parallel^2}{\epsilon_\perp} = \frac{\omega^2}{c^2}$$



- hyperbolic media  $\rightarrow$  nano-structuration ( $k_{\max} = \frac{\pi}{\Lambda}$ )



- natural hyperbolic media  $\rightarrow$  Bi<sub>2</sub>Se<sub>3</sub>, Sr<sub>2</sub>RuO<sub>4</sub>, etc.

Narimanov and Kildishev, Nat. Photonics 9, 214 (2015)

# Heat flux for anisotropic materials

- heat flux ( $T_1 = T$  und  $T_2 = 0$ )

$$\Phi = \langle S_z \rangle = \int \frac{d\omega}{2\pi} \frac{\hbar\omega}{e^{\hbar\omega/(k_B T)} - 1} \int \frac{d^2\kappa}{(2\pi)^2} \mathcal{T}(\omega, \kappa; d)$$

- Transmission coefficient

$$\mathcal{T}(\omega, \kappa; d) = \begin{cases} \text{Tr} \left[ (1 - \mathbb{R}_2^\dagger \mathbb{R}_2) \mathbb{D}^{12} (1 - \mathbb{R}_1^\dagger \mathbb{R}_1) \mathbb{D}^{12\dagger} \right], & \kappa < \frac{\omega}{c} \\ \text{Tr} \left[ (\mathbb{R}_2^\dagger - \mathbb{R}_2) \mathbb{D}^{12} (\mathbb{R}_1 - \mathbb{R}_1^\dagger) \mathbb{D}^{12\dagger} \right] e^{-2|k_z|d}, & \kappa > \frac{\omega}{c} \end{cases}$$

- Reflection matrix ( $i = 1, 2$ )

$$\mathbb{R}_i = \begin{bmatrix} r_i^{s,s}(\omega, \kappa) & r_i^{s,p}(\omega, \kappa) \\ r_i^{p,s}(\omega, \kappa) & r_i^{p,p}(\omega, \kappa) \end{bmatrix},$$

- 'Fabry-Pérot denominator'

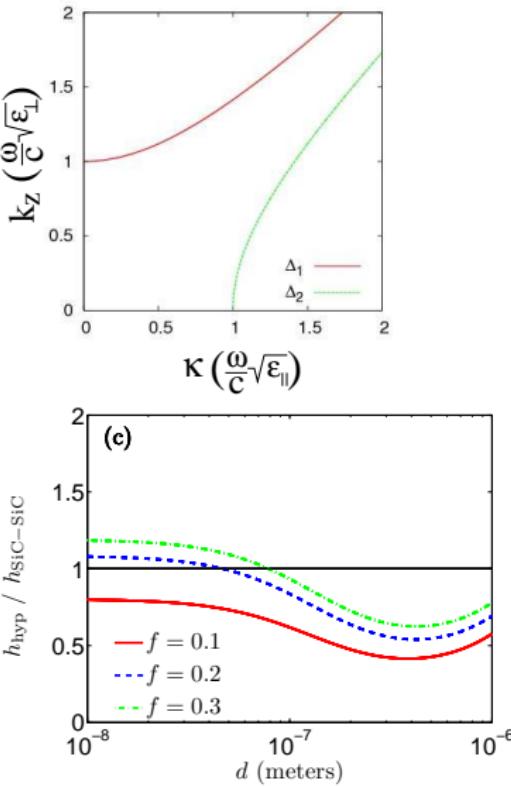
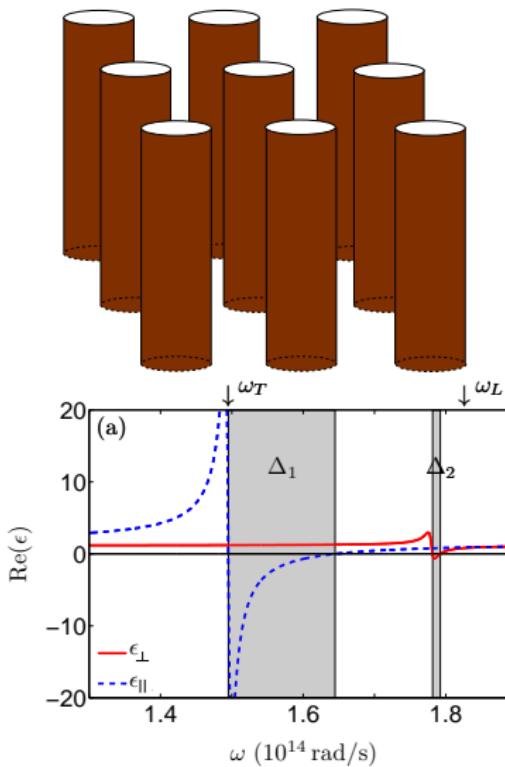
$$\mathbb{D}^{12} = [1 - \mathbb{R}_1 \mathbb{R}_2 \exp(2ik_z d)]^{-1}$$

Biehs, Rosa, Ben-Abdallah, Joulain, Greffet, Opt. Expr. **19**, A1088-A1103 (2011)

Bimonte and Santamato, Phys. Rev. A **76**, 013810 (2007)

Biehs and Ben-Abdallah, Phys. Rev. B **93**, 165405 (2016)

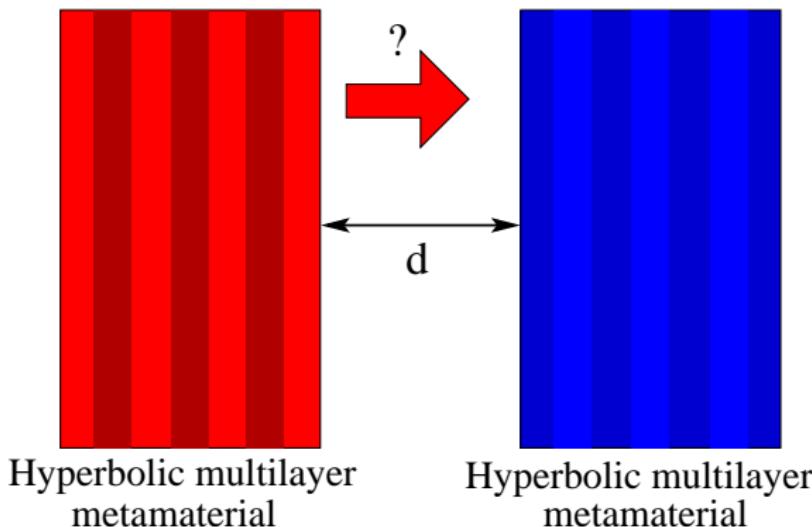
# SiC nanowire phononic-polaritonic hyperbolic medium



Biehs, Tschikin and Ben-Abdallah, PRL **109**, 104301 (2012)

B. Liu and S. Shen, PRB **87**, 115403 (2013); M. S. Mirmoosa et al., JAP **115**, 234905 (2014)

# heat flux between hyperbolic materials?



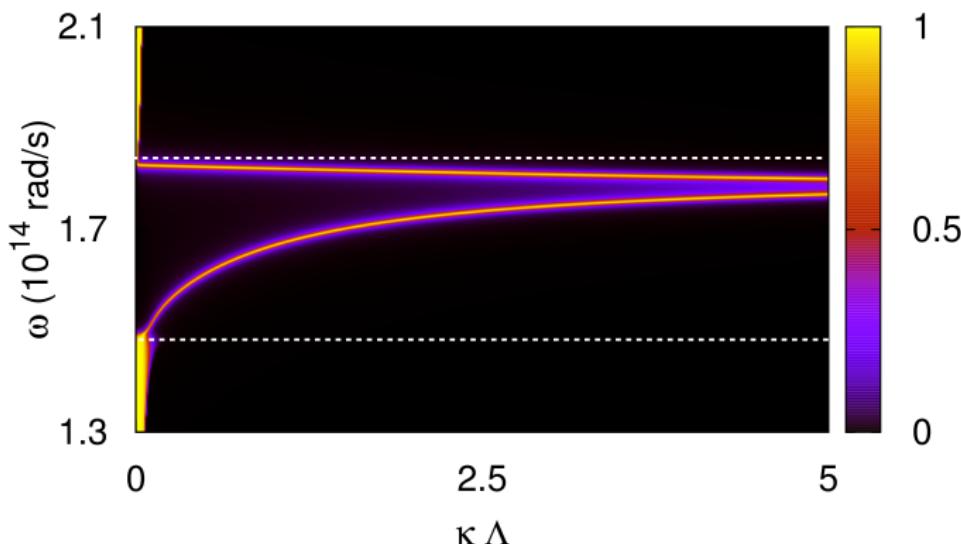
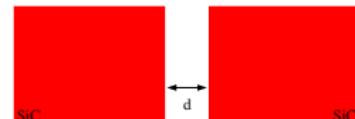
# Radiative heat flux in multilayer systems

- Volokitin and Persson, Phys. Rev. B **63**, 205404 (2001)
- Narayanaswamy and Chen, JQSRT **93**, 175 (2005)
- Biehs, EPJ B **58**, 423 (2007)
- Francoeur et al., APL **93**, 043109 (2008)
- Lau et al. APL **92**, 103106 (2008)
- Ben-Abdallah et al., JAP **106**, 044306 (2009)
- Francoeur et al., JQSRT **110**, 2002 (2009)
- Pryamikov et al., JQSRT **112**, 1314 (2011)
- Tschikin et al., PLA **376**, 3462 (2012)
- Maslovski et al., PRB **87**, 155124 (2013)
- ...

## Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

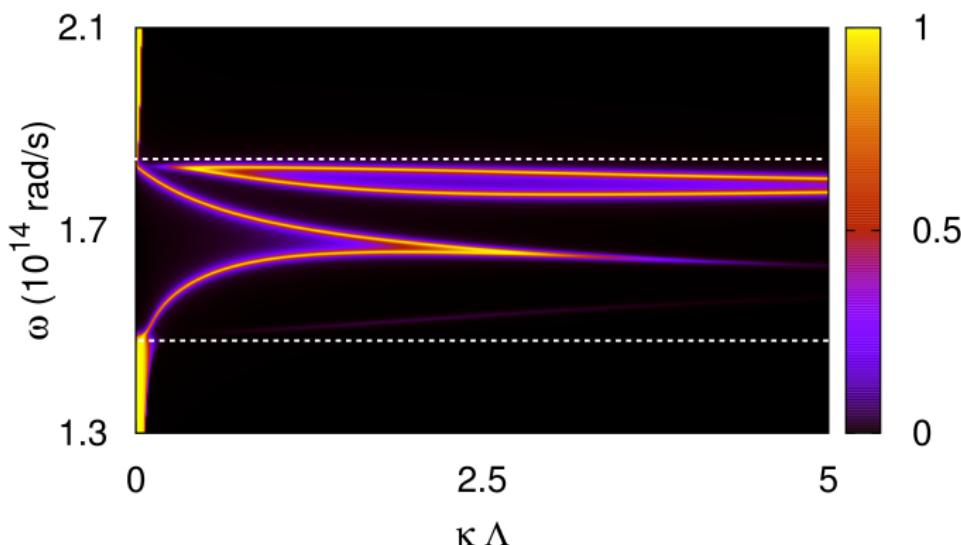
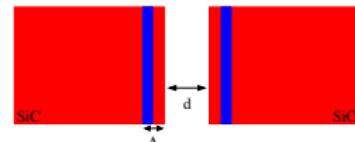
$N = 1$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

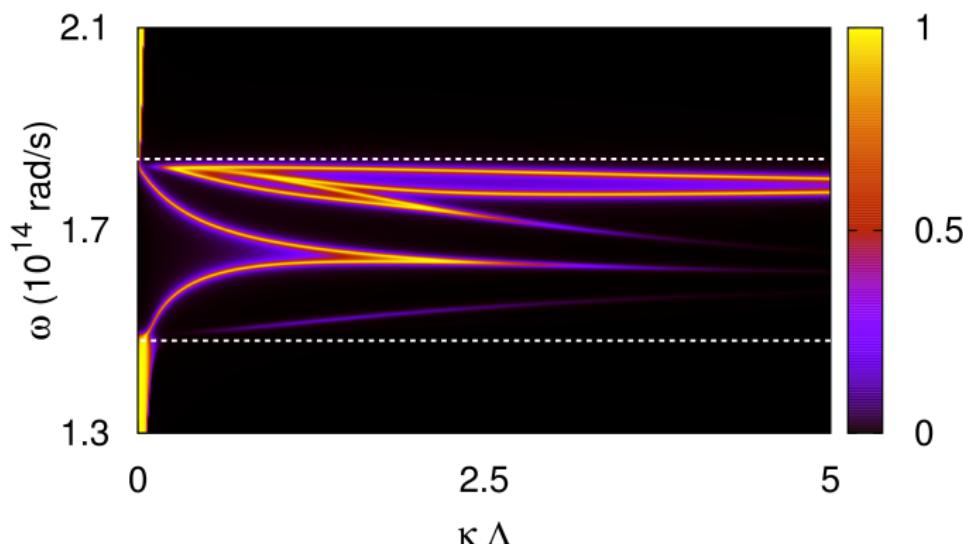
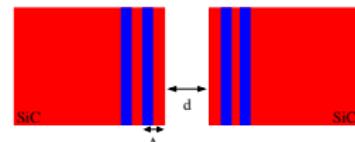
$N = 3$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

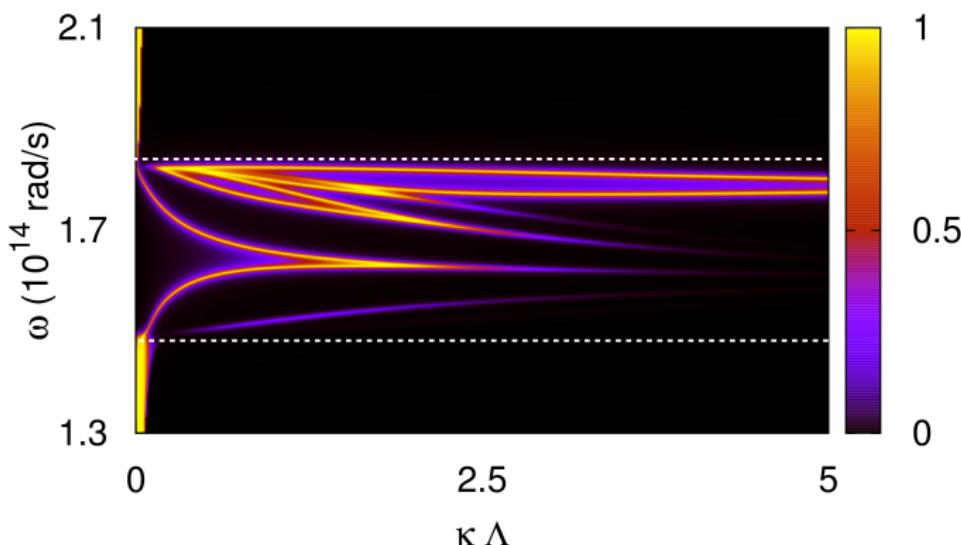
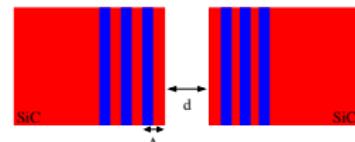
$N = 5$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

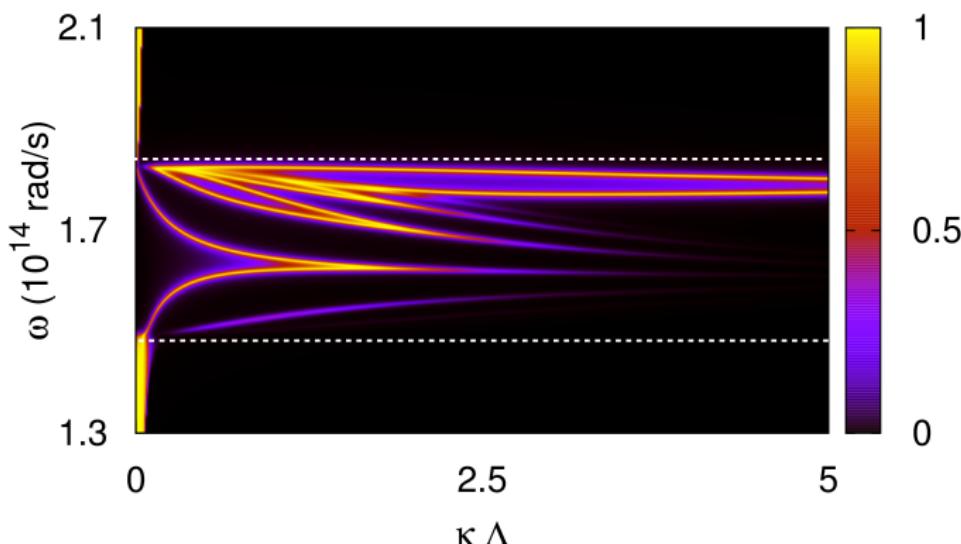
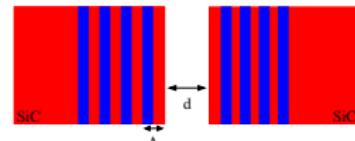
$N = 7$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

