

# Applications of magnetic polaritons in micro/nanostructures for tailoring radiative properties

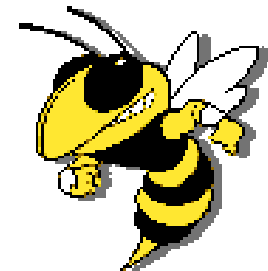
*Zhuomin (Z.M.) Zhang*

G.W. Woodruff School of Mechanical Engineering  
Georgia Institute of Technology, Atlanta, GA, USA

Presented at the **Nanoscale Radiative Heat  
Transfer Physics School**, Les Houches, France  
May 12-17, 2013

[www.me.gatech.edu/~zzhang](http://www.me.gatech.edu/~zzhang)

**Nanoscale Thermal Radiation Lab**



# Outline

---

---

- Introduction
- Negative Refractive Index & Metamaterials
- Magnetic (Plasmon) Polaritons (MPs)
- Tailoring Optical and Radiative Properties
- Summary and Acknowledgements

# Applications of Thermal Radiation

- Solar energy harvesting
- Astronomy and space exploration
- Thermophotovoltaics
- Combustion systems
- Materials processing and manufacturing
- Cryogenics
- Metrology

## RADIOMETRIC TEMPERATURE MEASUREMENTS

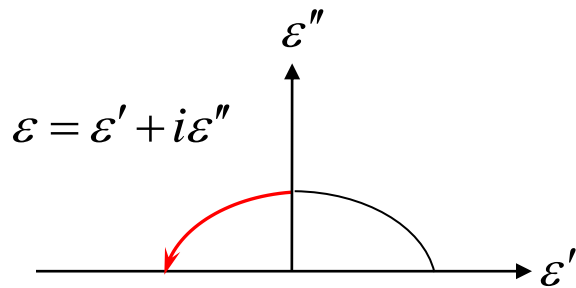
### I. Fundamentals

Edited by  
Z.M. ZHANG  
B.K. TSAI  
G. MACHIN

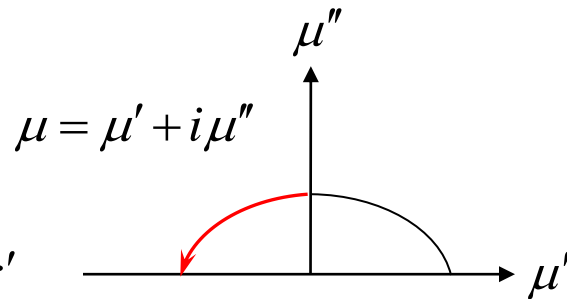
©2010



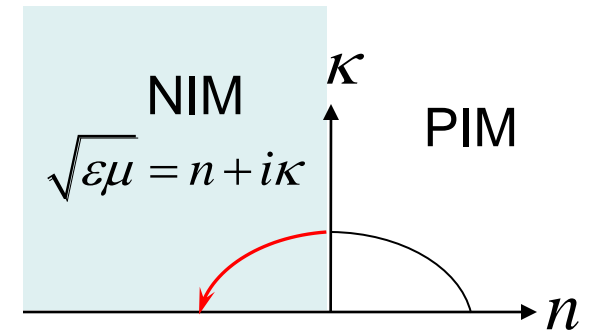
# Negative Refractive Index (NIM)



Negative  $\varepsilon'$  exists in metal or polar materials



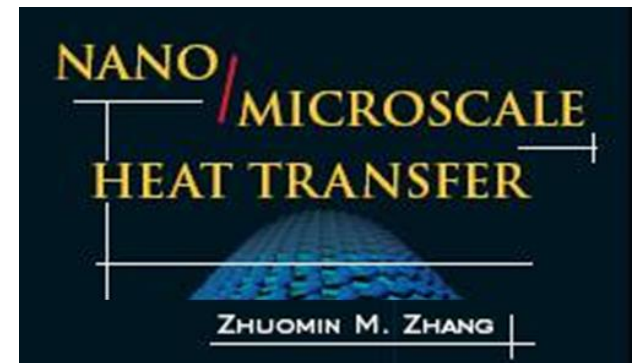
Negative  $\mu'$  does not exist in natural materials at optical frequencies



Negative refraction  $\rightarrow$  superlens

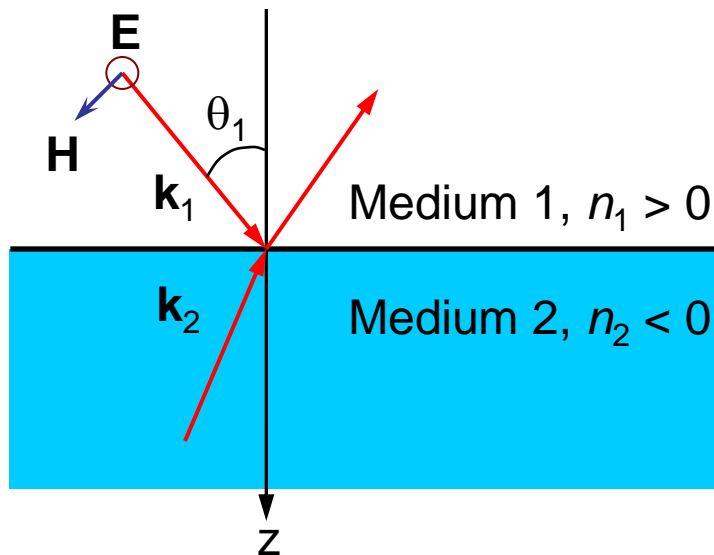
Passive materials (lossy or lossless):  
Imaginary part of permittivity, permeability or refractive index is always non-negative.

See, e.g., Zhang, Z.M., *Nano/Microscale Heat Transfer*, McGraw-Hill, New York, 2007.

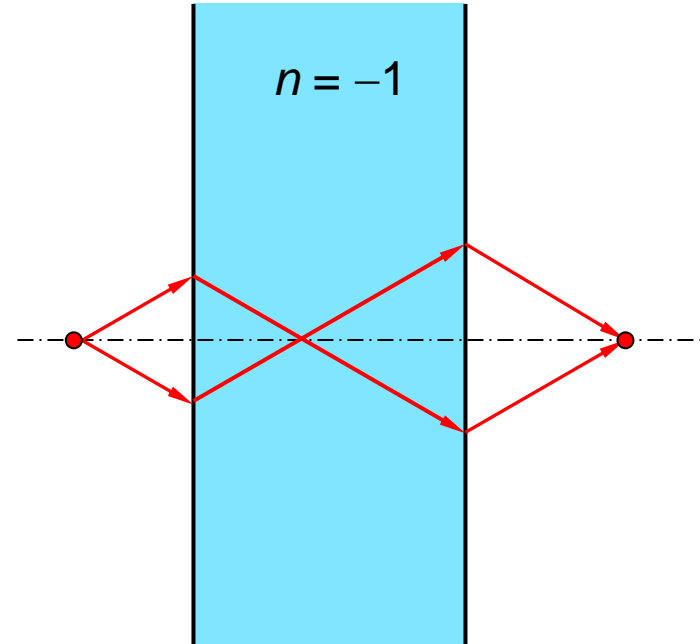


McGraw-Hill (2007)

# Refraction from a PIM to a NIM



$$n = \begin{cases} \sqrt{\epsilon\mu} & \text{if } \epsilon > 0 \text{ \& } \mu > 0 \\ -\sqrt{\epsilon\mu} & \text{if } \epsilon < 0 \text{ \& } \mu < 0 \end{cases}$$



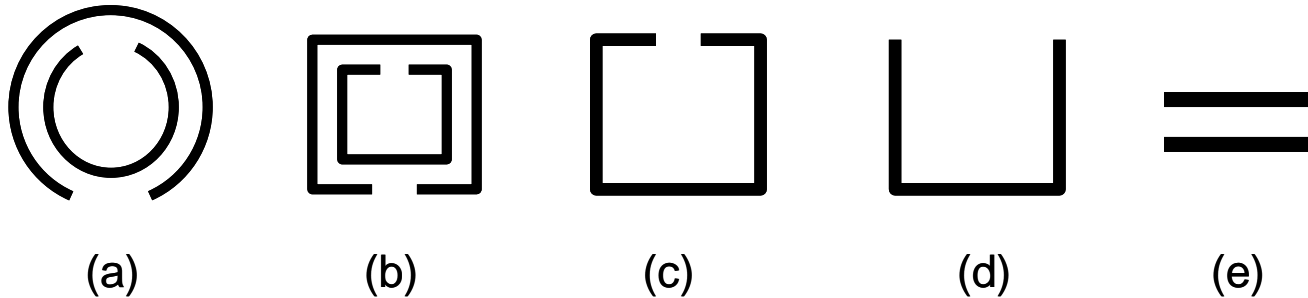
A NIM slab acts as a lens.

Veselago, *Sov. Phys. Usp.* 10, 509 (1968).

# Diamagnetism or Magnetic Response

Magnetic materials seldom exist in natural materials at optical frequencies

Various structures can induce magnetic responses:



Negative magnetic permeability (relative to vacuum):

$$\mu(\omega) = 1 - \frac{F\omega^2}{\omega^2 - \omega_0^2 + i\gamma\omega}$$

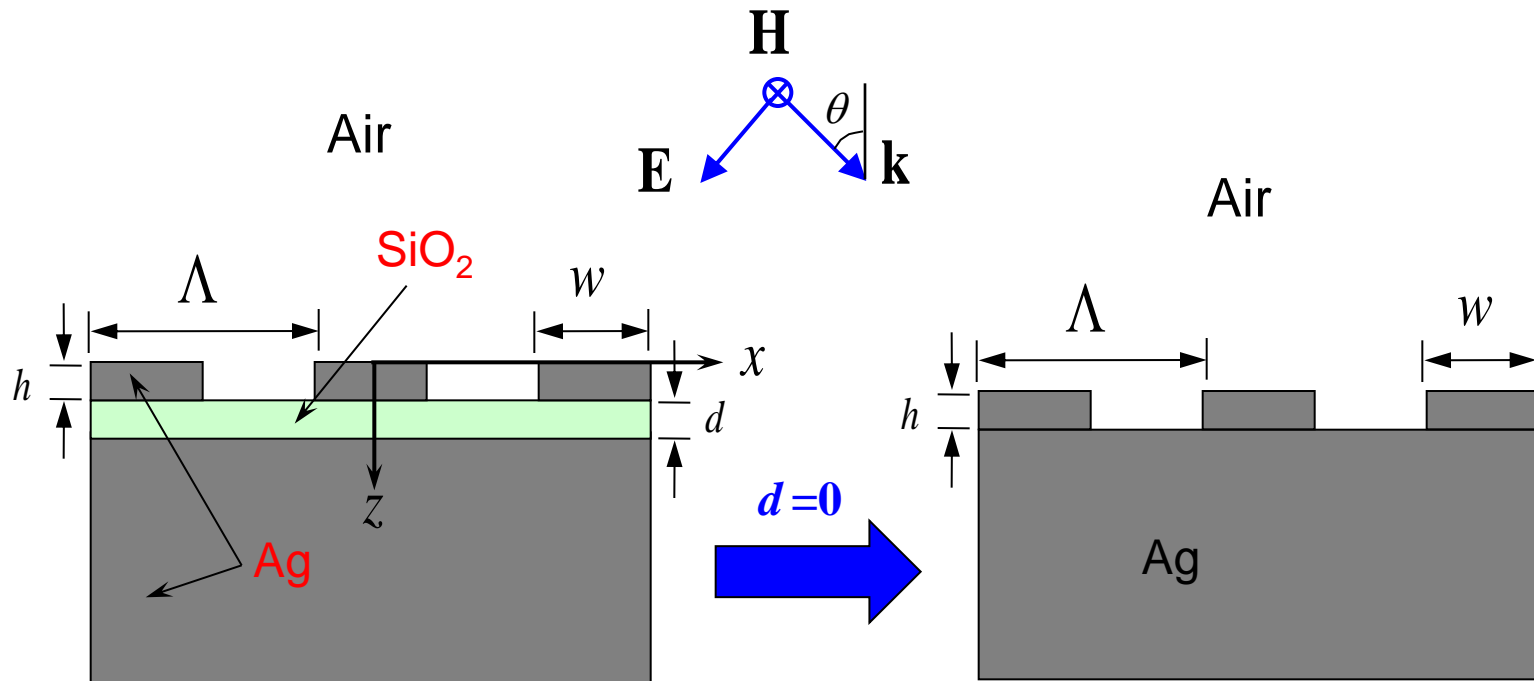
# Tailoring Optical and Radiative Properties

---

---

- Coherent Thermal Emission
- Unusual Transmission and IR Polarizers
- Phonon-Mediated MPs
- Thermophotovoltaic Emitters
- Measurements

# Proposed Structure vs Simple Grating



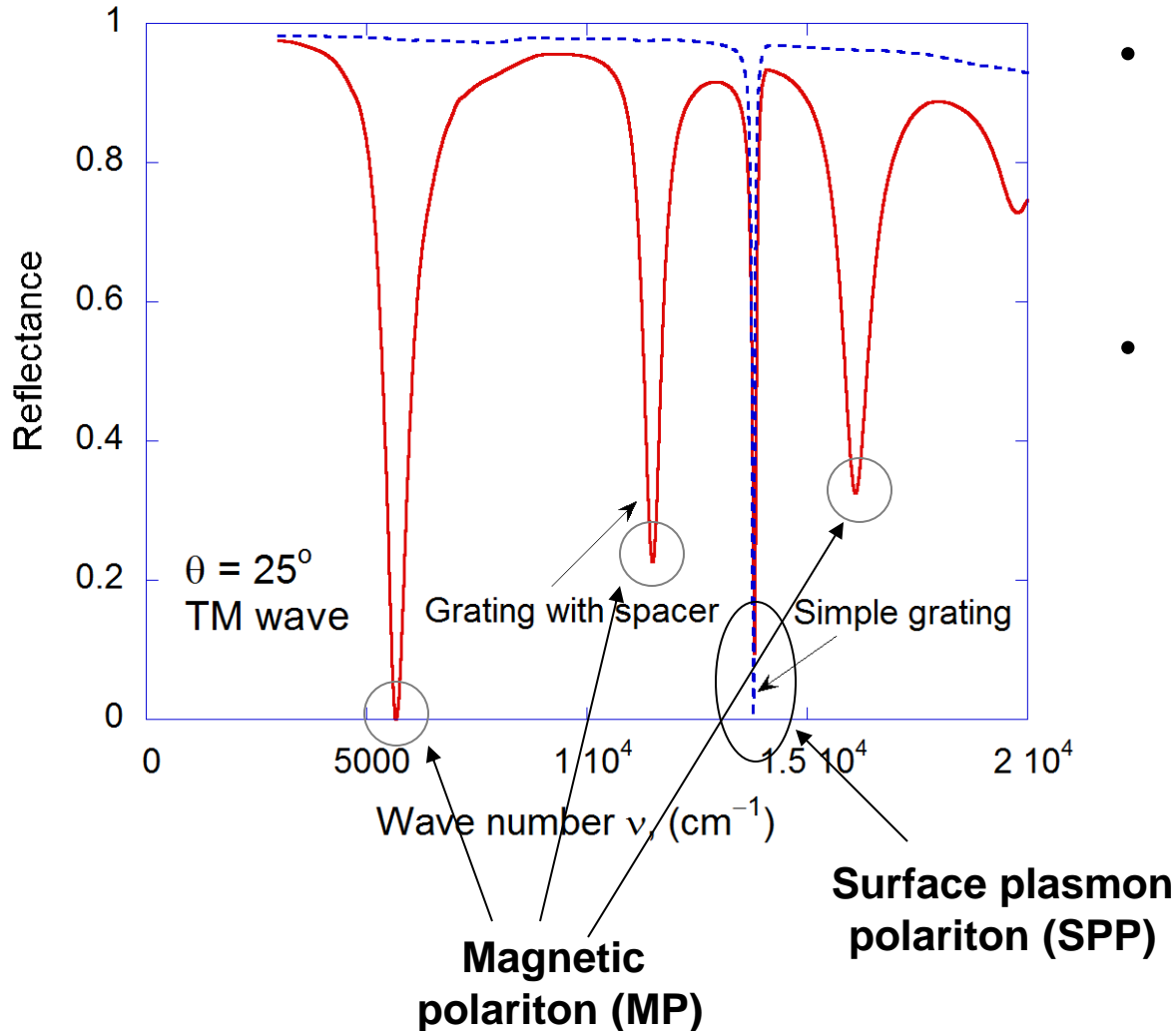
## Parameters:

grating period  $\Lambda = 500$  nm  
metal strip width  $w = 250$  nm  
grating thickness  $h = 20$  nm  
spacer thickness  $d = 20$  nm

**Simple Grating**

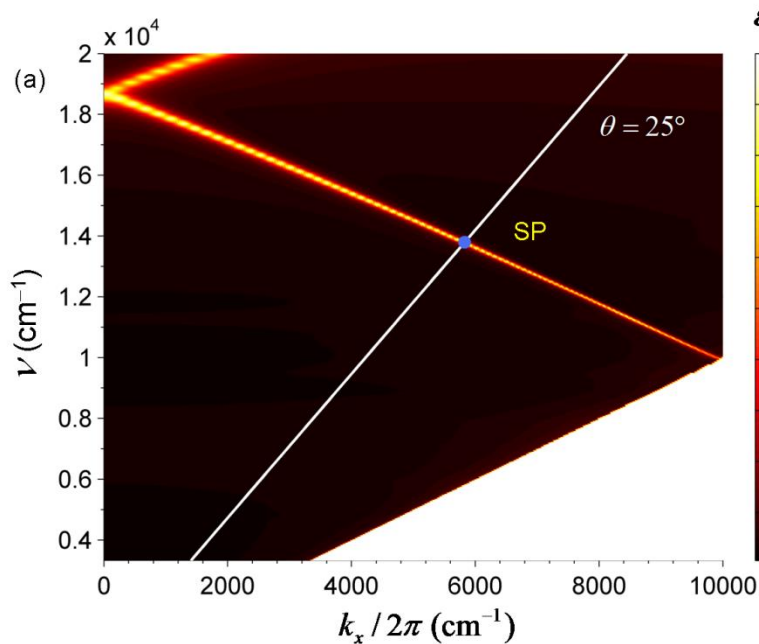


# Results

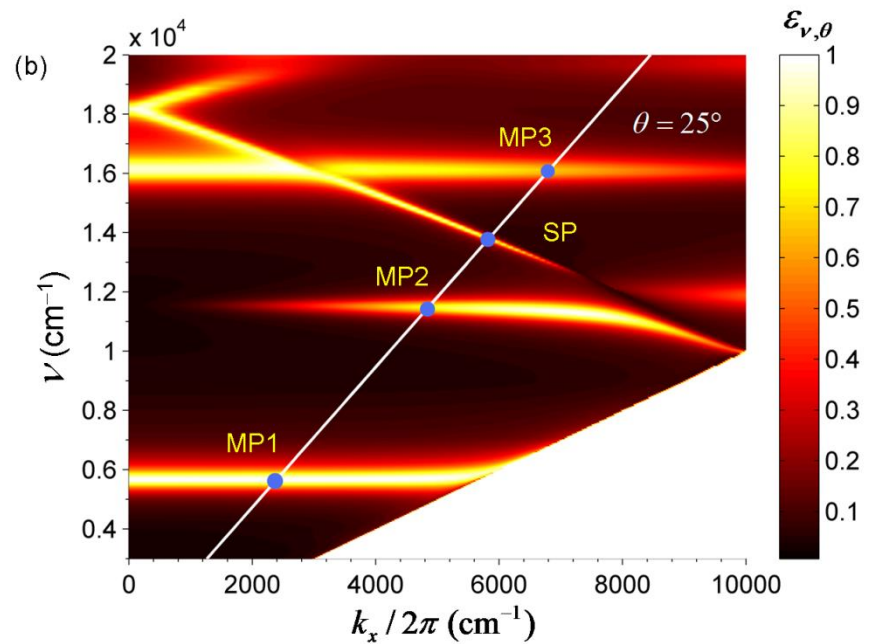


- Method:  
Rigorous coupled-wave analysis (RCWA)
- The proposed structure has more reflectance dips comparing with the simple grating, which should result from surface magnetic polaritons.