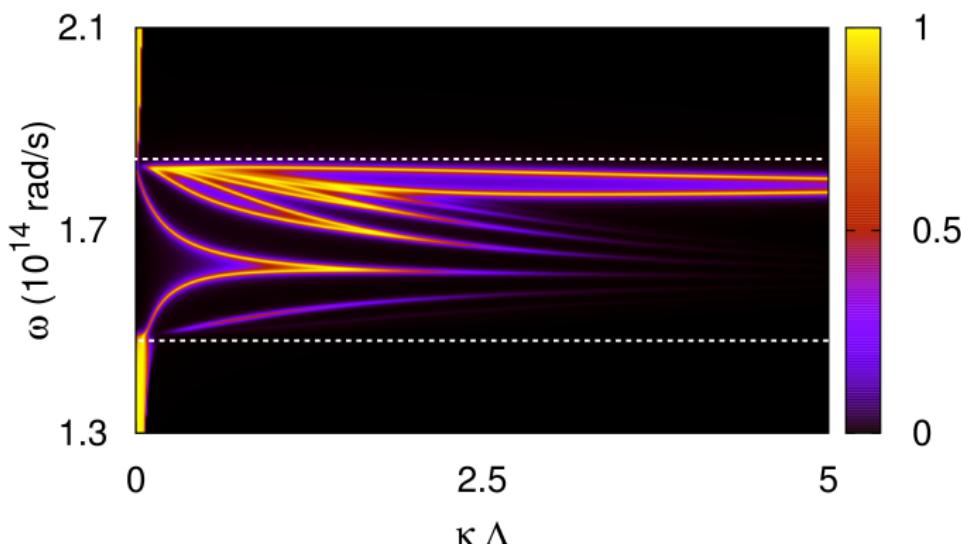
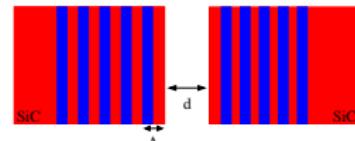
$N = 9$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

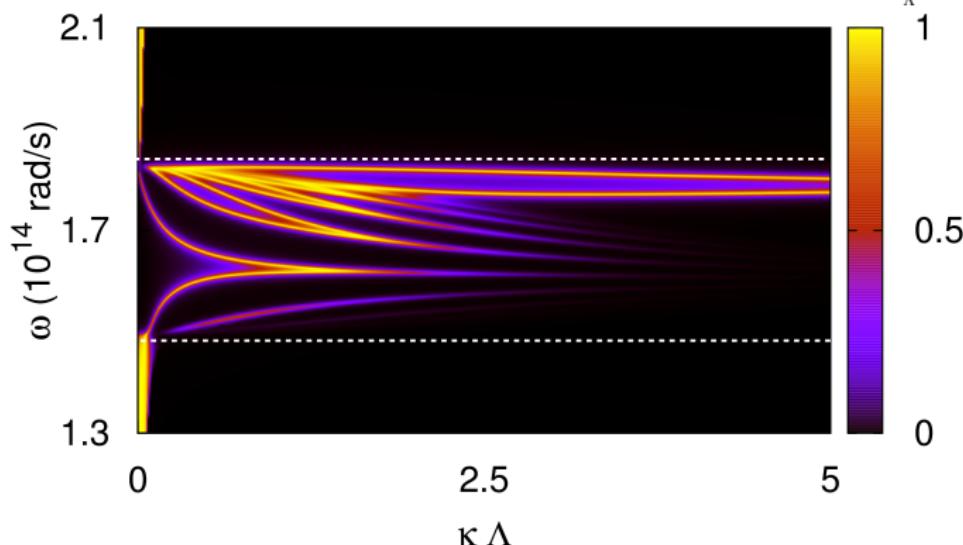
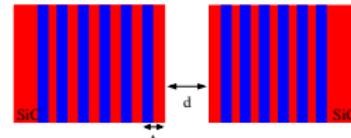
$N = 11$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

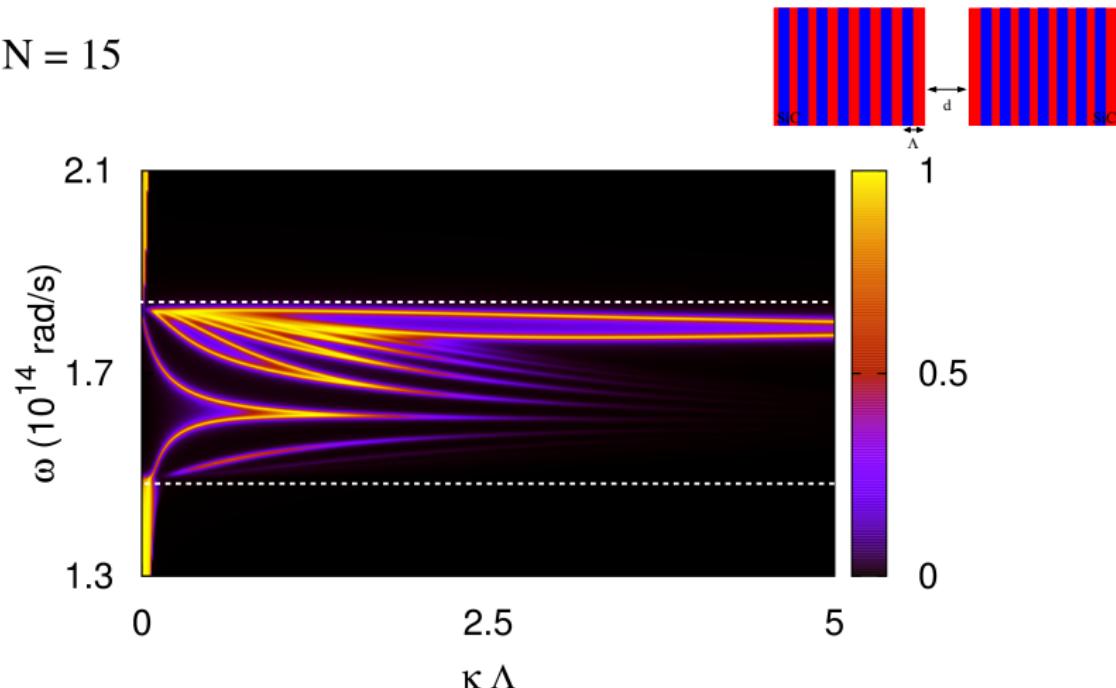
$N = 13$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

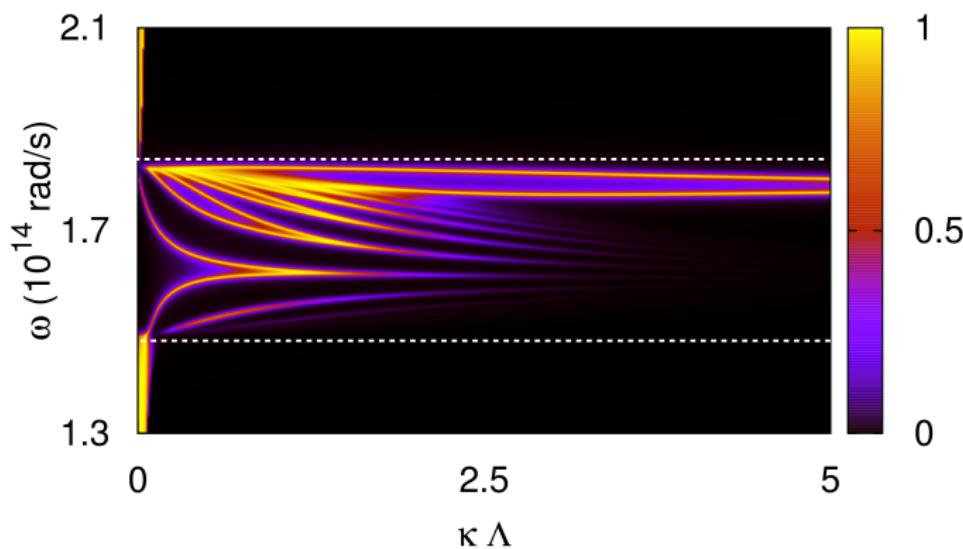
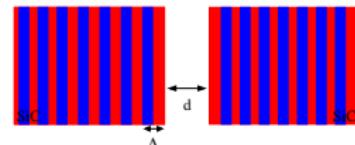
$N = 15$



# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

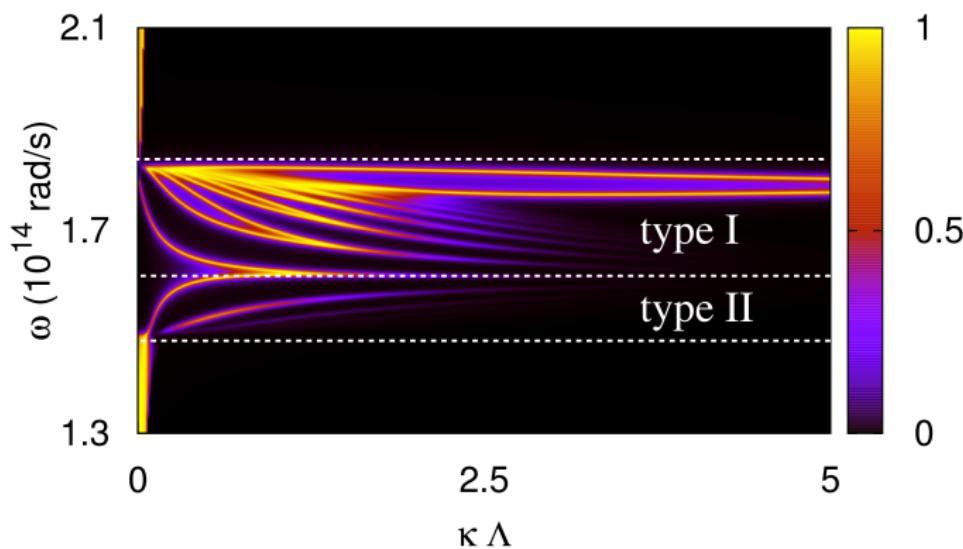
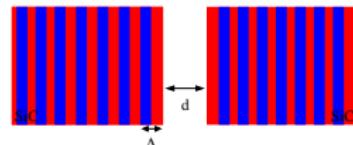
$N = 17$



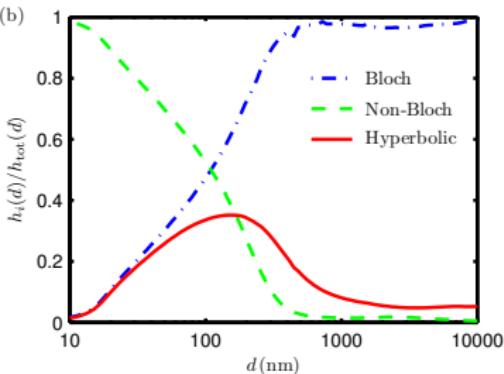
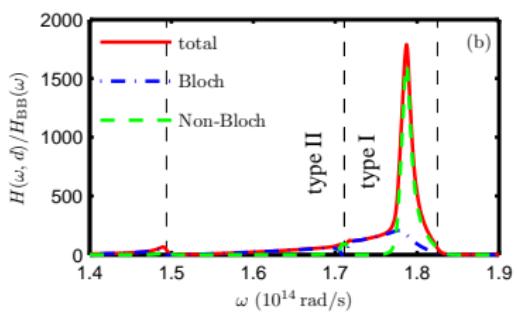
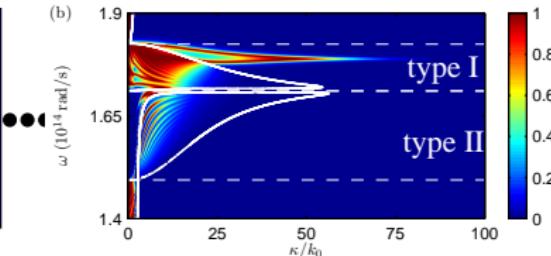
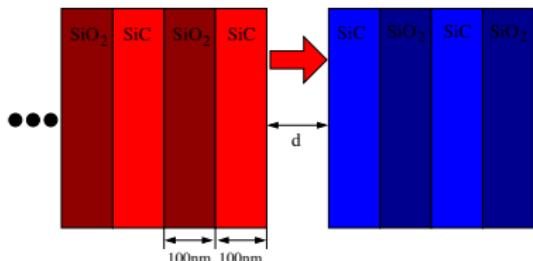
# Building up hyperbolic bands

Transmission coefficient  $T_p$  ( $l_1 = l_2 = 10 \text{ nm}$ ;  $d = 10 \text{ nm}$ )

$N = 19$



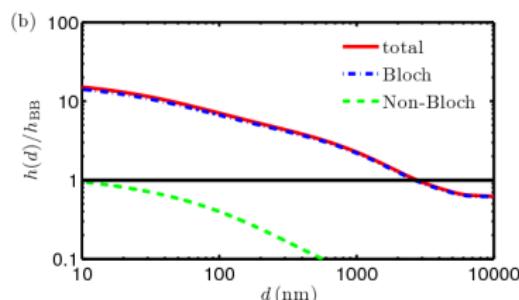
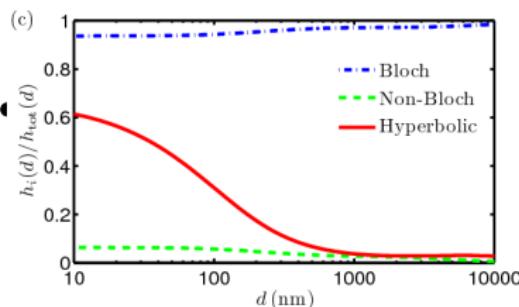
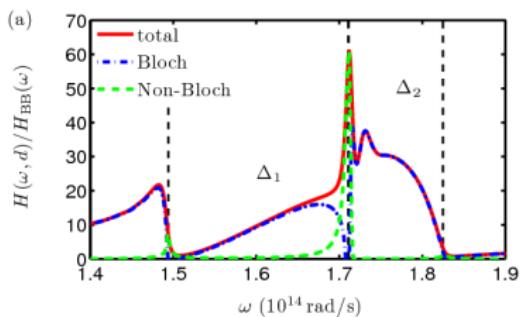
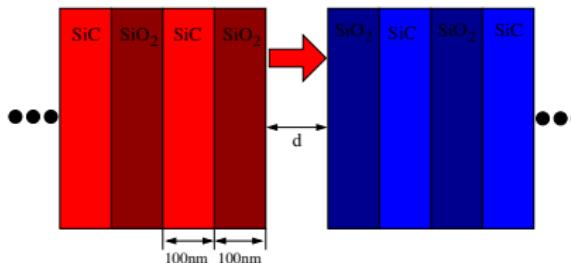
# SiC/SiO<sub>2</sub> multilayer with SiC on top



Y. Guo, C. L. Cortes, S. Molesky, and Z. Jacob, APL **101**, 131106 (2012)

Biehs, Tschikin, Messina, und Ben-Abdallah, Appl. Phys. Lett. **102**, 131106 (2013)

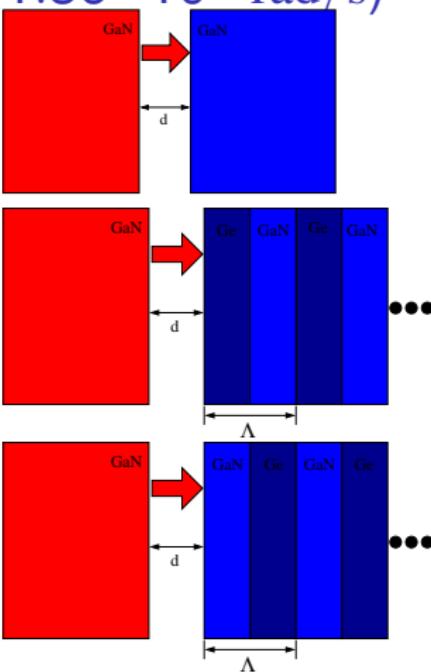
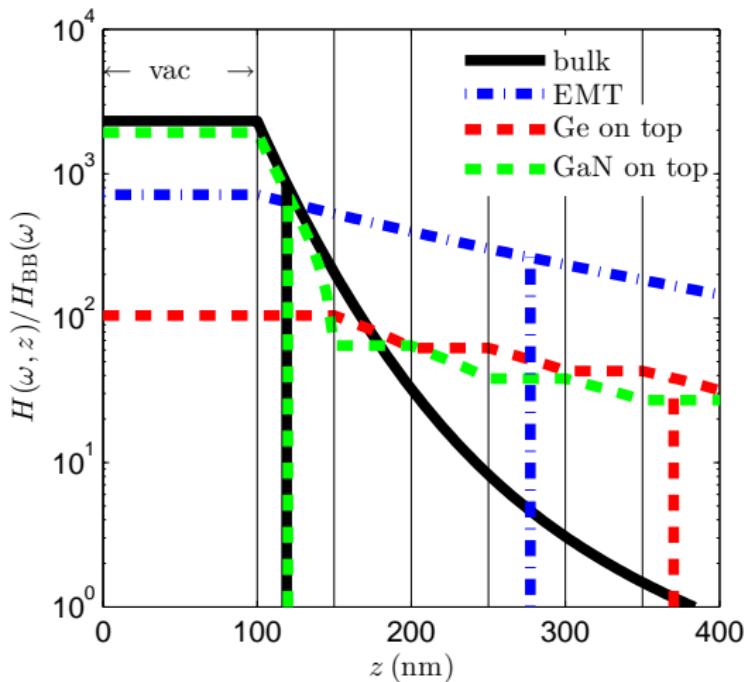
# SiC/SiO<sub>2</sub> with SiO<sub>2</sub> on top



Biehs, Tschikin, Messina, und Ben-Abdallah, Appl. Phys. Lett. **102**, 131106 (2013)