# Contour Plots of Emissivity



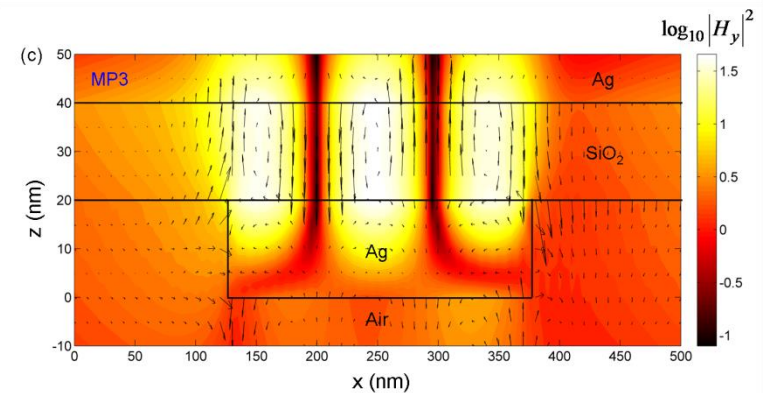
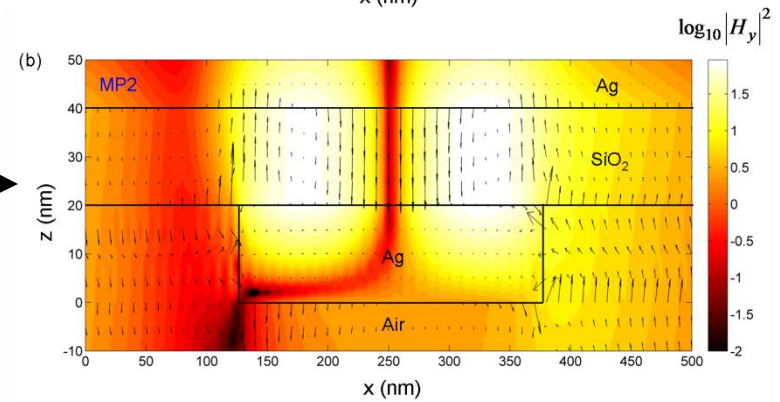
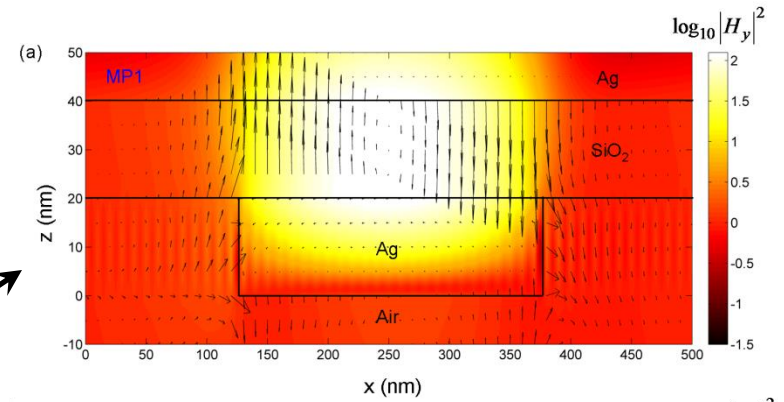
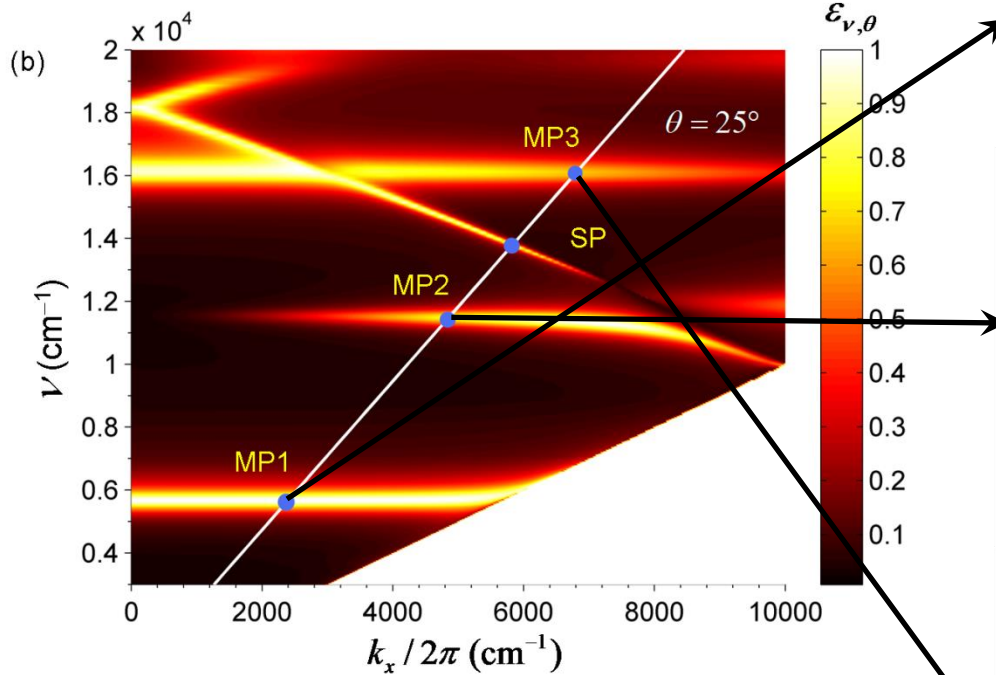
(a) Simple grating



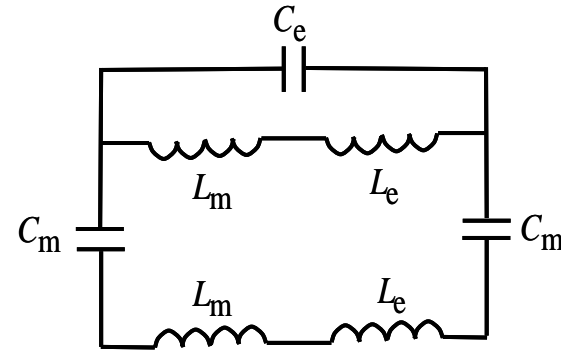
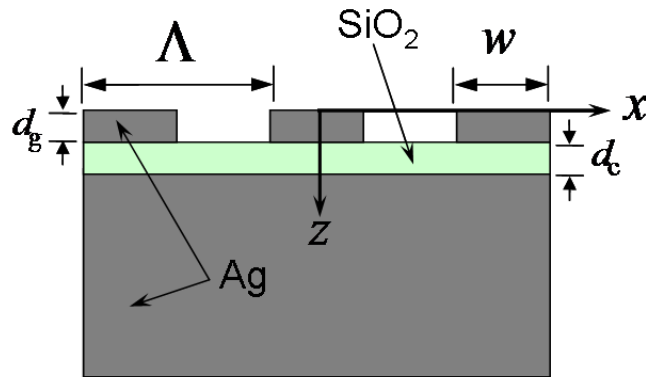
(b) Proposed structure

Lee, Wang, and Zhang, *Optics Express* **16**, 11328 (2008)

# Multiple Modes of Resonance



# Equivalent LC Circuit Model

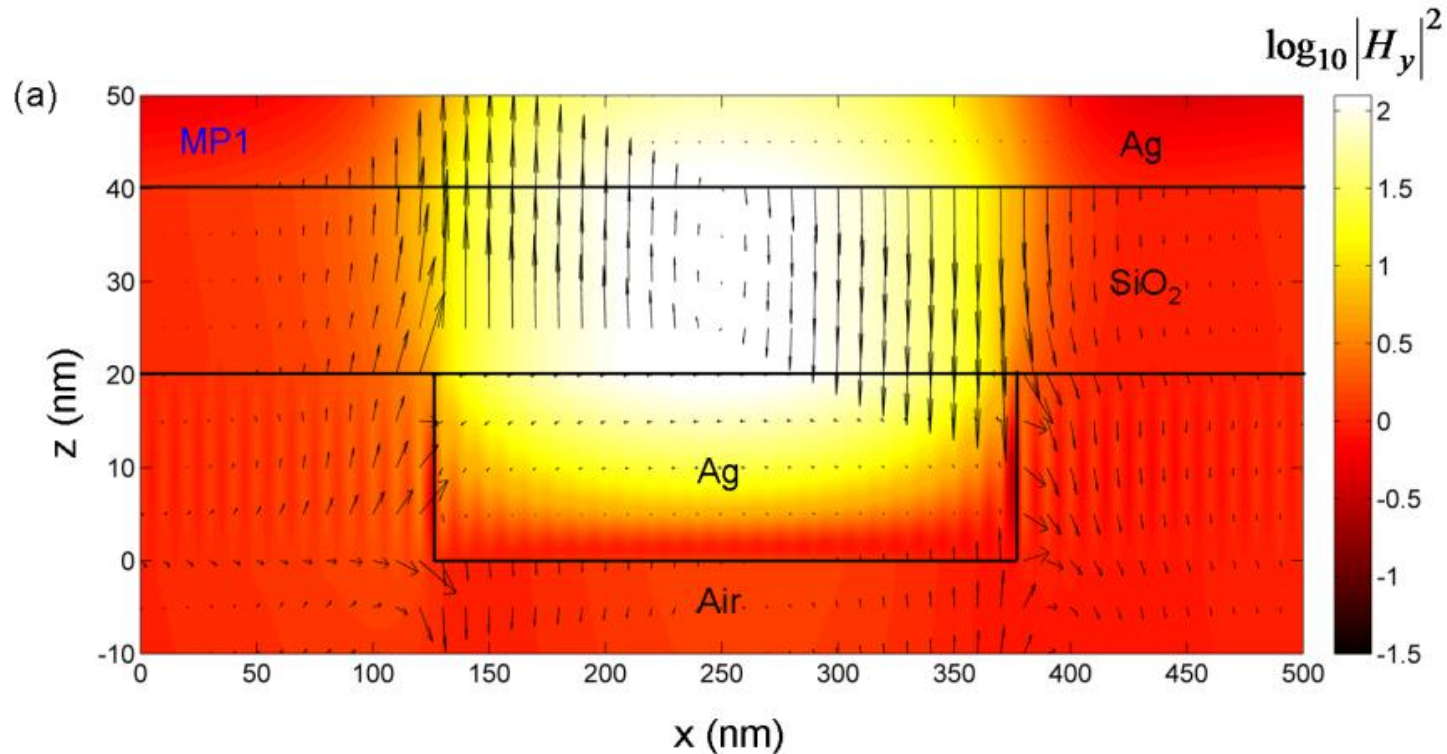


Resonance condition

$$Z_{\text{tot}} = \frac{i\omega(L_m + L_e)}{1 - \omega^2 C_e (L_m + L_e)} - \frac{2i}{\omega C_m} + i\omega(L_m + L_e) \longrightarrow \omega_R = \left( \frac{C_m + C_e - \sqrt{C_m^2 + C_e^2}}{(L_m + L_e)C_m C_e} \right)^{1/2}$$

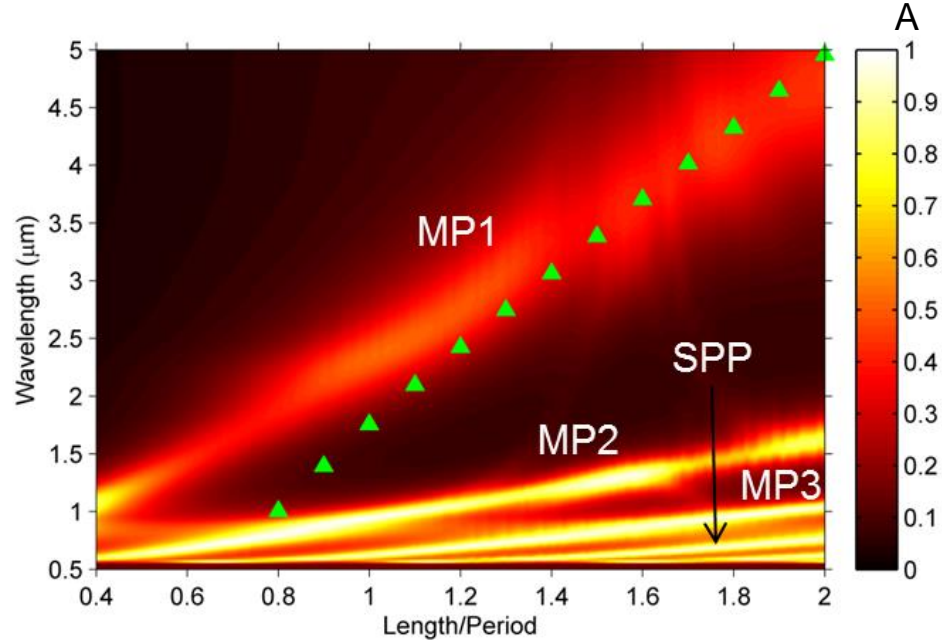
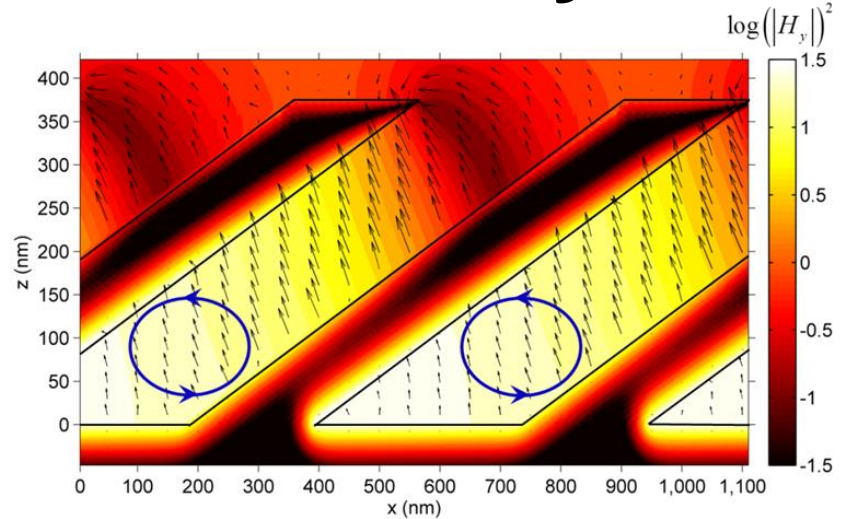
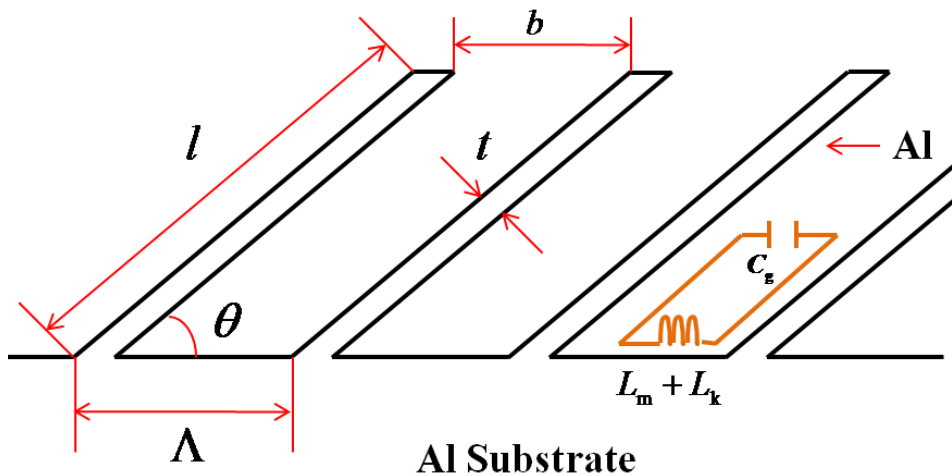
$$\text{where, } L_m = \frac{0.5\mu_0 dw}{l}, L_e = \frac{w}{\gamma h l \omega_p^2 \epsilon_0}, C_m = \frac{0.222\epsilon_d \epsilon_0 w l}{d}, \text{ and } C_e = \frac{\pi \epsilon_0 l}{\ln[(\Lambda - w)/h]}$$

# The coefficient in the $C_m$ term



Parallel plate capacitance. The coefficient should be close to 0.25 in this case due to nonuniform charge distribution.

# Inclined Aluminum Plate Arrays

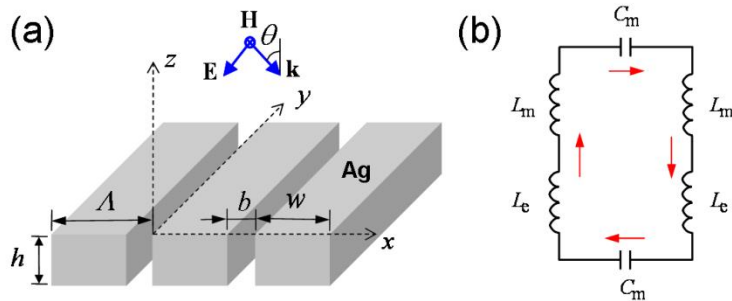


(Upper) Field distribution.

(Left) Absorptance contour and the triangle marks are from LC prediction.

For details, see Wang et al. *JQSRT* (2013 in press).

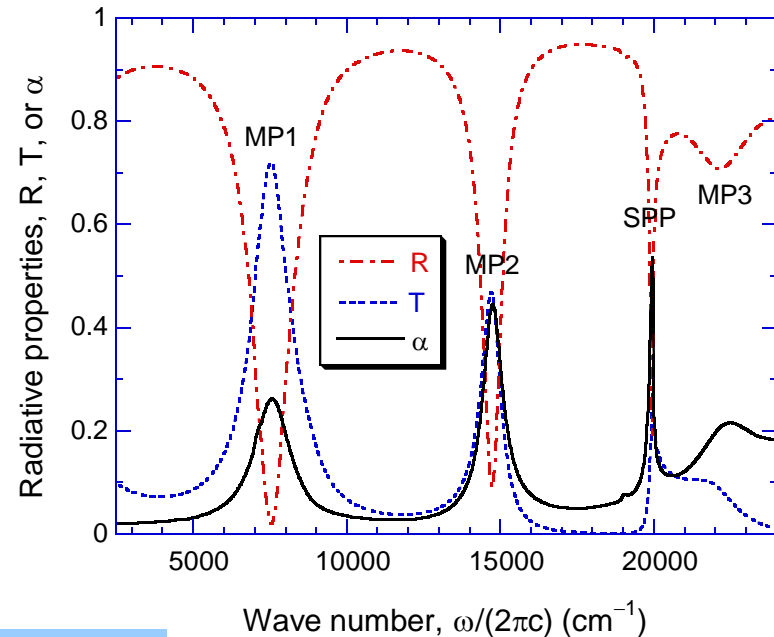
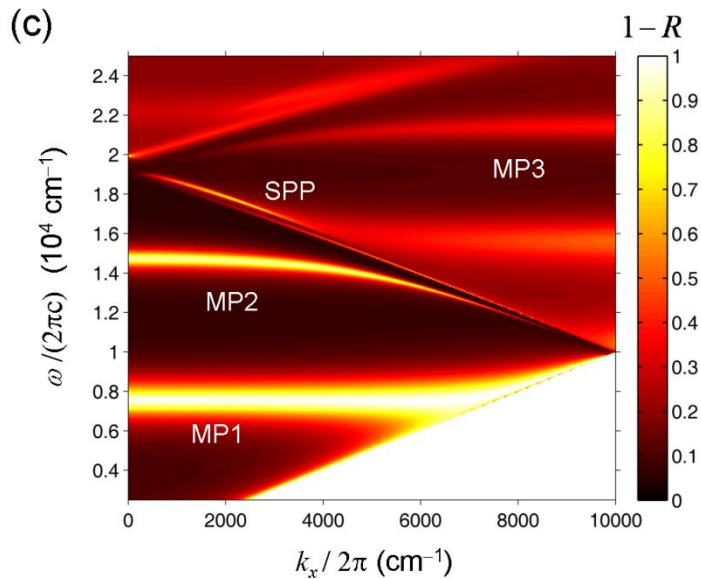
# Resonance Transmission in Deep Gratings



$$Z_{\text{tot}} = 2i \left[ \omega(L_m + L_e) - (\omega C_m)^{-1} \right]$$

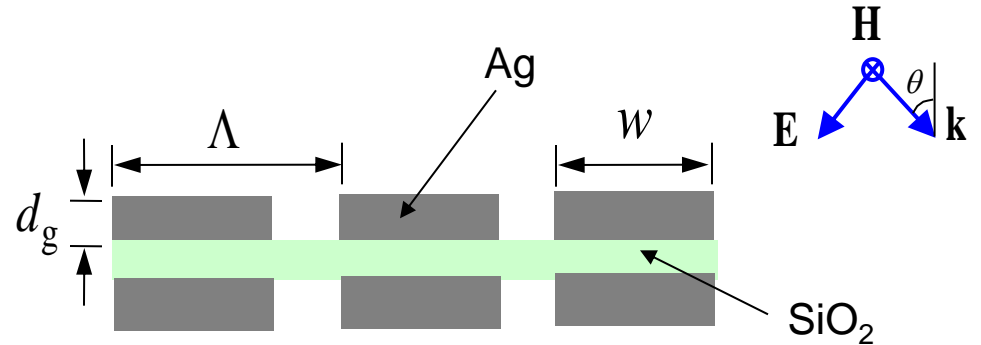
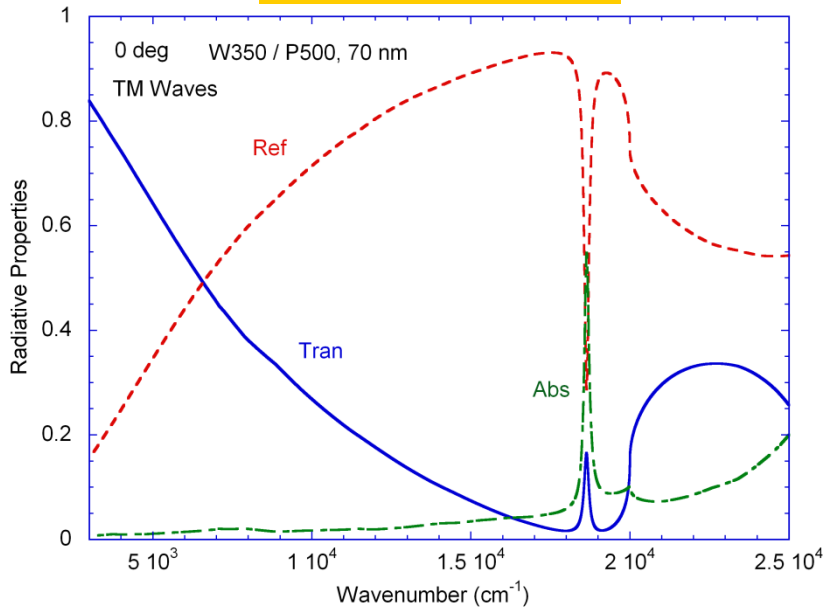
$$\omega_R = [(L_m + L_e)C_m]^{-1/2}$$

$$\alpha = 1 - R - T$$



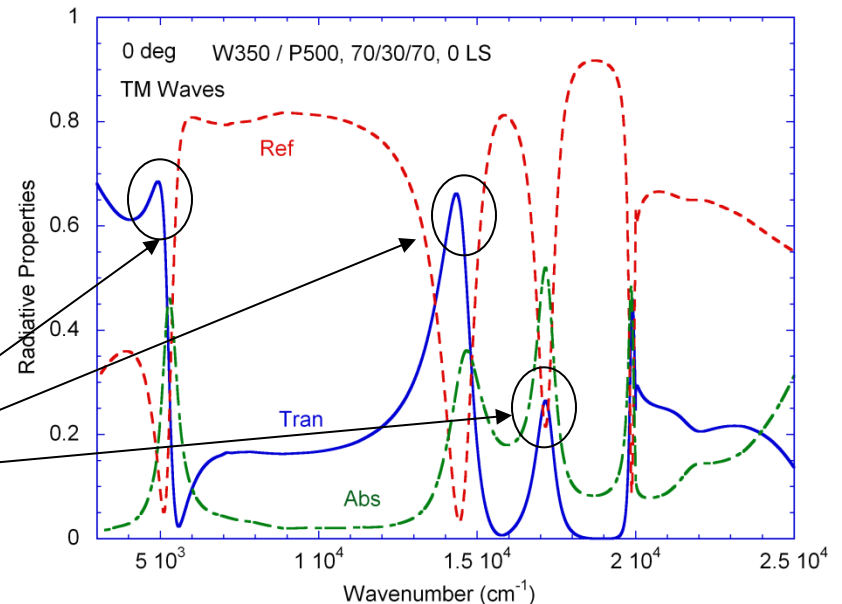
# Tailoring Transmittance

## 1 layer nanoslit



$w = 350 \text{ nm}$   
 $\Lambda = 500 \text{ nm}$

## 2 layer nanoslits

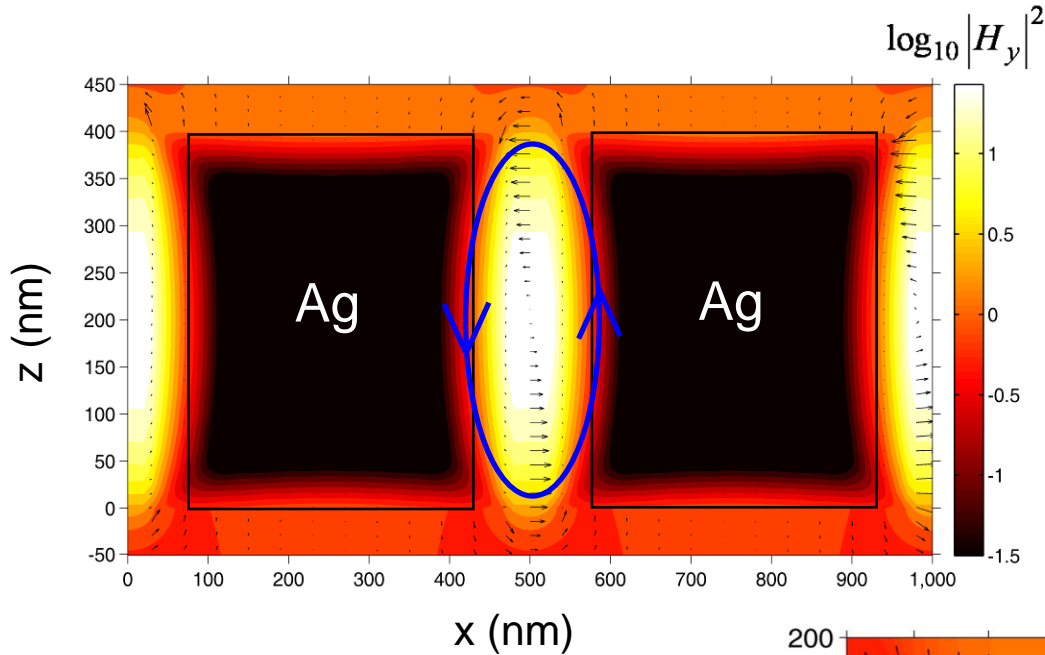


We show see next that these are due to the excitation of magnetic polaritons!

Transmission enhancement



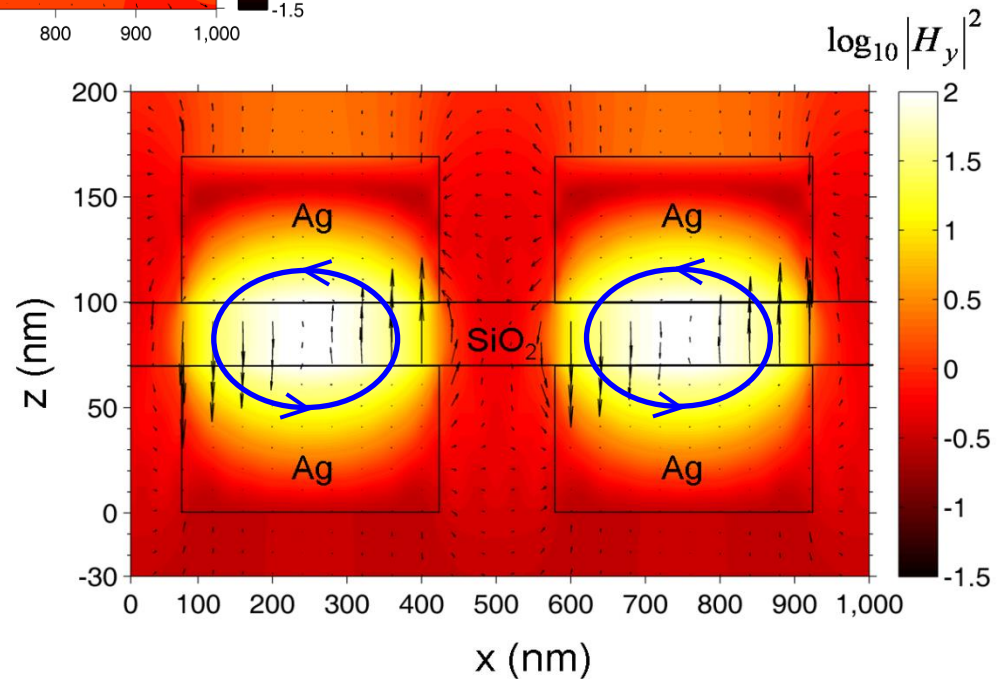
# EM Field Distribution: MP1



Simple gratings  
at normal incidence

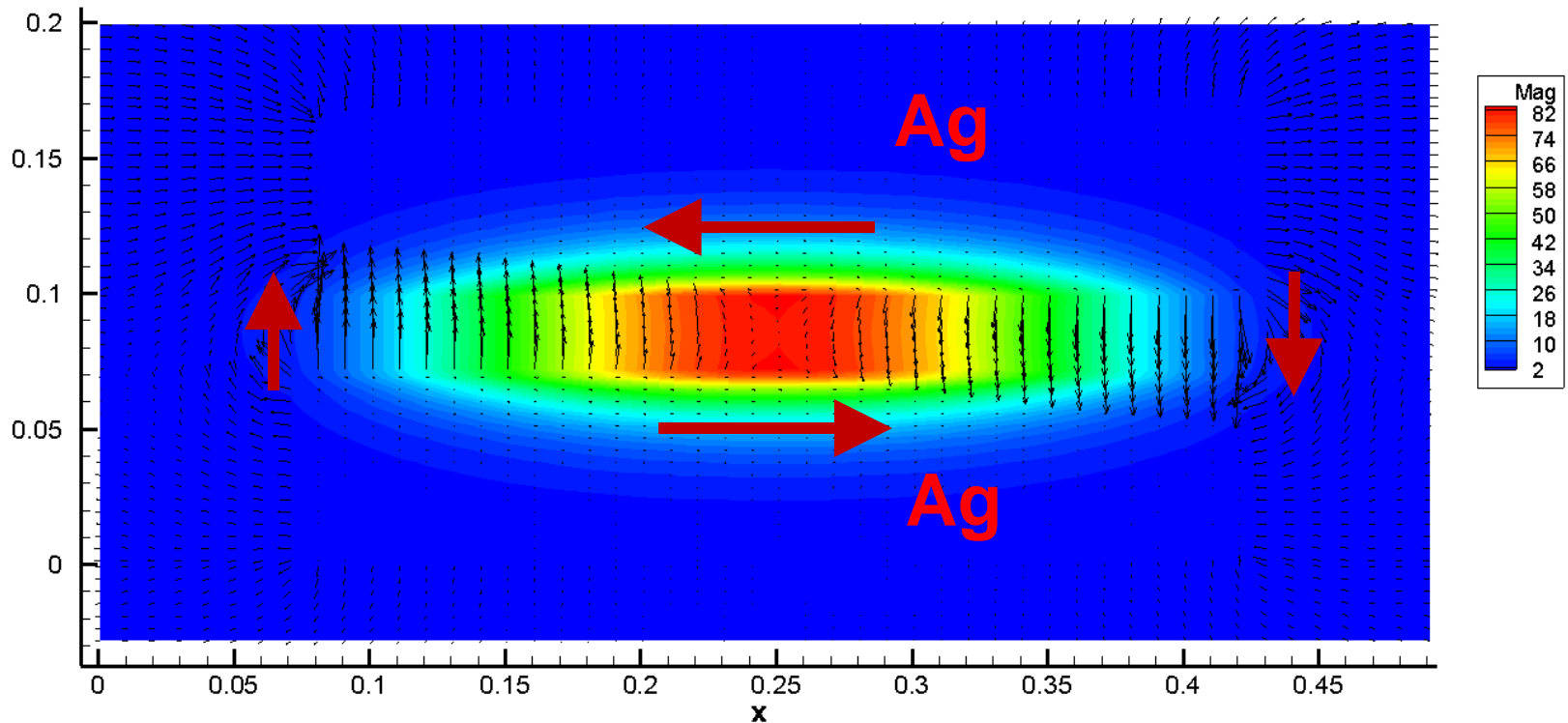
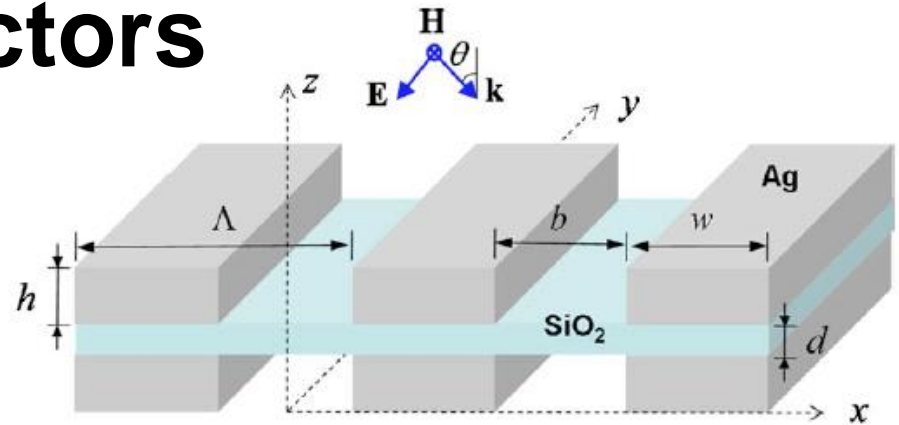
*Diamagnetic behavior!*