# Spectral heat flux GaN/Ge multilayer Systems

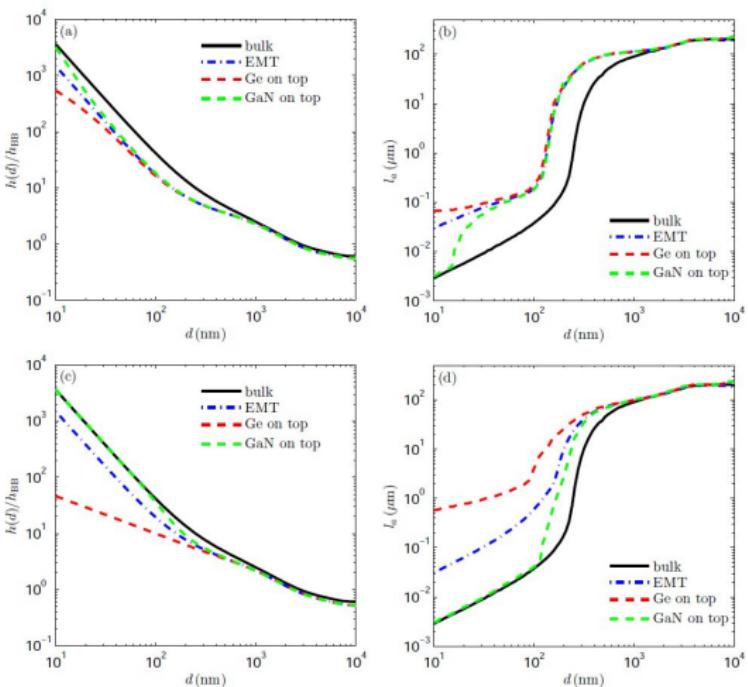
( $d = 100 \text{ nm}$ ,  $\Lambda = 100 \text{ nm}$ ,  $\omega = 1.36 \cdot 10^{14} \text{ rad/s}$ )



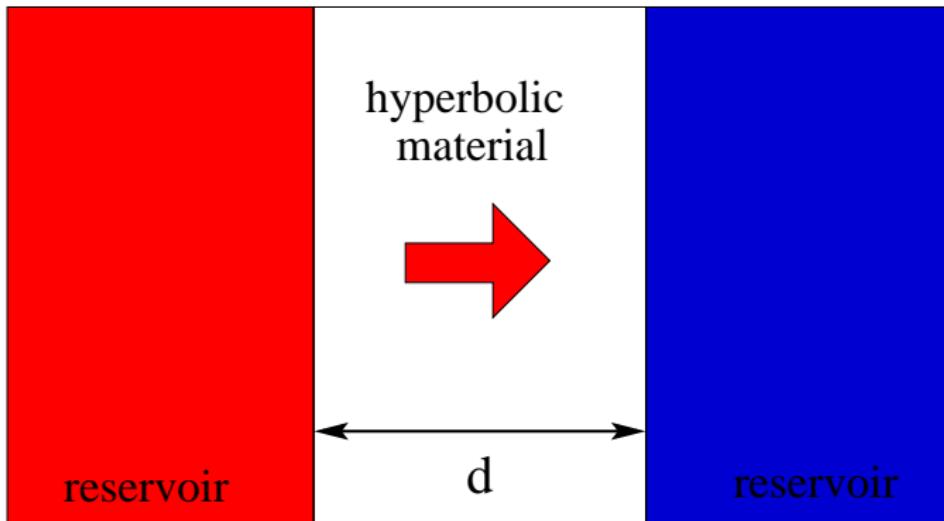
Lang et al., APL **104**, 121903 (2014)

Tschikin et al. JQSRT **158**, 17 (2015).

# HTC and penetration depth in mHMM

 $\Lambda = 10 \text{ nm}$  $\Lambda = 100 \text{ nm}$

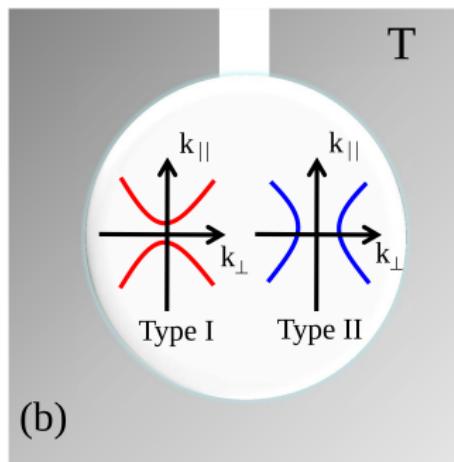
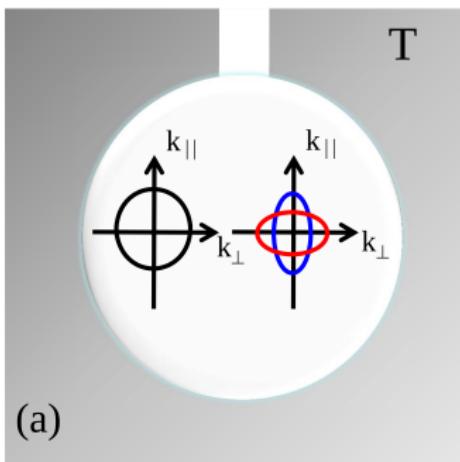
# Laws of thermal radiation inside hyperbolic materials



Liu and Narimanov, Phys. Rev. B **91**, 041403(R) (2015)

Biehs, Lang, Petrov, Eich, Ben-Abdallah , PRL **115**, 174301 (2015)

# Equilibrium properties of thermal radiation



- Local density of states (LDOS)

$$D(\omega, \mathbf{r}) = \frac{\omega}{C^2 \pi} \text{ImTr} [\epsilon G^{EE}(\mathbf{r}, \mathbf{r}, \omega) + \mu G^{HH}(\mathbf{r}, \mathbf{r}, \omega)]$$

G. S. Agarwal, Phys. Rev. B **11**, 253 (1975)

Eckhardt, Zeitschrift für Physik B: Condensed Matter **46**, 85 (1982)

- Green's function for anisotropic media

Weiglhofer, IEE Proceedings **137**, 5 (1990)

# Thermodynamic potentials - dielectrics

- internal and free energy per unit volume

$$U = \int_0^\infty d\omega D(\omega) \mathcal{U}(\omega, T), \quad F = \int_0^\infty d\omega D(\omega) \mathcal{F}(\omega, T)$$

where

$$\mathcal{U}(\omega, T) = \frac{\hbar\omega}{e^{\frac{\hbar\omega}{k_B T}} - 1}, \quad \mathcal{F}(\omega, T) = k_B T \ln(1 - e^{-\frac{\hbar\omega}{k_B T}})$$

- entropy per unit volume  $S = \frac{U-F}{T}$
- dielectrics  $\epsilon_{||} > 0$  and  $\epsilon_{\perp} > 0$  (W. Eckardt, Opt. Commun. 27, 299 (1990))

$$U_D^o = U_{BB}^s \epsilon_{\perp} \sqrt{\epsilon_{\perp}} \quad \text{and} \quad U_D^e = U_{BB}^p \epsilon_{||} \sqrt{\epsilon_{\perp}}$$

and

$$F_D^{o/e} = -\frac{1}{3} U_D^{o/e} \quad \text{and} \quad S_D^{o/e} = \frac{4}{3} \frac{U_D^{o/e}}{T}$$

# Thermodynamic potentials - hyperbolic media

- DOS for  $k_{\perp,\max} \gg \frac{\omega}{c} \sqrt{|\epsilon_{\parallel}|}$  :

$$D_I^e \approx D_{II}^e \approx \frac{\omega}{\pi^2 c^2} \frac{\sqrt{|\epsilon_{\perp} \epsilon_{\parallel}|}}{2} k_{\perp,\max}$$

- Planck's law:

$$D_{I/II}^e(\omega) \mathcal{U}(\omega, T)$$

- internal energy ( $I_c = \hbar/k_B T$ )

$$U_{I/II}^e \propto k_{\perp,\max} T^3 \quad \text{and} \quad \frac{U_{I/II}^e}{U_{BB}^p} \propto \frac{I_c}{\Lambda}$$

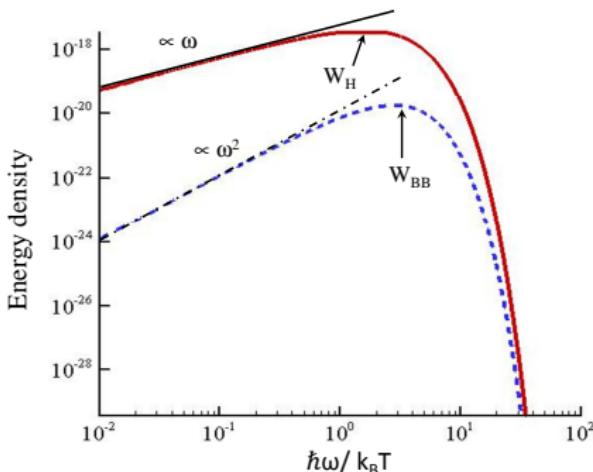
- including dispersion see

Smolyanov and Narimanov, PRL 105, 067402 (2010)