Double-layer slit array  
at normal incidence



# Electric Field Vectors

$\Lambda = 500 \text{ nm}$ ,  $w = 350 \text{ nm}$ ,  
 $d = 30 \text{ nm}$ ,  $h = 70 \text{ nm}$ .  
MP1 is @  $5120 \text{ cm}^{-1}$



Electric field vectors **do not** form a loop

# Electric Field Vectors and Current Density Vectors

The complex conductivity can be expressed as :

$$\sigma = \sigma' + i\sigma'' = \omega\epsilon_0 (\epsilon'' - i\epsilon')$$

Full current density is :

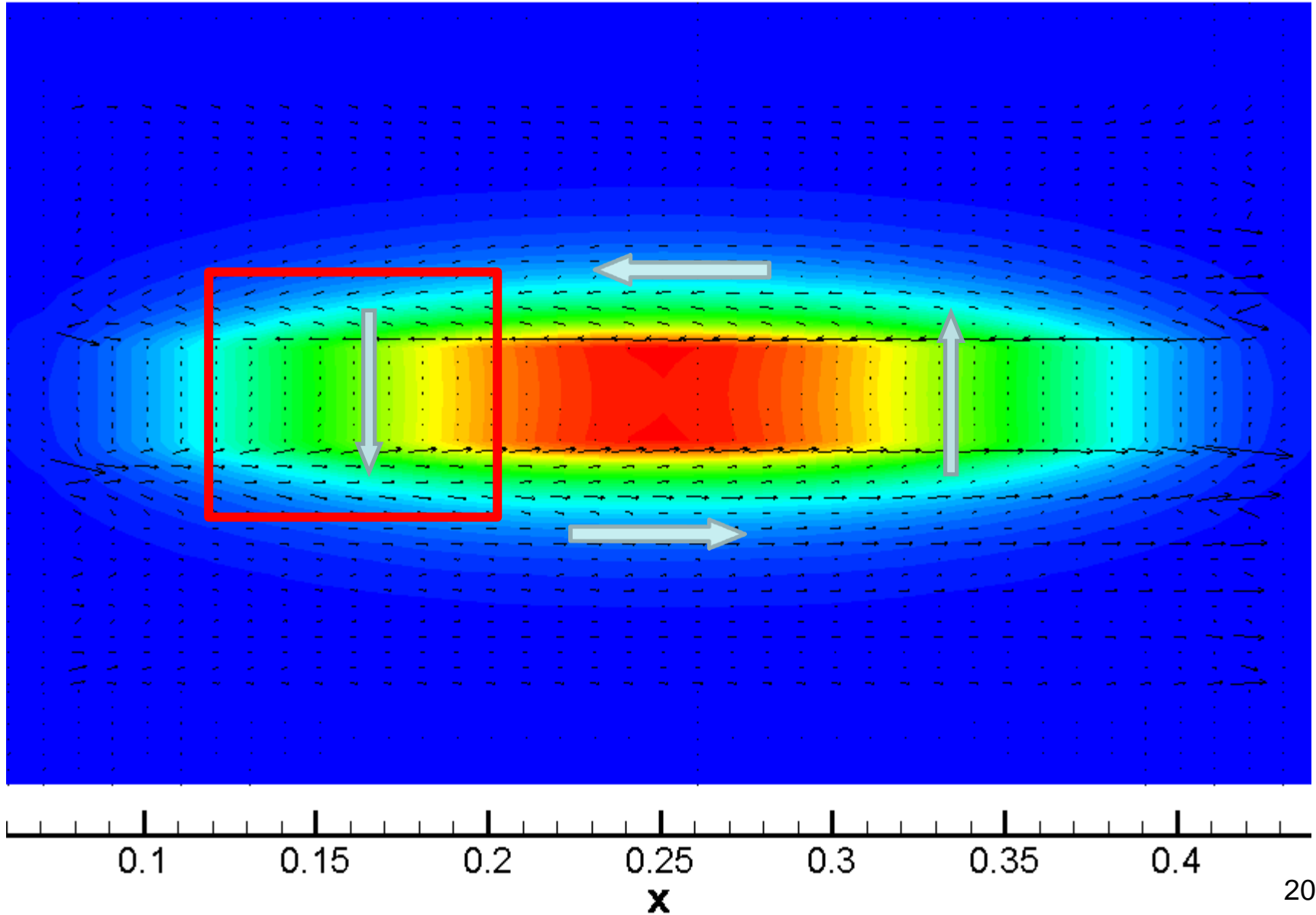
$$\mathbf{J} = \sigma\mathbf{E} = \mathbf{j}_0 + \frac{\partial\mathbf{D}}{\partial t}$$

Note that  $\mathbf{J}$  includes both the conductive current density due to free charge and the displacement current density, which is

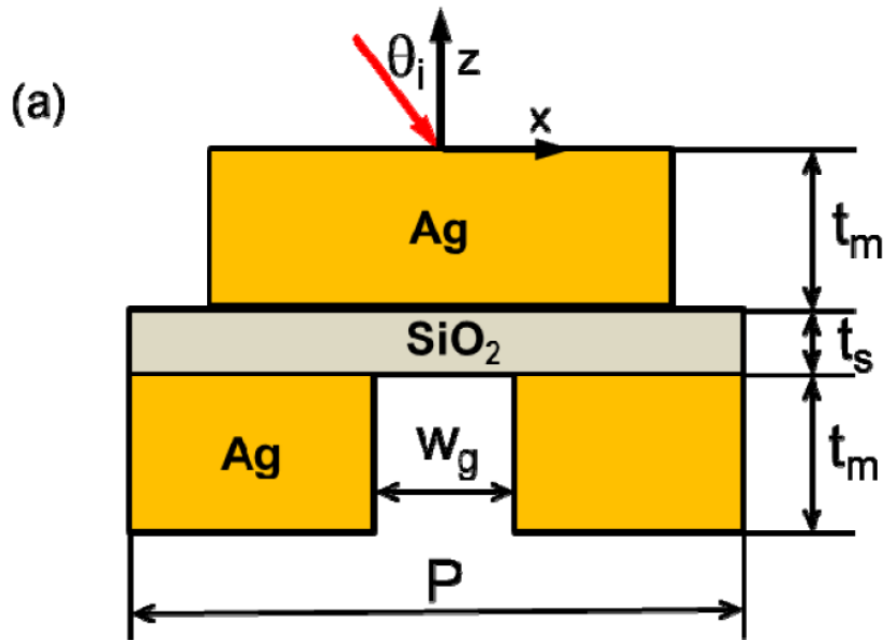
$$\mathbf{J}_D = \epsilon_0 \frac{\partial\mathbf{E}}{\partial t} + \frac{\partial\mathbf{P}}{\partial t}$$

$$\mathbf{J} = \sigma\mathbf{E} = (\sigma' + i\sigma'')(\mathbf{E}' + i\mathbf{E}'') = \sigma'\mathbf{E}' - \sigma''\mathbf{E}'' + \text{imag}(\mathbf{J})$$

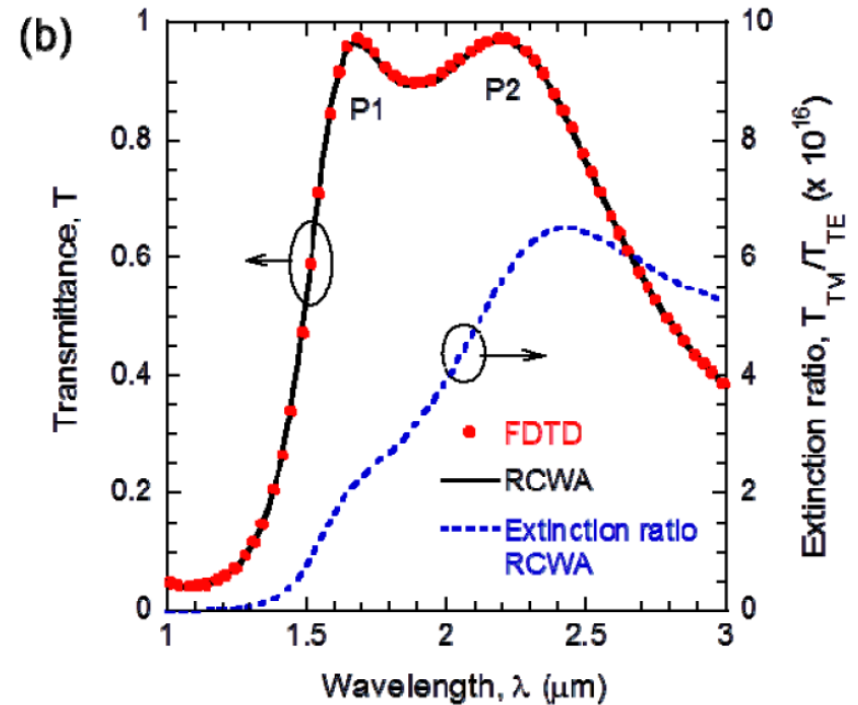
# Current Density Vectors Do Form a Loop



# Near-IR Polarizer with Very High Extinction Ratio

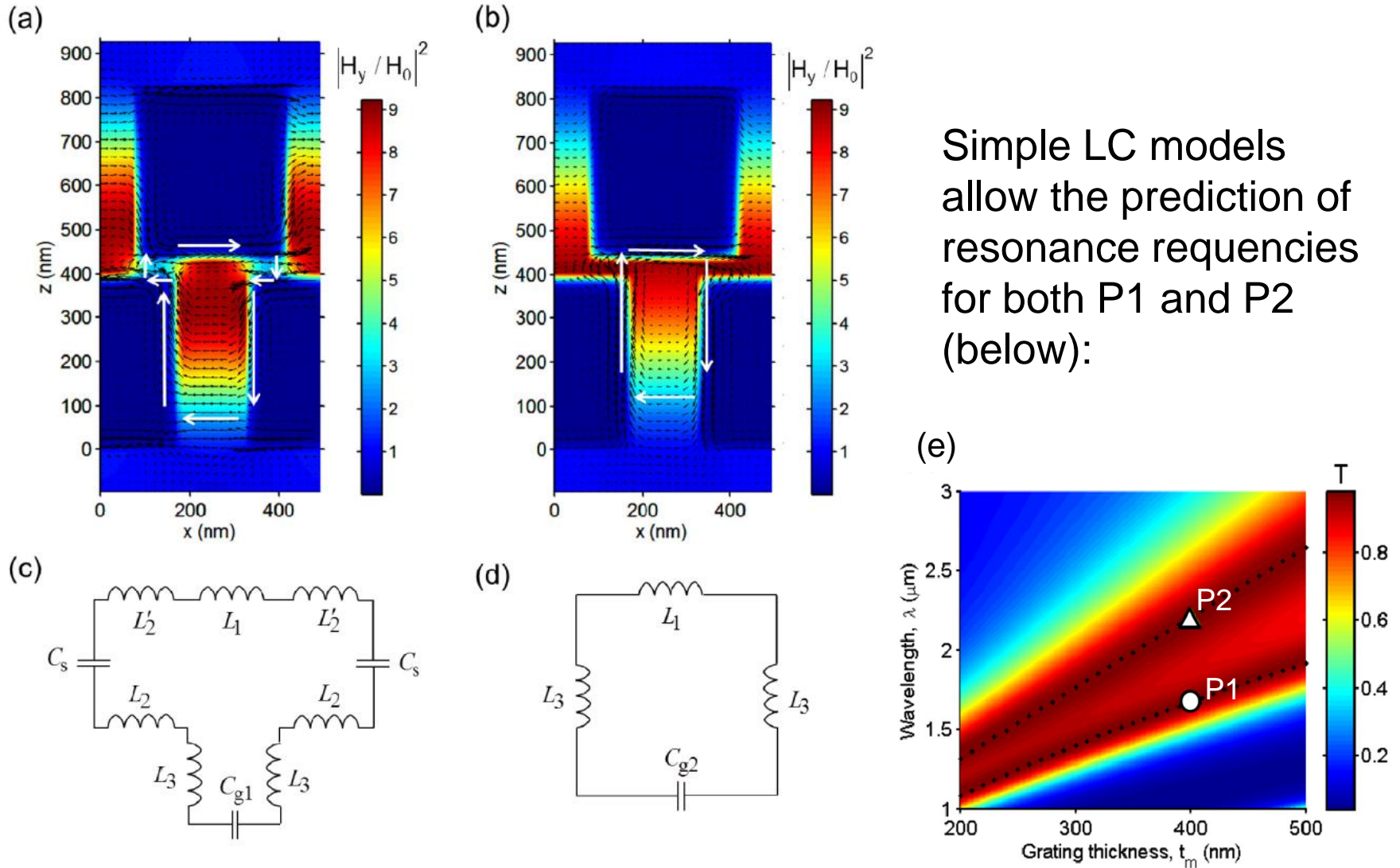


$P = 500 \text{ nm}$ ,  $W_g = 150 \text{ nm}$ ,  
 $t_m = 400 \text{ nm}$ , and  $t_s = 30 \text{ nm}$



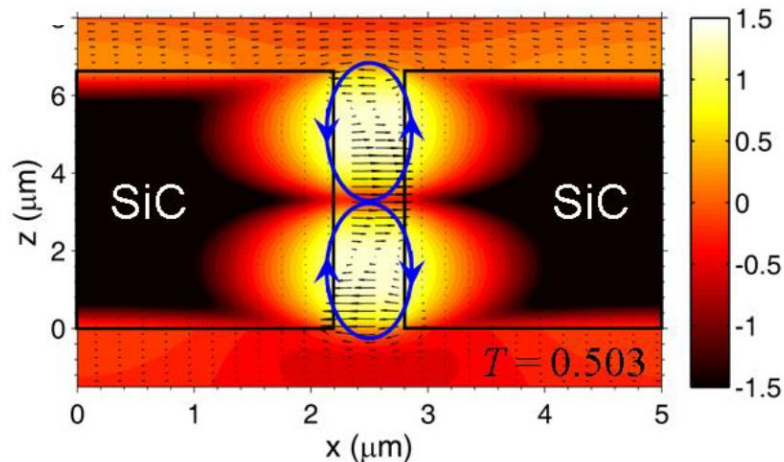
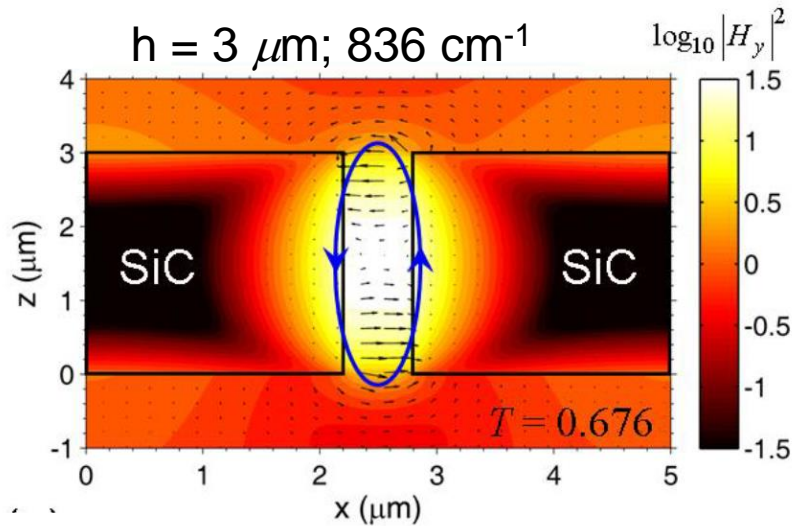
Liu, Zhao, and Zhang, *Optics Express* **21**, 10502 (2013)

# Field Distributions and LC Models



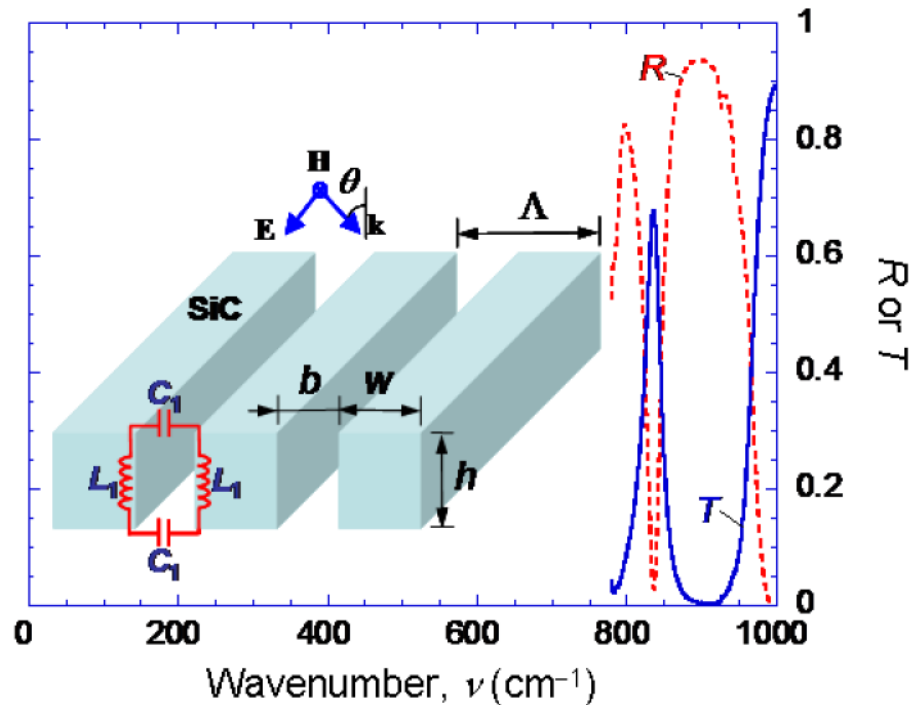
Simple LC models allow the prediction of resonance frequencies for both P1 and P2 (below):

# Phonon-mediated MPs in SiC Slit Arrays



$h = 6.6 \mu\text{m}; 836 \text{ cm}^{-1}$

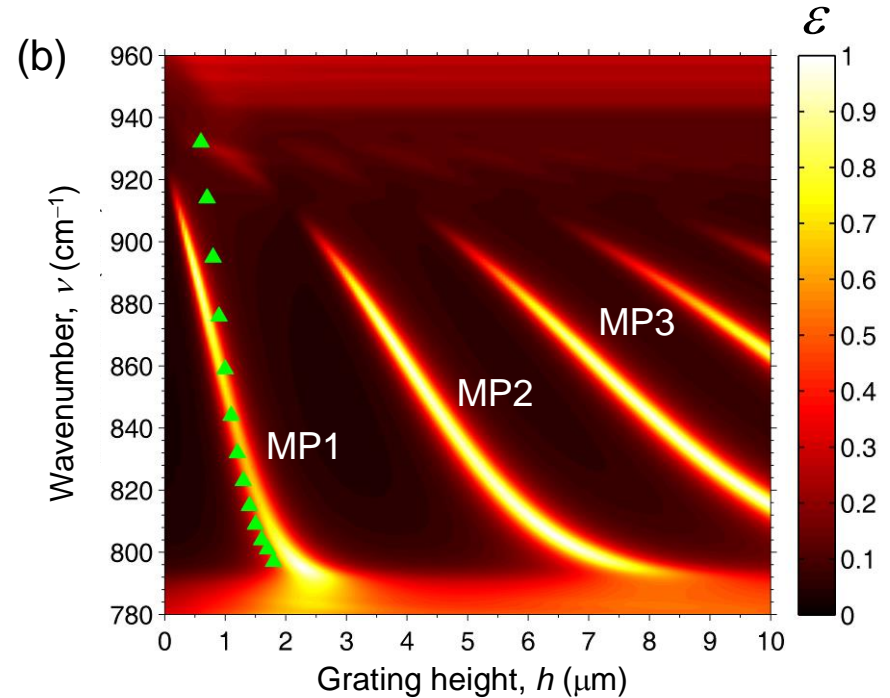
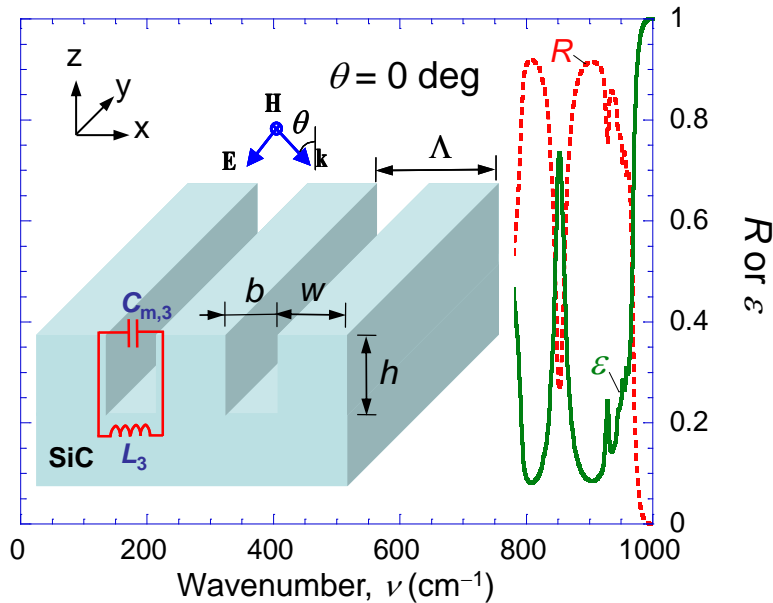
$\Lambda = 5 \mu\text{m}; w = 4.5 \mu\text{m}; h = 3 \mu\text{m}$



Wang and Zhang, *Opt. Express* **19**, A126 (2011)

# Phonon-mediated MPs in SiC Gratings

(a)  $\Lambda = 5 \mu\text{m}$ ,  $w = 4.5 \mu\text{m}$ ,  $h = 1 \mu\text{m}$



## LC model for MP1:

$$L_{m,\text{coil}} = \mu_0 h b / l, \quad L_{k,\text{ph}} = -(2h + b) / (\omega^2 \epsilon_0 \epsilon' l \delta), \quad \text{where } \epsilon_{\text{SiC}} = \epsilon' + i\epsilon''$$

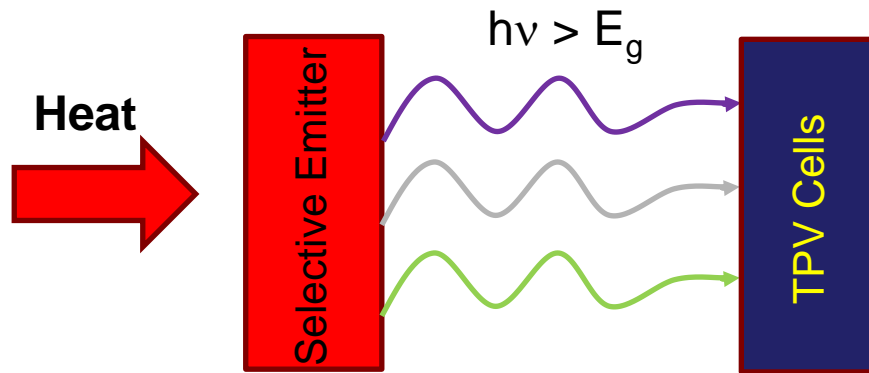
$$C_{m,3} = c_2 \epsilon_0 h l / b, \quad \text{where } c_2 = 0.55$$

Cannot use plasma frequency for  $L_k$ .

$$\text{Total impedance: } Z_{\text{tot}} = i\omega \left( L_{m,\text{coil}} + L_{k,\text{ph}} - \frac{1}{\omega^2 C_{m,3}} \right) = 0$$



# Thermophotovoltaic (TPV) System

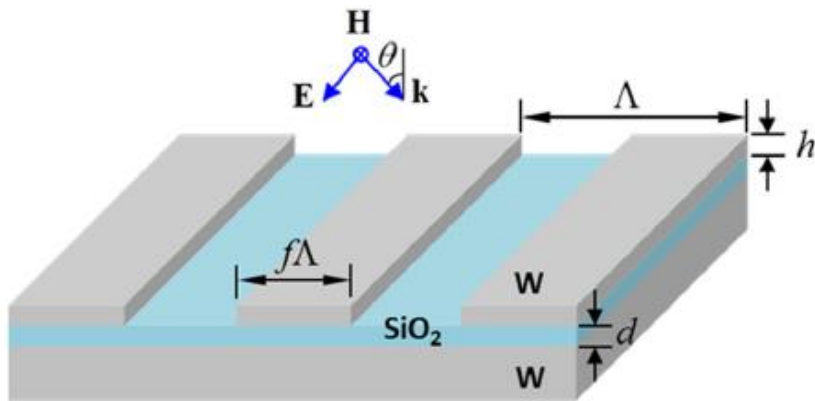


Only photons with energy higher than  $E_g$  of the TPV cells can be absorbed to generate electron-hole pairs.

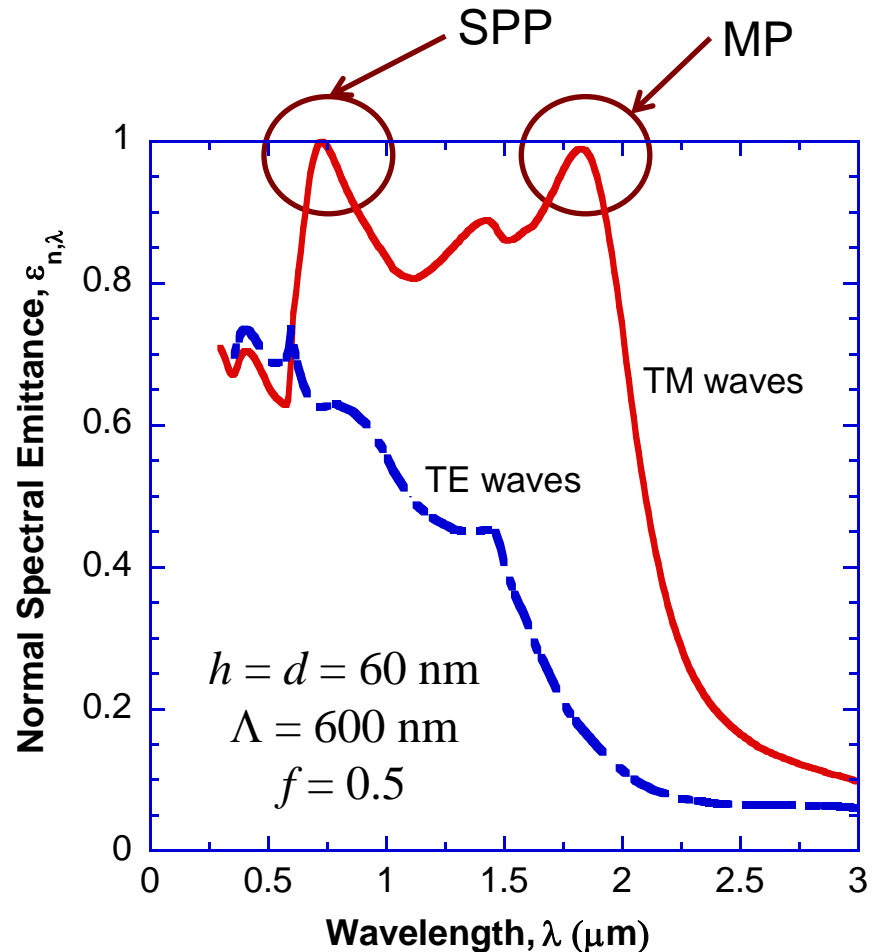
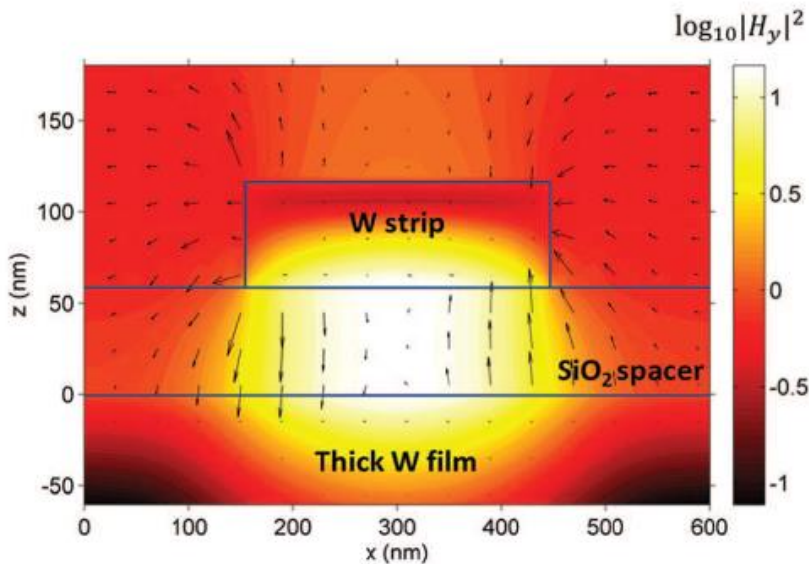
TPV Cell Material	Bandgap $E_g$ (ev) / ( $\mu\text{m}$ )
GaSb	0.72 / 1.72
$\text{In}_x\text{Ga}_{1-x}\text{Sb}$	0.17 - 0.72 / 7.29 – 1.72
$\text{In}_x\text{Ga}_{1-x}\text{As}$	0.36 – 1.42 / 3.44 – 0.87
.....	.....

See for example, Basu et al., 2007, *Int. J. Energy Res.* **31**, pp. 689-716.

# Microstructures as TPV Emitters



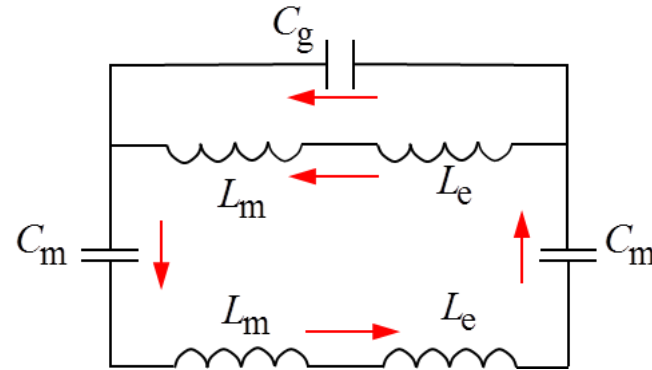
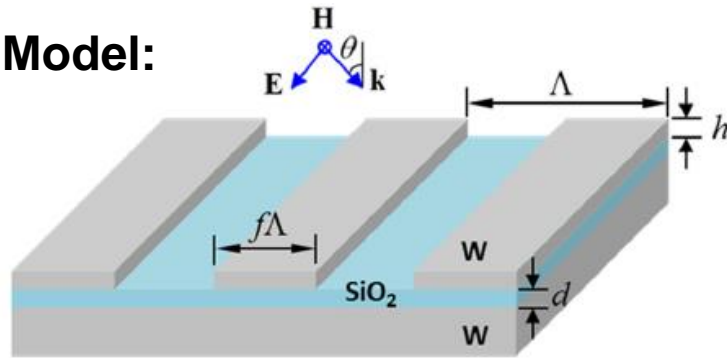
1D tungsten grating/film metamaterial



Wang and Zhang, 2012, *Appl. Phys. Lett.* **100**, p. 063902 .

# LC Circuit Model and Current Density Loop for MP Resonance

LC Model:



$$Z_{\text{tot}}(\omega) = \frac{L_m + L_e}{1 - \omega^2 C_g (L_m + L_e)} - \frac{2}{\omega^2 C_m} + L_m + L_e = 0 \quad \Rightarrow \quad \lambda_{\text{MP}} = 1.873 \mu\text{m}$$

Current Density:

$$\sigma = \sigma' + i\sigma'' = \omega\epsilon_0(\epsilon'' - i\epsilon') = -i\omega\epsilon\epsilon_0$$

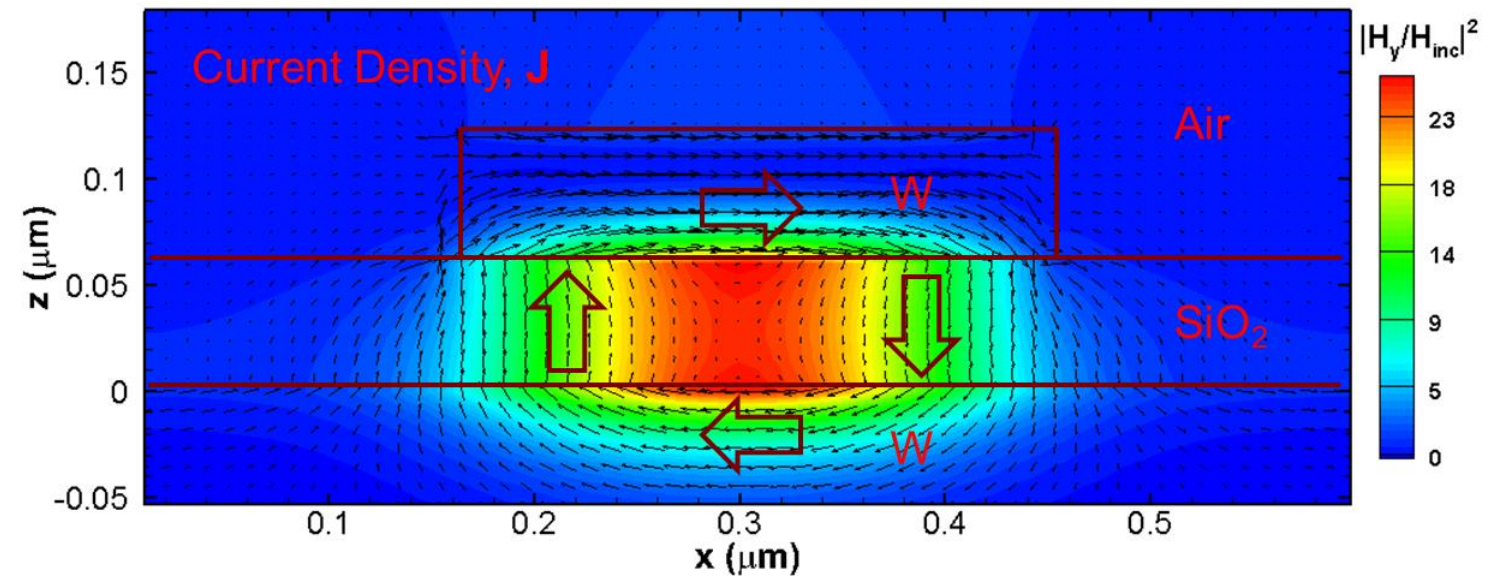
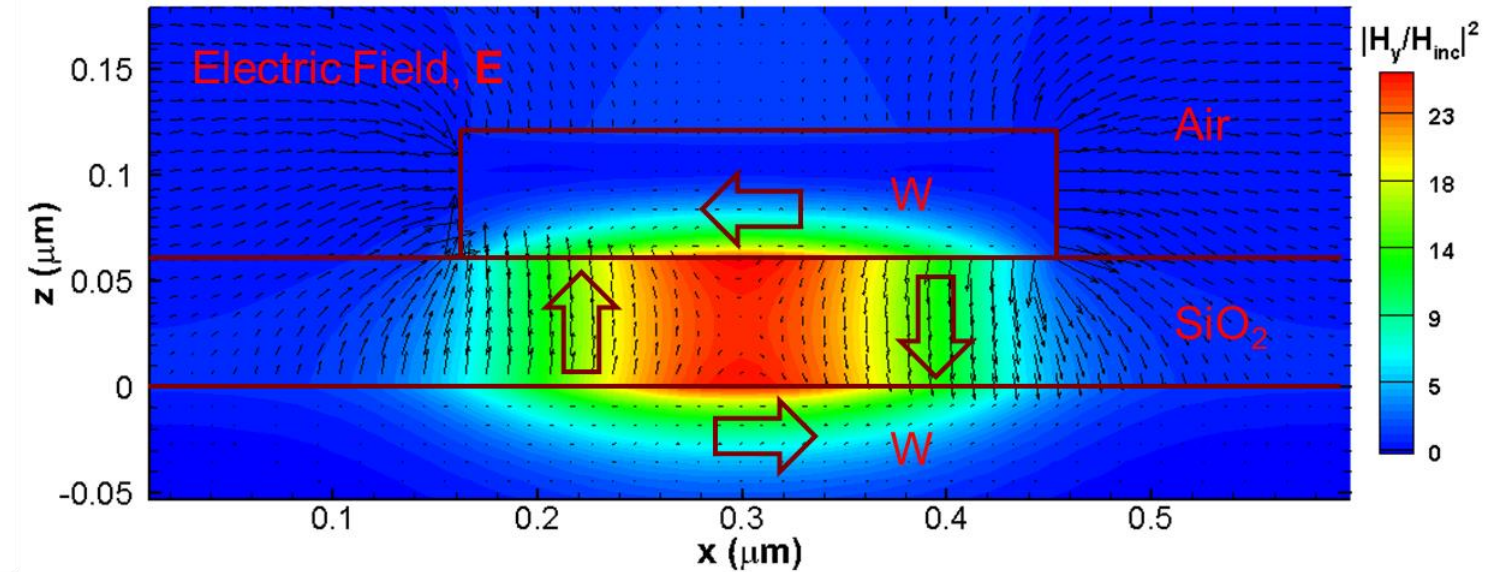
$$\mathbf{J} = \sigma\mathbf{E} = (\sigma' + i\sigma'')(\mathbf{E}' + i\mathbf{E}'') = \boxed{\sigma'\mathbf{E}'} - \boxed{\sigma''\mathbf{E}''} + \text{imag}(\mathbf{J})$$