Biehs, Lang, Petrov, Eich, Ben-Abdallah, PRL 115, 174301 (2015)

# Comparison with normal blackbody

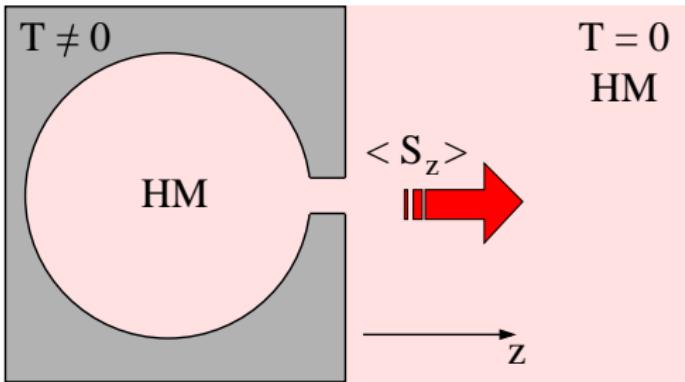
$$|\epsilon_{\perp} \epsilon_{||}| = 1, k_{\perp, \max} = \frac{\pi}{\Lambda}, \Lambda = 100 \text{ nm}, T = 300 \text{ K}$$



- Wien's law

$$\text{dielectric: } \frac{\hbar\omega_{\max}}{k_B T} = 2.82 \quad \text{hyperbolic: } \frac{\hbar\omega_{\max}}{k_B T} = 1.59$$

## Towards Stefan-Boltzmann's law for HM



- Poynting vector (Rytov's theory; Levi-Civita tensor  $\zeta_{\alpha\beta\gamma}$ )

$$\langle S_\gamma \rangle = \epsilon_{\alpha\beta\gamma} 2\text{Re} \int_0^\infty \frac{d\omega}{2\pi} \frac{2\omega^3 \mu_0}{c^2} \mathcal{U}(\omega, T) \times \int_V d\mathbf{r}'' \left( \mathbb{G}^{\text{EE}}(\mathbf{r}, \mathbf{r}'') \text{Im}(\epsilon) \mathbb{G}^{\text{HE}\dagger}(\mathbf{r}, \mathbf{r}'') \right)_{\alpha\beta}$$

- Green's function for anisotropic media

# Stefan-Boltzmann's law for HM

- lossless limit

$$\Phi^{o/e} \equiv \langle S_z \rangle = \int_0^\infty \frac{d\omega}{2\pi} \mathcal{U}(\omega, T) \int_0^\infty \frac{dk_\perp}{2\pi} k_\perp \frac{\text{Re}(\gamma_{o/e})^2}{\gamma_{o/e}^2}$$

with

$$\gamma_o^2 \equiv \frac{\omega^2}{c^2} \epsilon_\perp - k_\perp^2 \quad \text{and} \quad \gamma_e^2 \equiv \frac{\omega^2}{c^2} \epsilon_\perp - k_\perp^2 \frac{\epsilon_\perp}{\epsilon_\parallel}$$

- Stefan-Boltzmann's law in dielectrics

$$\Phi_D^{o/e} = \Phi_{\text{BB}}^{\text{s/p}} \left\{ \frac{\epsilon_\perp}{\epsilon_\parallel} \right\}$$

- Stefan-Boltzmann's law for HM ( $k_{\perp,\max} \gg \frac{\omega}{c} \sqrt{|\epsilon_\parallel|}$ )

$$\Phi_{\text{I/II}}^e \propto k_{\perp,\max}^2 T^2 \quad \text{and} \quad \frac{\Phi_{\text{I/II}}^e}{\Phi_{\text{BB}}^p} \approx \left( \frac{l_c}{\Lambda} \right)^2 \frac{5}{2\pi^2}$$

Introduction - NFRHT  
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Hyperbolic media  
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HMM  
oooooooo

BB law in HM  
oooooooo

Summary  
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## Introduction - Near-field radiative heat transfer

Hyperbolic media

Hyperbolic Multilayer Metamaterial

Thermal radiation inside HM

Summary

# Summary

- phonon-polaritonic near-field emitters
  - narrow-band thermal radiation
  - ultra-small penetration depth
- hyperbolic near-field emitters
  - broad-band thermal radiation
  - large penetration depth
- blackbody laws of hyperbolic materials
  - Planck's law
  - Wien's law
  - Stefan-Boltzmann law

# Acknowledgements

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(U Oldenburg)
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Tschikin

Philippe  
Ben-  
Abdallah

Slawa  
Lang

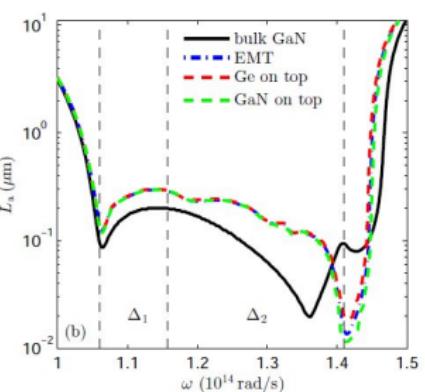
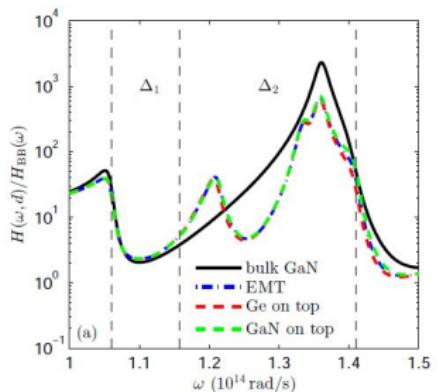
- Funding:



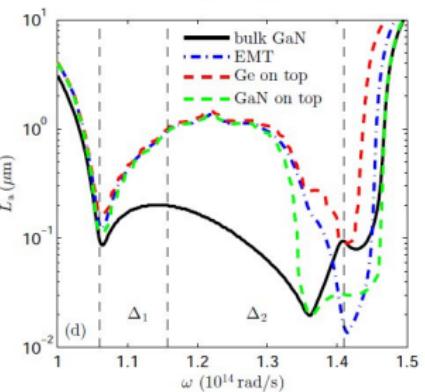
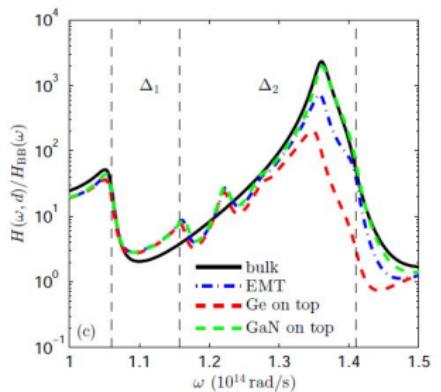
Deutscher Akademischer Austauschdienst  
German Academic Exchange Service

Thank you very much for  
your attention!!!

# Spectral HTC and penetration depth in mHMM



$d = 10 \text{ nm}$   
 $\Lambda = 10 \text{ nm}$



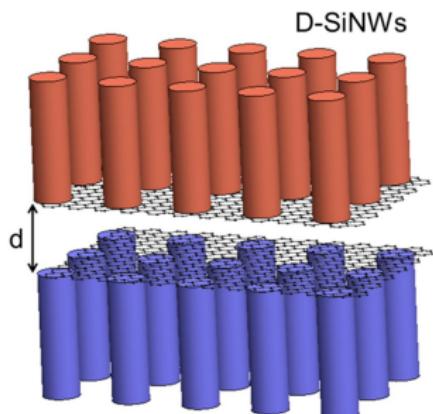
$d = 10 \text{ nm}$   
 $\Lambda = 100 \text{ nm}$

# Maximizing near-field radiation

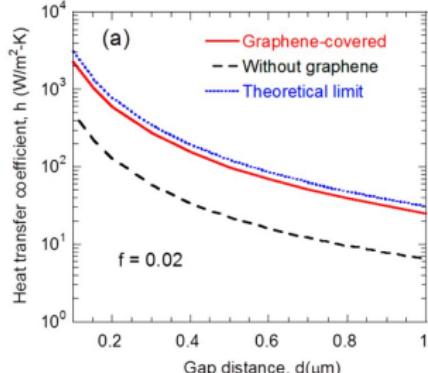
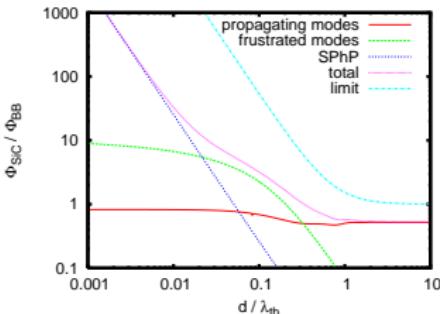
- Limit of htc

$$h_{\max,p} = \frac{1}{\pi d^2} \left( \frac{\pi^2 k_B^2 T}{3h} \right) \frac{\ln(2)}{2}$$