free current density

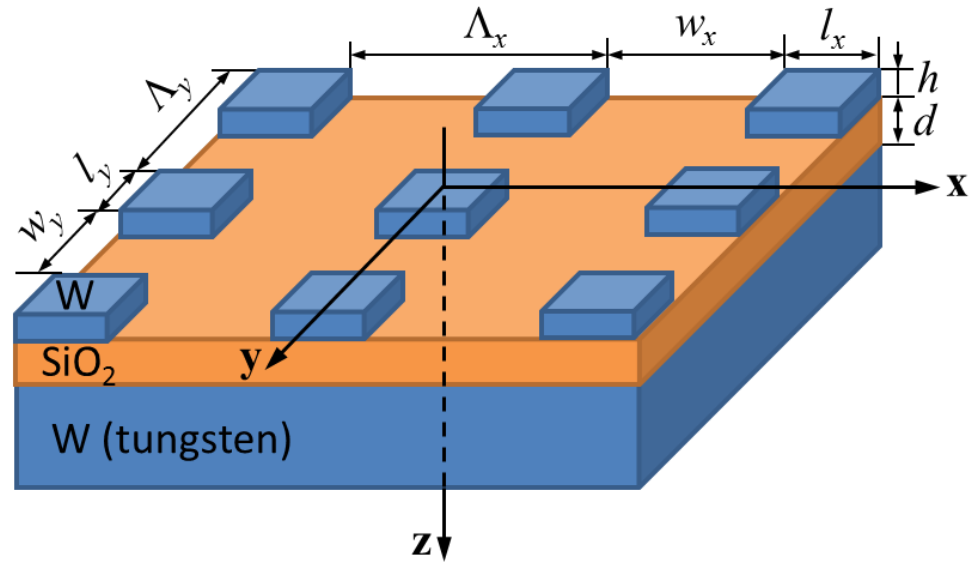
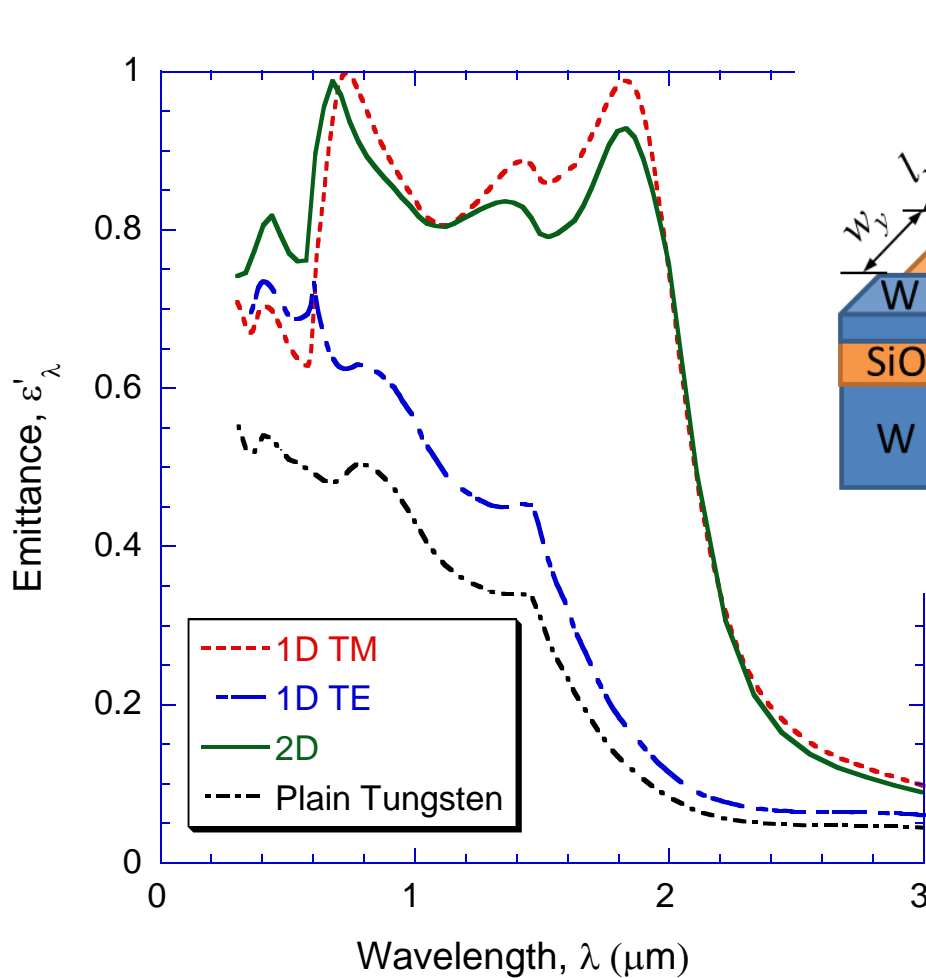
displacement current density

# Field Distributions and Current Loop

Inside W, E and J have different signs



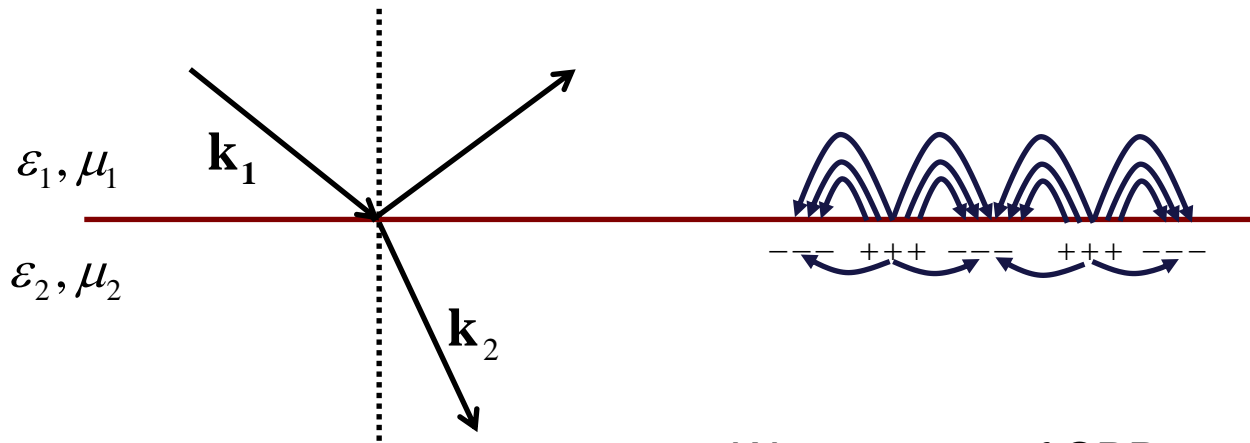
# Normal, Spectral Emittance



**2D gratings will work well for both polarizations !**

Zhao et al., submitted to *Int J Heat Mass Transfer* (2013)

# Surface Plasmon Polaritons on Gratings



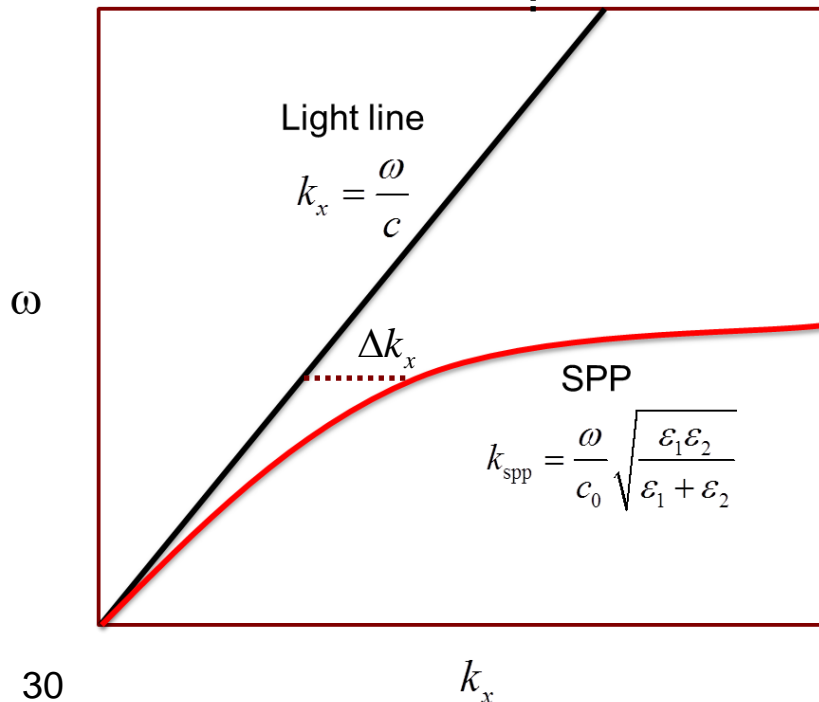
Wave vector of SPP:

$$k_{\text{spp}} = \frac{\omega}{c_0} \sqrt{\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2}}$$

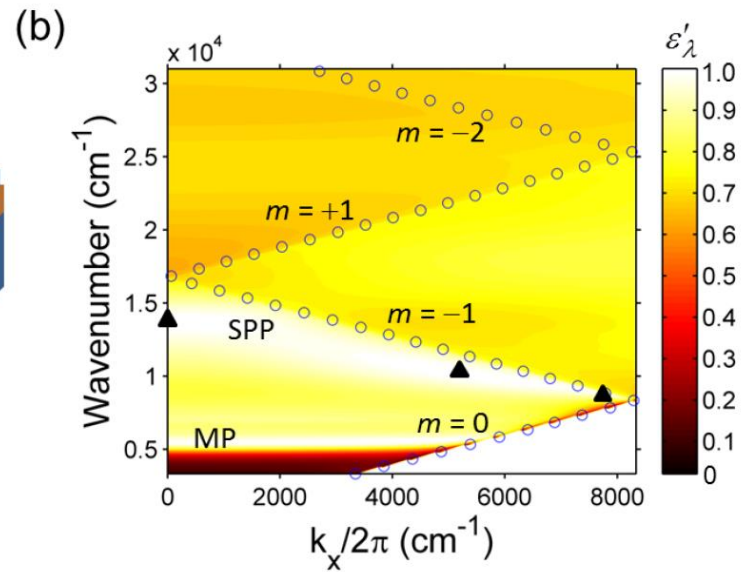
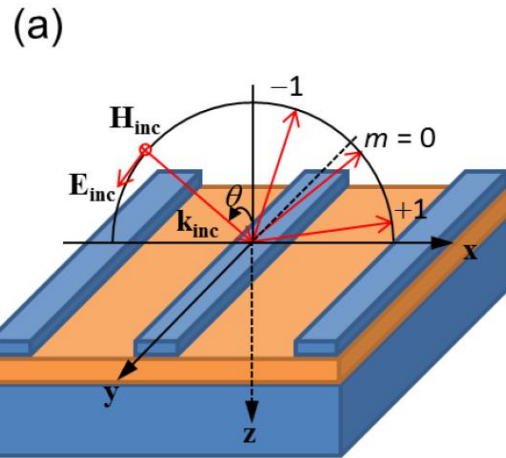
Conditions for the excitation of SPP with 2D gratings:

$$\mathbf{k}_{\text{spp}} = \mathbf{k}_{\text{inc},||} + \frac{2\pi}{\Lambda_x} m \hat{\mathbf{x}} + \frac{2\pi}{\Lambda_y} n \hat{\mathbf{y}}$$

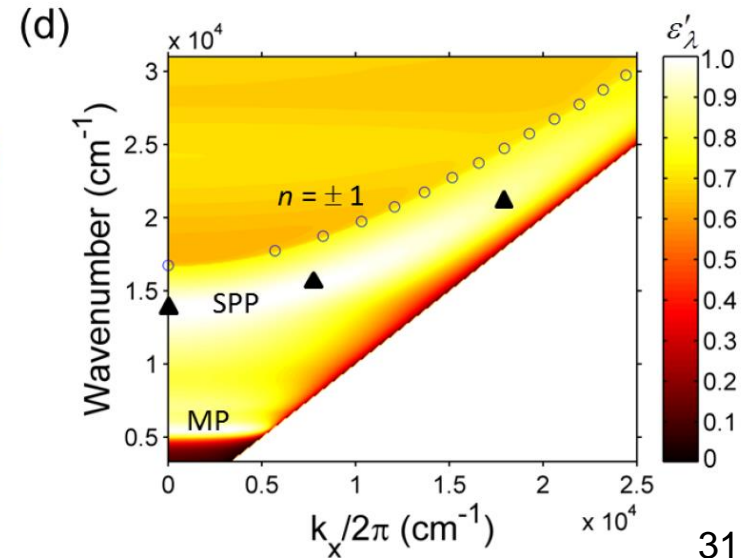
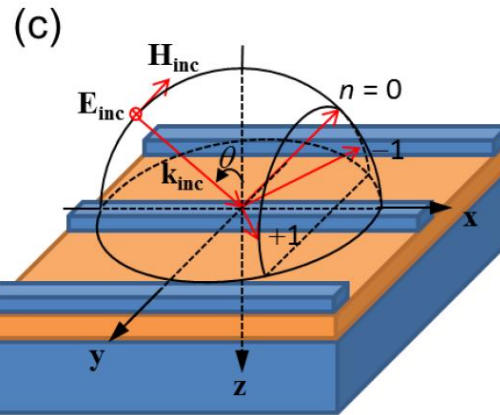
$$m, n \in [-M, M]$$



# SPP for TM and TE Waves

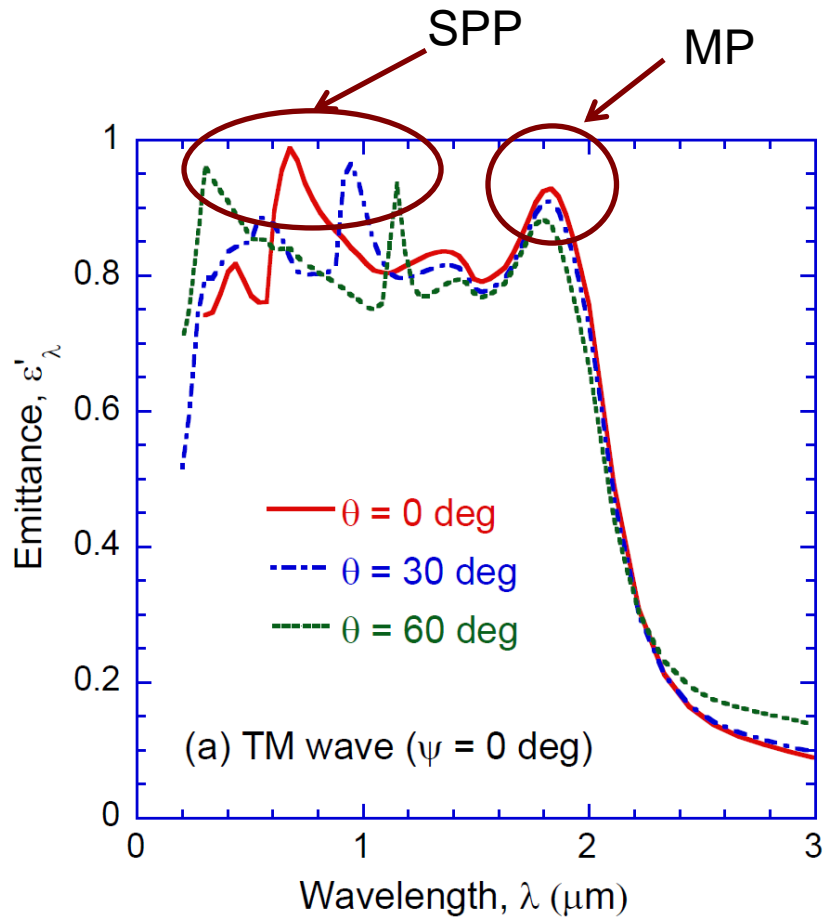


$$\left| k_{inc} \sin \theta + \frac{2\pi}{\Lambda_x} m \right| = k_x = \frac{\omega}{c_0} \sqrt{\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2}}$$



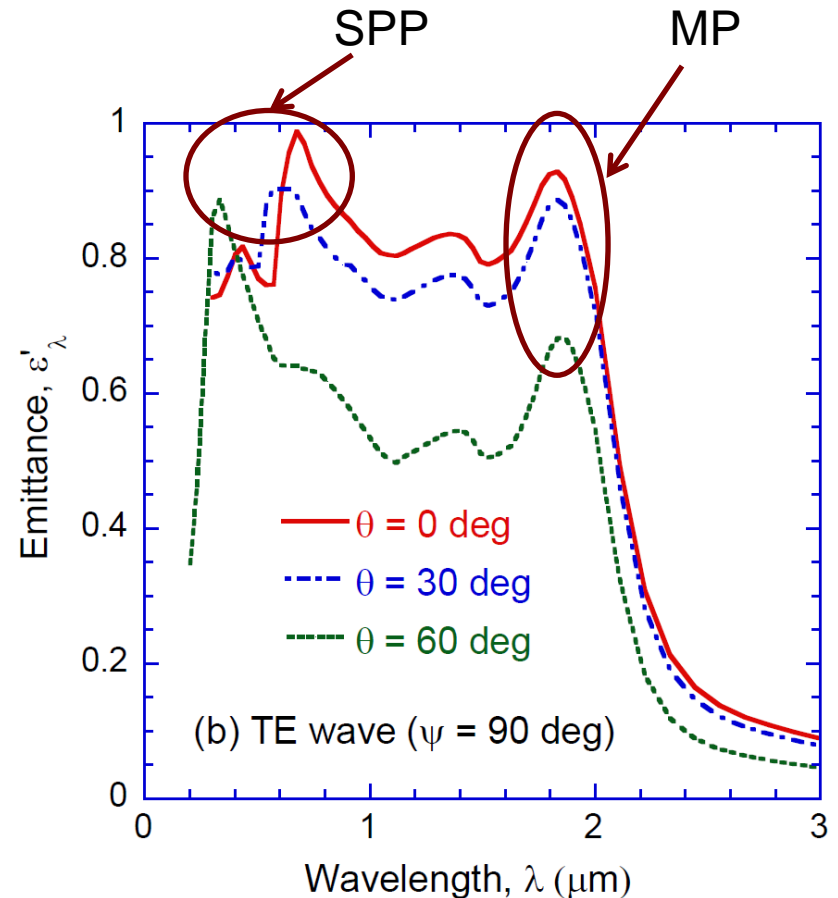
$$\sqrt{(k_{inc} \sin \theta)^2 + \left( \frac{2\pi}{\Lambda_y} n \right)^2} = k_x = \frac{\omega}{c_0} \sqrt{\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2}}$$

# Spectral Emittance of the 2D Grating



SPPs split and shift to both sides

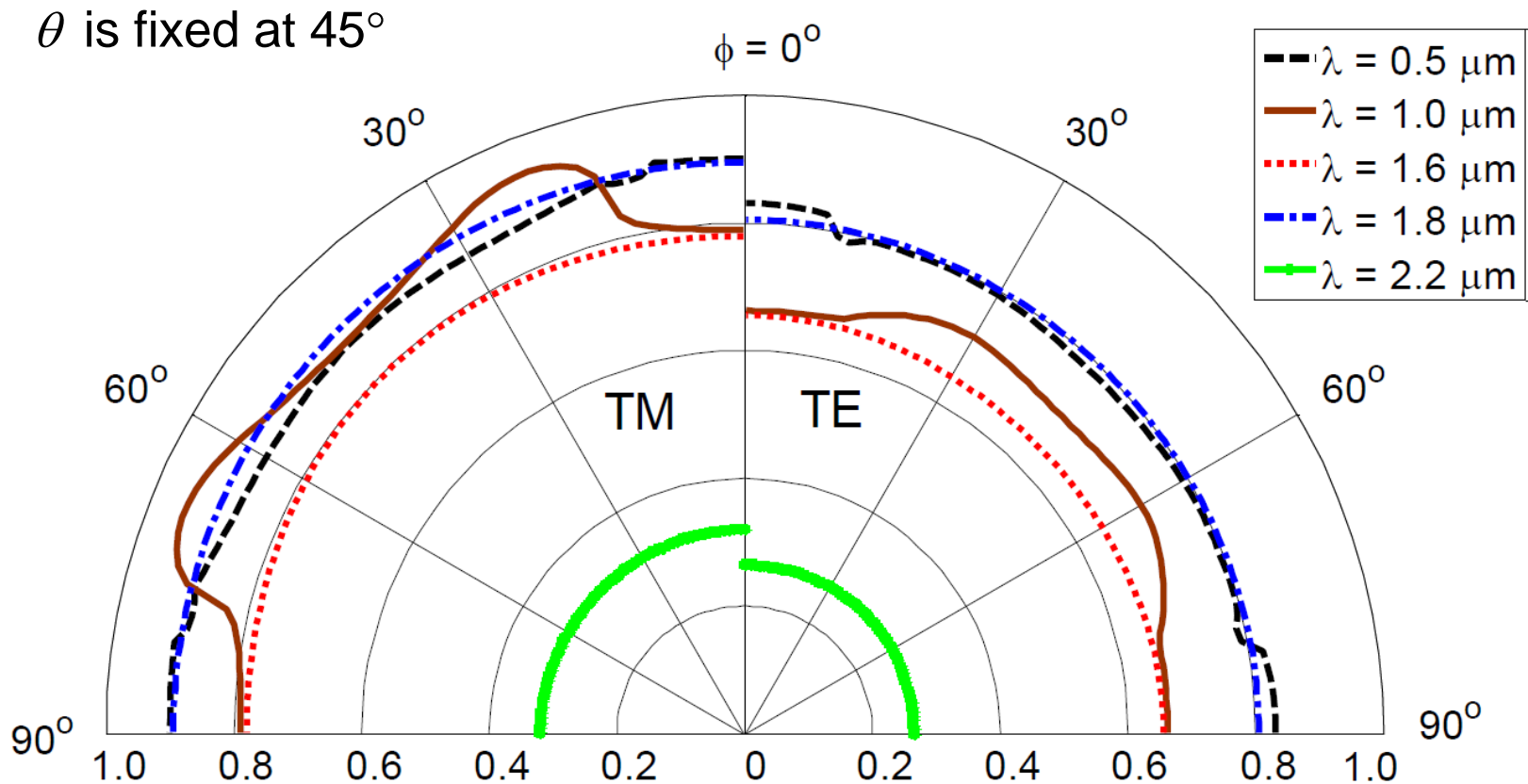
MP frequencies are insensitive to the angle



SPPs shift to short wavelengths



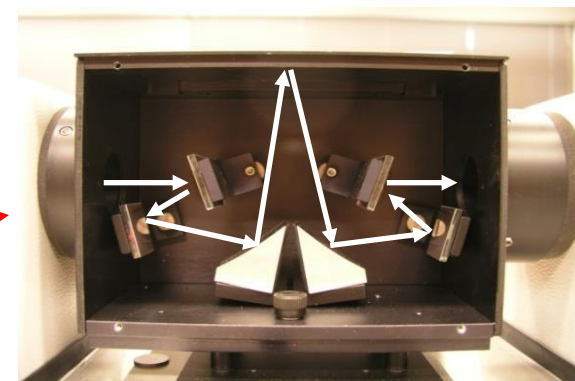
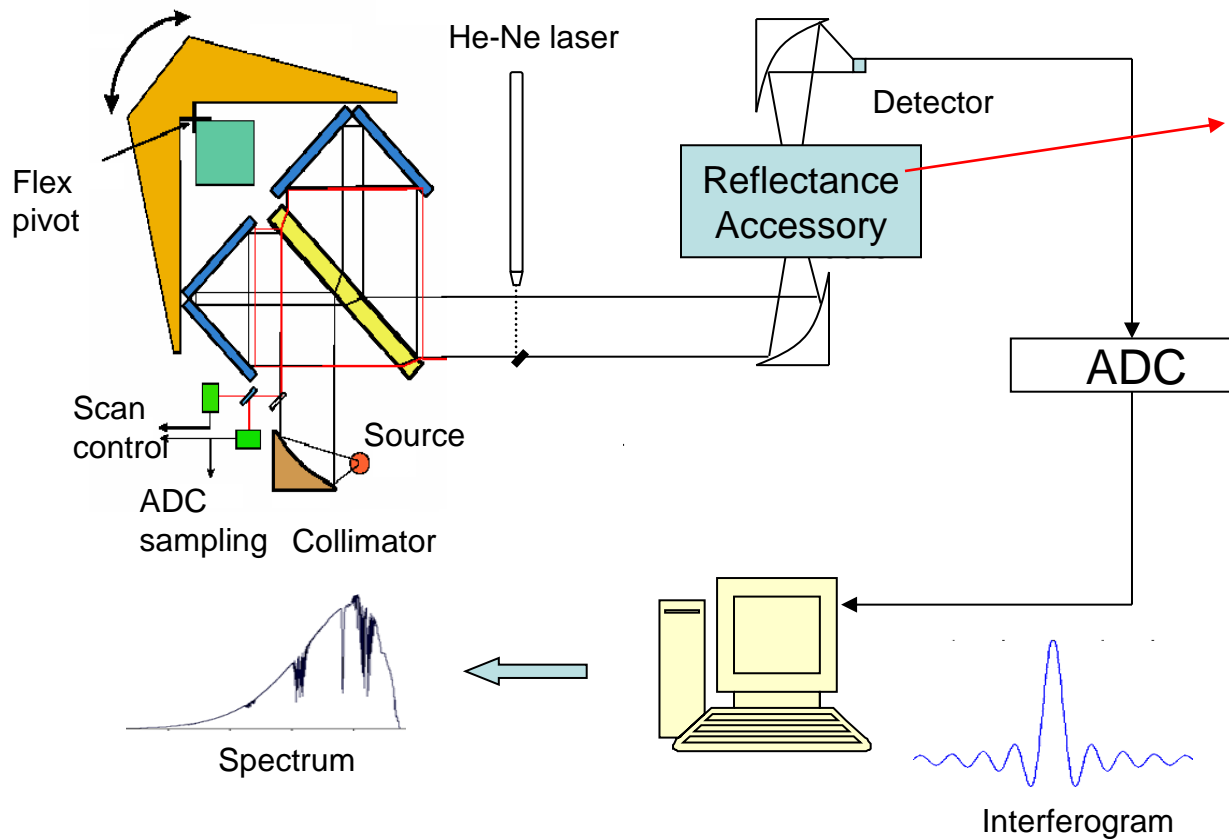
# Directional Emittance of the 2D Grating (Effect of Azimuthal Angle)



Large emittance in the desired spectral region that is insensitive to polarization or direction.

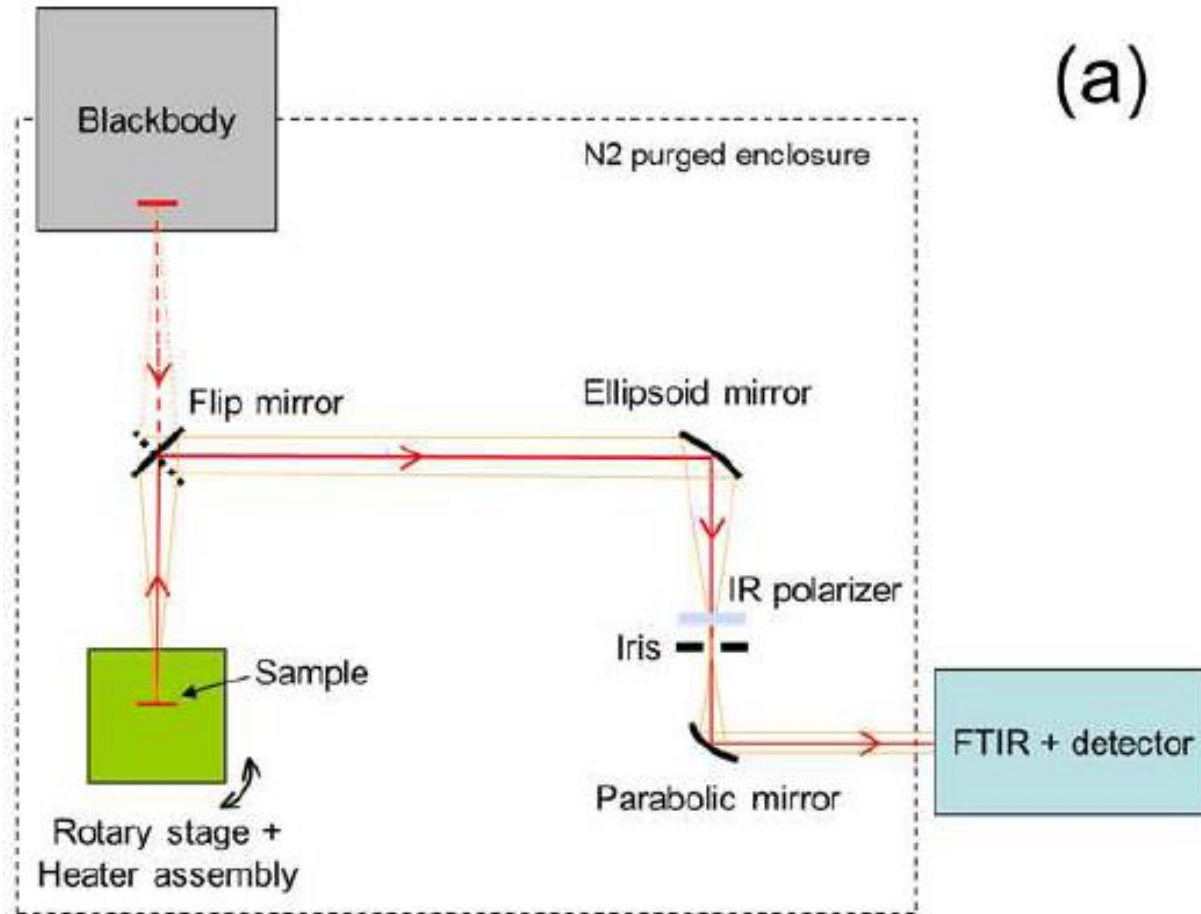
# FTIR Spectral Measurements

(1 – 20  $\mu\text{m}$  wavelength range)



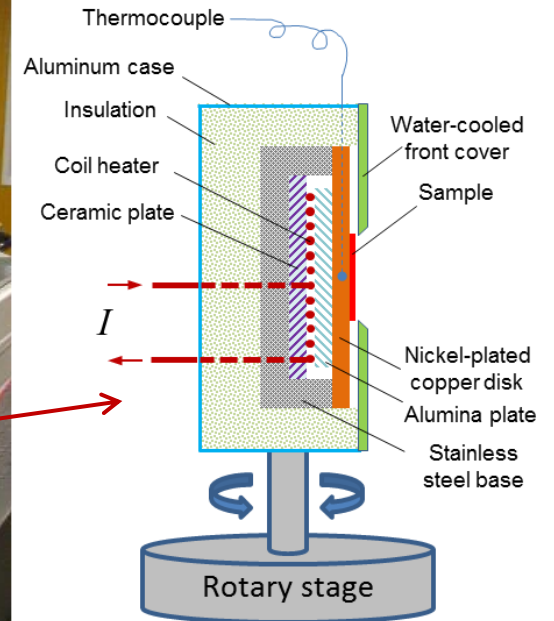
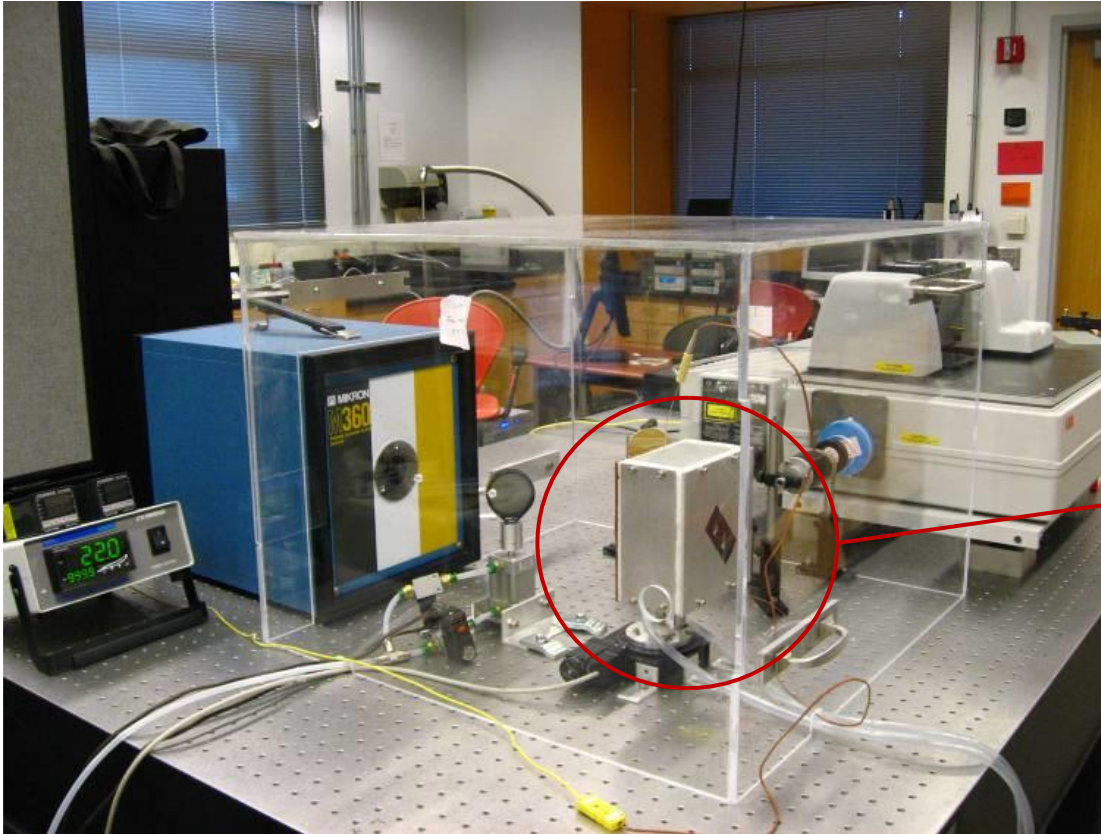
Specular reflectance accessory  
(near normal incidence)

# High-Temperature Emittance Meter



Wang et al., *J. Heat Transfer*, **134**, 072701 (2012).