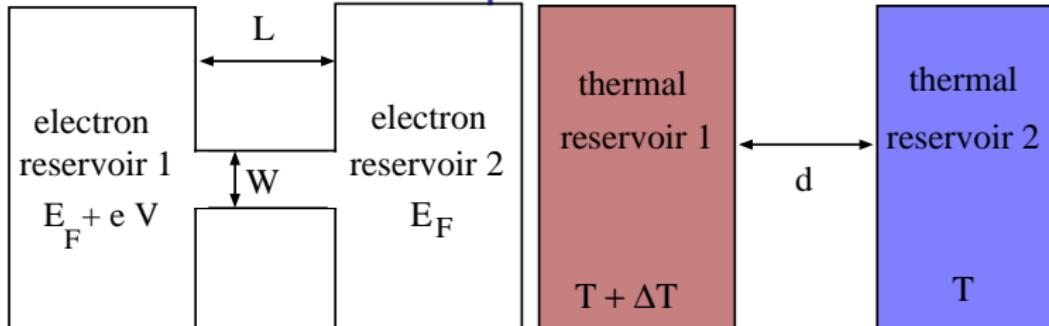
Biehs, Tschikin, Ben-Abdallah, PRL **109**,  
104301 (2012)



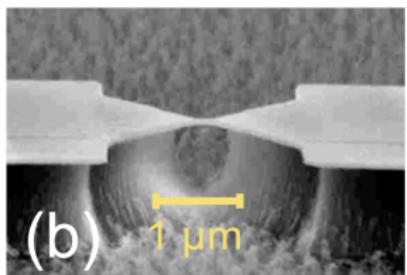
X. Liu et al., ACS Photonics **1**, 785 (2014)



## Landauer-like expression for the heat flux



$$I = \Gamma V = \frac{2e^2}{h} \left[ \sum_n T_n \right] V \quad \Phi = \frac{\pi^2 k_B^2 T}{3h} \left[ \sum_{i=s,p} \int \frac{d^2 \kappa}{(2\pi)^2} \bar{T}_i \right] \Delta T$$



$$\bar{T}_i = \frac{\int du u^2 f(u) T_i(u, d)}{\int du u^2 f(u)}$$

$$f(u) = \frac{u^2 e^u}{(e^u - 1)^2}$$

Biehs, Rousseau, Greffet, PRL 105, 234301 (2010)

spectral penetration depth

maximal hyperbolic heat flux

Landauer

Green's function gap

EMT - exact

Gratings

Anisotropic me

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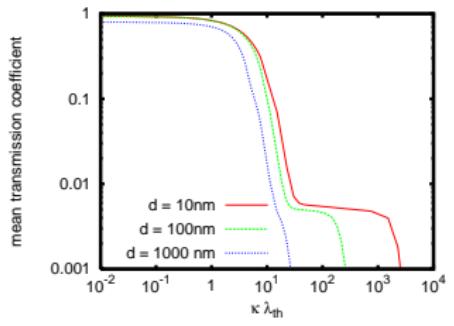
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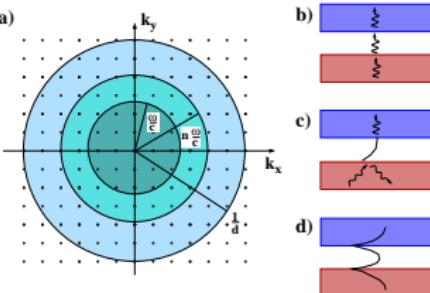
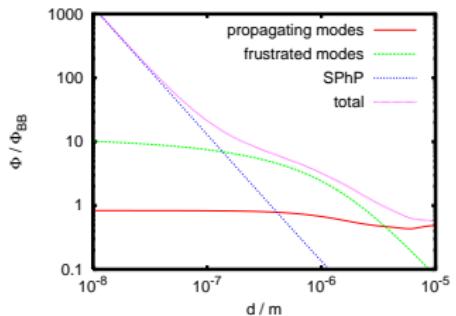
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# Mean transmission coefficient



$$\Phi = \frac{\pi^2 k_B^2 T}{3h} \sum_{i=s,p} \int \frac{d^2 \kappa}{(2\pi)^2} \bar{T}_i \Delta T$$

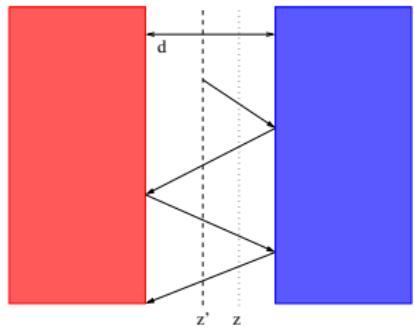


Biehs, Rousseau, Greffet, PRL **105**, 234301 (2010)

fundamental limits: Ben-Abdallah and Joulain, PRB **82**, 121419 (R)(2010)

## Green's function in the gap region

- Summing up multiple reflections:



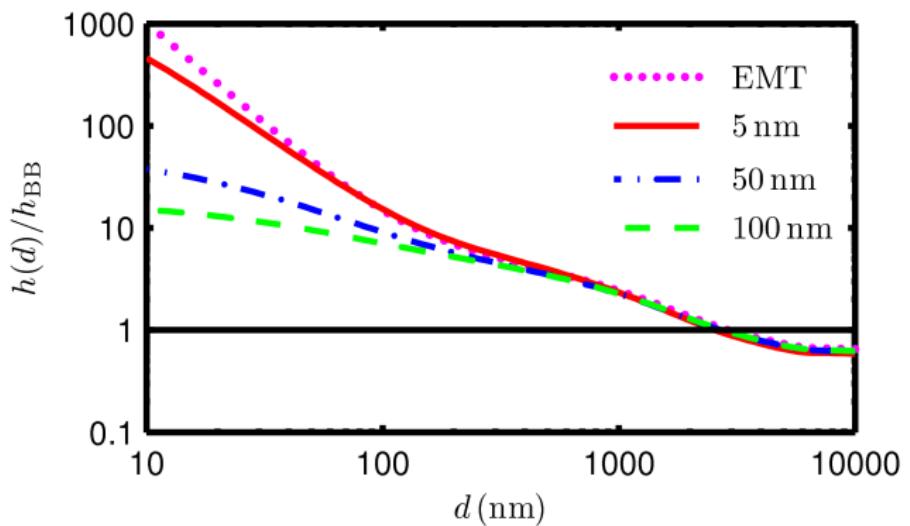
$$G_A(\kappa; z, z')$$

$$\begin{aligned} &= \frac{i}{2\gamma_r} \left[ 1 \mathbb{I} e^{i\gamma_r(z-z')} + e^{2i\gamma_r d} e^{-i\gamma_r(z+z')} R_2 \right. \\ &\quad + e^{2i\gamma_r d} e^{i\gamma_r(z-z')} R_1 R_2 \\ &\quad \left. + e^{4i\gamma_r d} e^{-i\gamma_r(z+z')} R_2 R_1 R_2 + \dots \right] \end{aligned}$$

- complete intracavity Green's function

$$\begin{aligned} G_{\text{intra}} = & \int \frac{d^2 \kappa}{(2\pi)^2} e^{i\kappa \cdot (\mathbf{x} - \mathbf{x}')} \frac{i}{2\gamma_r} \left[ D^{12} \left( 1 \mathbb{I} e^{i\gamma_r(z-z')} + R_1 e^{i\gamma_r(z+z')} \right) \right. \\ & \quad \left. + D^{21} \left( R_2 R_1 e^{i\gamma_r(z'-z)} e^{2i\gamma_r d} + R_2 e^{2i\gamma_r d} e^{-i\gamma_r(z+z')} \right) \right] \end{aligned}$$

## SiC/SiO<sub>2</sub> multilayer with SiO<sub>2</sub> on top

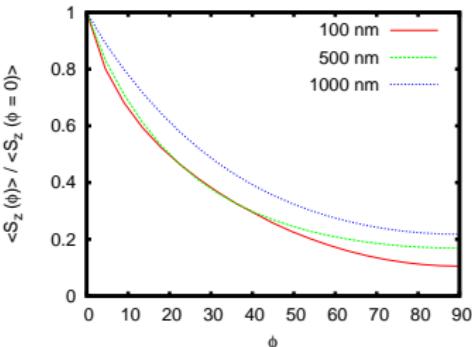
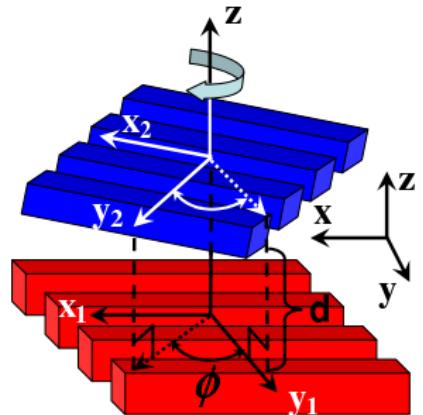


Biehs, Tschikin, Messina, und Ben-Abdallah, Appl. Phys. Lett. **102**, 131106 (2013)

# heat flux modulation

Au grating

( $T_1 = 300 \text{ K}$ ,  $T_2 = 0 \text{ K}$ ,  $f = 0.3$ )



Biehs, Rosa, Ben-Abdallah, APL **98**, 243102 (2011)

- effective description:

$$\epsilon_{x,z} = \epsilon_{h_i}(1 - f_i) + f_i$$

$$\epsilon_y = \frac{\epsilon_{h_i}}{(1 - f_i) + f_i \epsilon_{h_i}}$$

- beyond EMT:

Rodriguez et al., Phys. Rev. Lett. **107**, 114302 (2011)

R. Guérout et al., Phys. Rev. B **85**, 180301(R) (2012)

J. Lussange et al., Phys. Rev. B **86**, 085432 (2012)

J. Dai et al., Phys. Rev. B **92**, 035419 (2015)

J. Dai et al., Phys. Rev. B **93**, 155403 (2016)

spectral penetration depth

maximal hyperbolic heat flux

Landauer

Green's function gap

EMT - exact

Gratings

Anisotropic me

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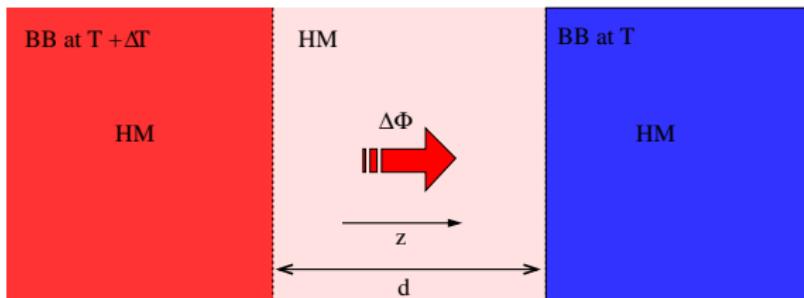
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## Stefan-Boltzmann's law for HM

- max heat flux for GaN/SiO<sub>2</sub>-HMM ( $\Lambda = 10\text{nm}$ )



- conductivity in GaN/SiO<sub>2</sub>:  $\approx 0.79 \frac{\text{W}}{\text{mK}}$

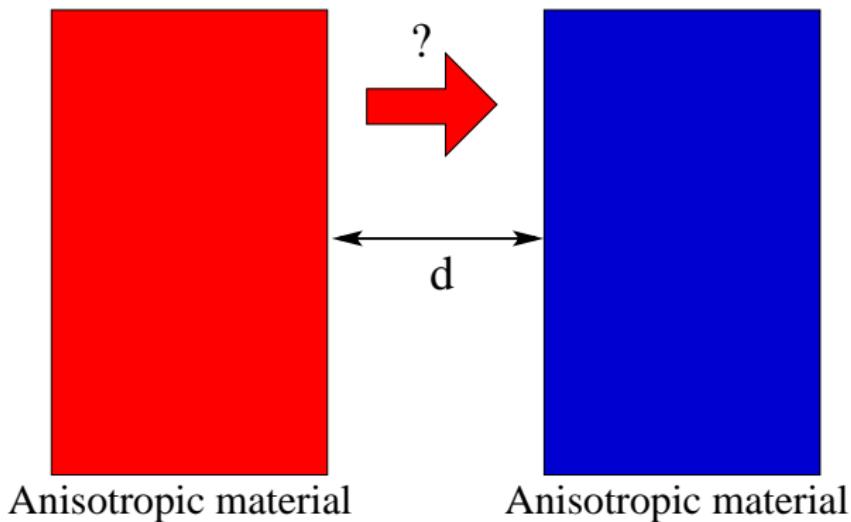
$$d = 200\text{ nm} \Rightarrow \text{htc} \approx 3.7 \times 10^6 \frac{\text{W}}{\text{m}^2\text{K}}$$

$$d = 400\text{ nm} \Rightarrow \text{htc} \approx 1.85 \times 10^6 \frac{\text{W}}{\text{m}^2\text{K}}$$

- Stefan-Boltzmann for HM (photons):

$$\text{htc} \approx 2.3 \times 10^6 \frac{\text{W}}{\text{m}^2\text{K}}$$

## heat flux between anisotropic materials?

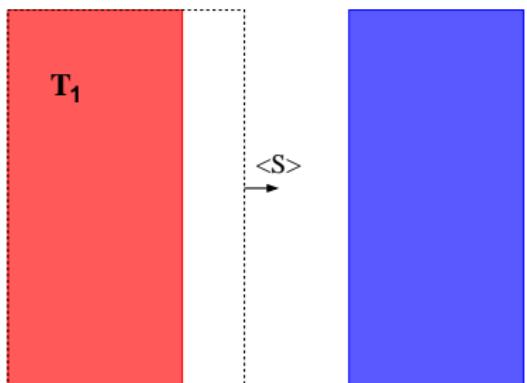


## Mean Poynting vector

$$\langle S_\gamma(\mathbf{r}, t) \rangle = \epsilon_{\alpha\beta\gamma} \langle E_\alpha(\mathbf{r}, t) H_\beta(\mathbf{r}, t) \rangle = \epsilon_{\alpha\beta\gamma} \int_0^\infty \frac{d\omega}{2\pi} 2 \frac{\omega^3}{c^2} \mu_0 \Theta(T) \frac{i}{2k_0^2} \mathbb{I}_{\alpha\beta}^S + \text{c.c.}$$

with

$$\begin{aligned} \mathbb{I}^S := \int_{\partial V} dS' & \left[ (\nabla' \times \mathbb{G}^{EE^t}(\mathbf{r}, \mathbf{r}'))^t \cdot (\mathbf{n} \times \mathbb{G}^{HE^\dagger}(\mathbf{r}, \mathbf{r}')) \right. \\ & \left. + \mathbb{G}^{EE}(\mathbf{r}, \mathbf{r}') \cdot (\mathbf{n} \times \nabla' \times \mathbb{G}^{HE^\dagger}(\mathbf{r}, \mathbf{r}')) \right]. \end{aligned}$$



$\mathbb{G}(\mathbf{r}, \mathbf{r}')$  electric Green's function  
with  $\mathbf{r}$  and  $\mathbf{r}'$  inside the gap

Volokitin and Persson, Rev. Mod. Phys. **79**, 1291 (2007)

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# Heat flux for anisotropic materials

- heat flux ( $T_1 = T$  und  $T_2 = 0$ )

$$\Phi = \langle S_z \rangle = \int \frac{d\omega}{2\pi} \frac{\hbar\omega}{e^{\hbar\omega/(k_B T)} - 1} \int \frac{d^2\kappa}{(2\pi)^2} \mathcal{T}(\omega, \kappa; d)$$

- Transmission coefficient

$$\mathcal{T}(\omega, \kappa; d) = \begin{cases} \text{Tr} \left[ (1 - \mathbb{R}_2^\dagger \mathbb{R}_2) \mathbb{D}^{12} (1 - \mathbb{R}_1^\dagger \mathbb{R}_1) \mathbb{D}^{12\dagger} \right], & \kappa < \frac{\omega}{c} \\ \text{Tr} \left[ (\mathbb{R}_2^\dagger - \mathbb{R}_2) \mathbb{D}^{12} (\mathbb{R}_1 - \mathbb{R}_1^\dagger) \mathbb{D}^{12\dagger} \right] e^{-2|k_z|d}, & \kappa > \frac{\omega}{c} \end{cases}$$

- Reflection matrix ( $i = 1, 2$ )

$$\mathbb{R}_i = \begin{bmatrix} r_i^{s,s}(\omega, \kappa) & r_i^{s,p}(\omega, \kappa) \\ r_i^{p,s}(\omega, \kappa) & r_i^{p,p}(\omega, \kappa) \end{bmatrix},$$

- 'Fabry-Pérot denominator'

$$\mathbb{D}^{12} = [1 - \mathbb{R}_1 \mathbb{R}_2 \exp(2ik_z d)]^{-1}$$

Biehs, Rosa, Ben-Abdallah, Joulain, Greffet, Opt. Expr. **19**, A1088-A1103 (2011)

Bimonte and Santamato, Phys. Rev. A **76**, 013810 (2007)

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