# Emissometry Setup



**Heater Assembly**

**Specs:** Solid Angle:  $8.35\text{E}-3$  sr  
Rotation Resoln.:  $0.01^\circ$   
Max. Temp: 1000 K

PID control  $\Delta T$ :  $\pm 1$  K  
Detector: InSb ( $>500$  K,  $2 - 5.5$   $\mu\text{m}$ )  
DTGS ( $>700$  K,  $0.7 - 20$   $\mu\text{m}$ )

# Emissometry Calibration

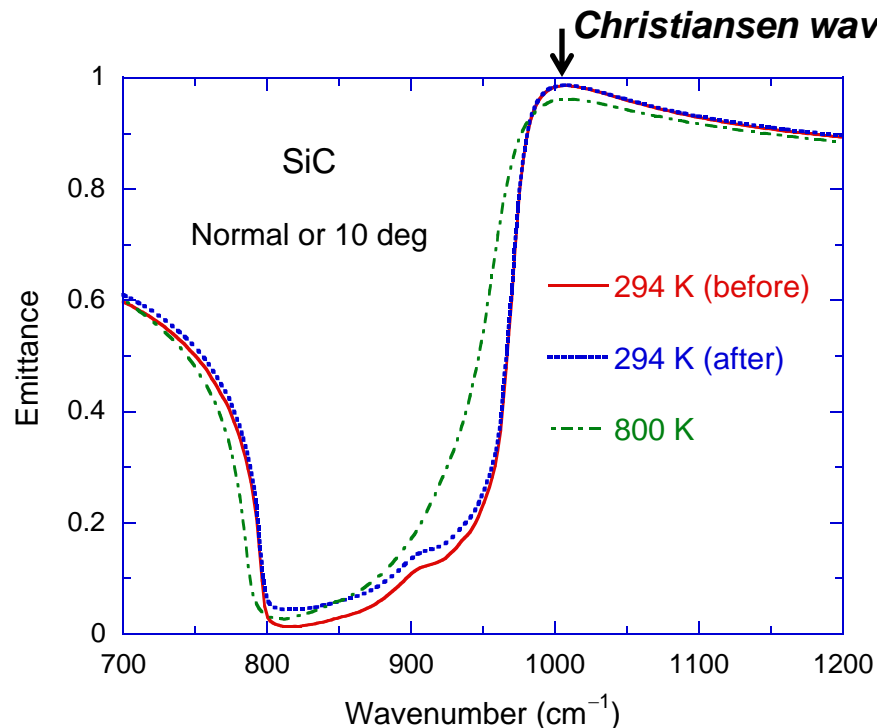
**Spectral-directional emittance:** 
$$\epsilon'_\nu(\nu, \theta) = \frac{S_S(\nu, T_S) - S_A(\nu, T_A)}{S_B(\nu, T_S) - S_A(\nu, T_A)}$$

Here,  $S_S$  is the signal from the sample surface at  $T_S$

$S_B$  is the signal from the Blackbody at  $T_S$

$S_A$  is the signal from the ambient at  $T_A$

Sample: *n-doped* 6H-SiC wafer (resistivity of 0.02 to 0.1  $\Omega$ -cm)



Max. Emittance:

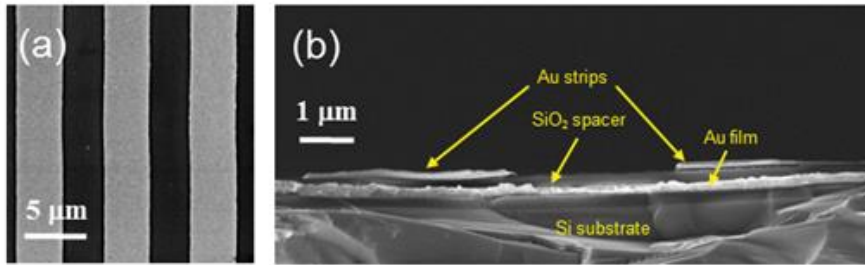
0.986 @ 300 K

0.962 @ 800K

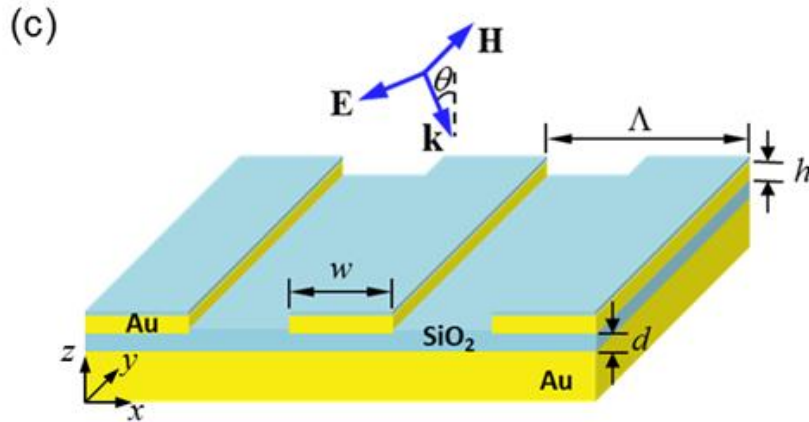
Overall uncertainty

< 0.03

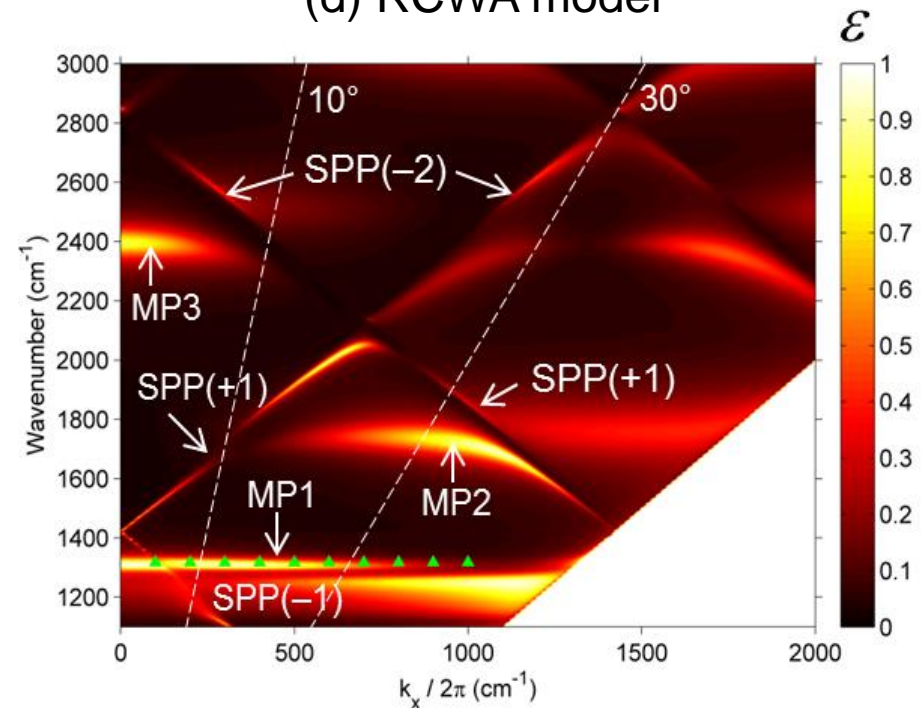
# Au Grating-SiO<sub>2</sub> Spacer-Au Film



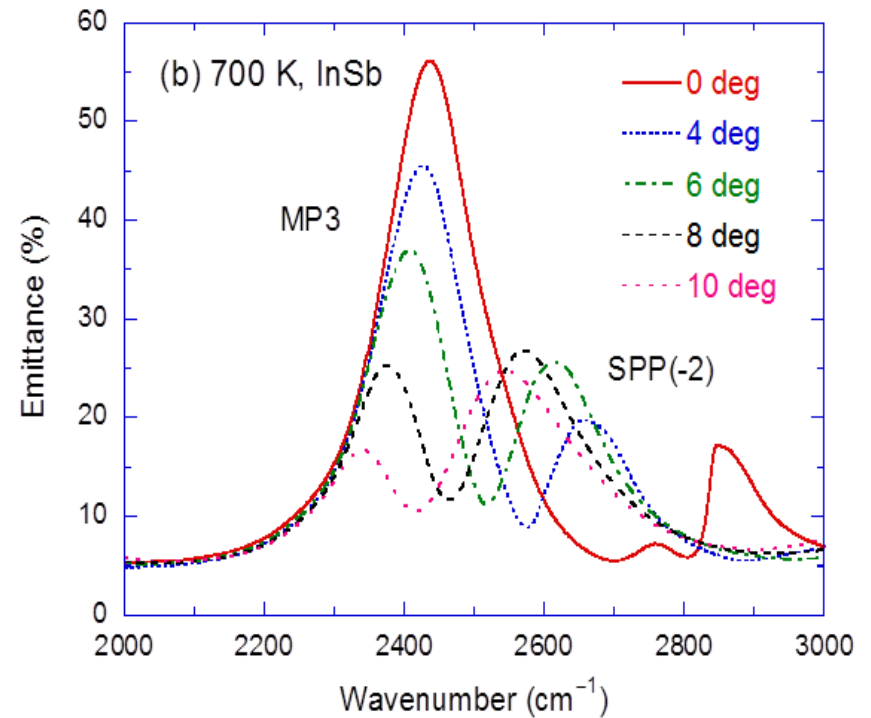
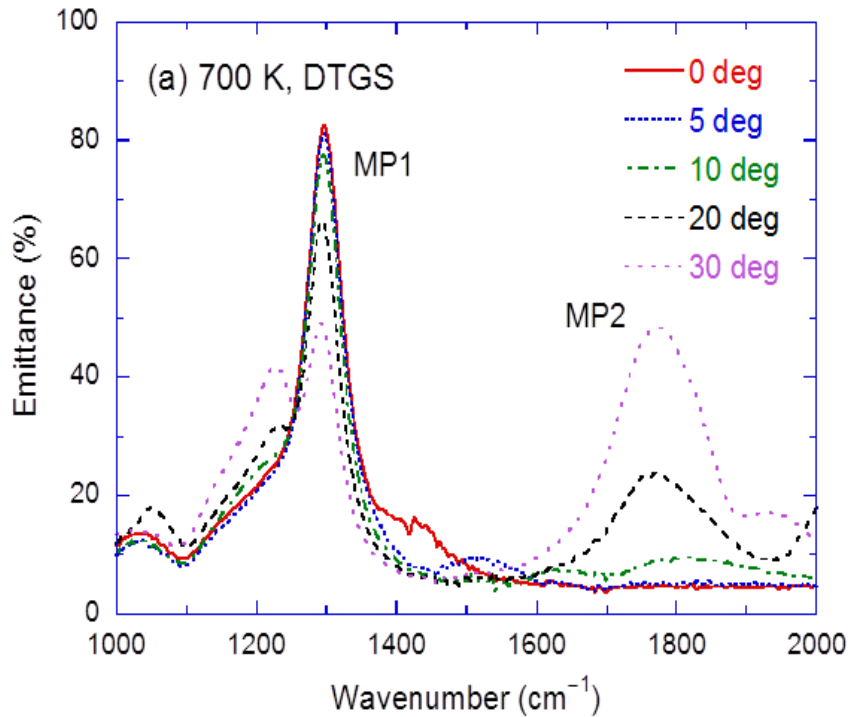
Fabricated sample with a period of 7  $\mu\text{m}$



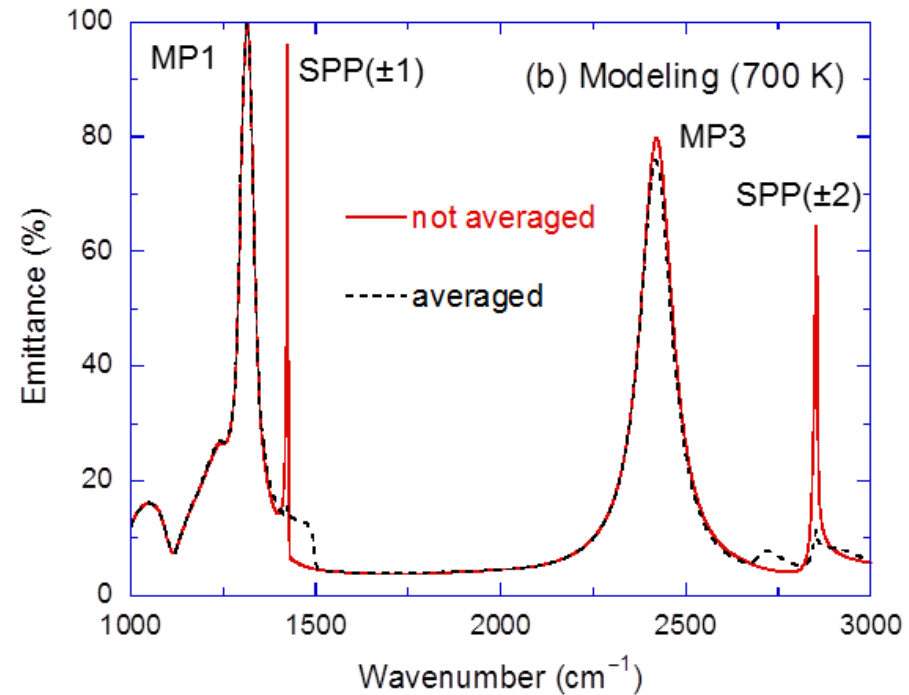
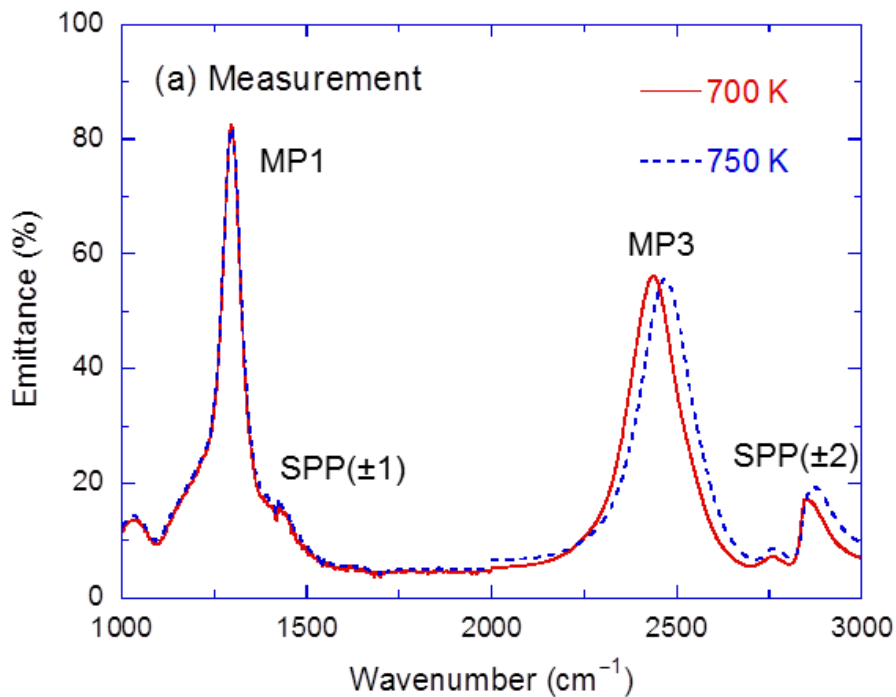
(d) RCWA model



# Measured Emittance for TM Waves



# Comparison with Prediction (normal emittance)

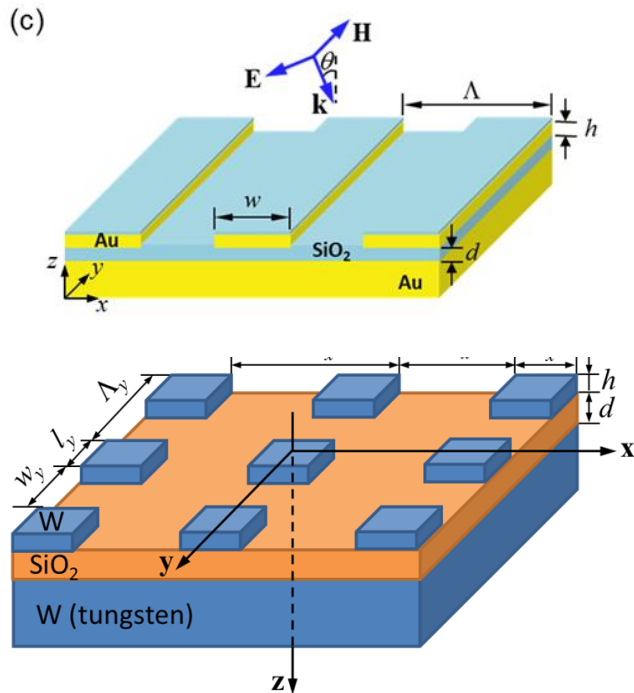
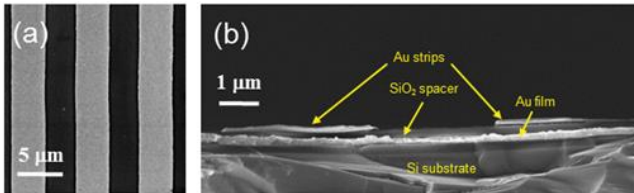


Wang and Zhang, *J. Heat Transfer* (to appear in MNHMT special issue, 2013)

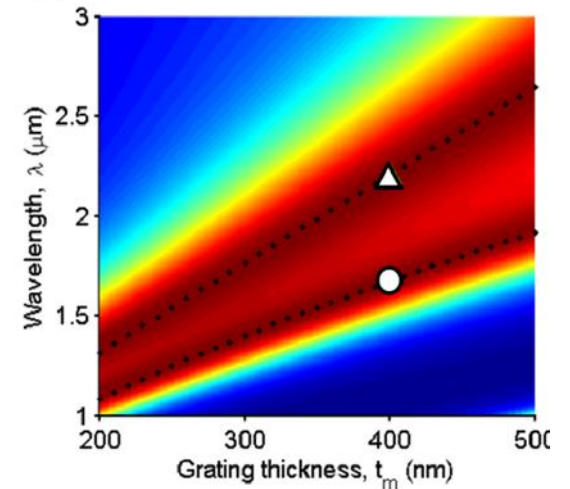
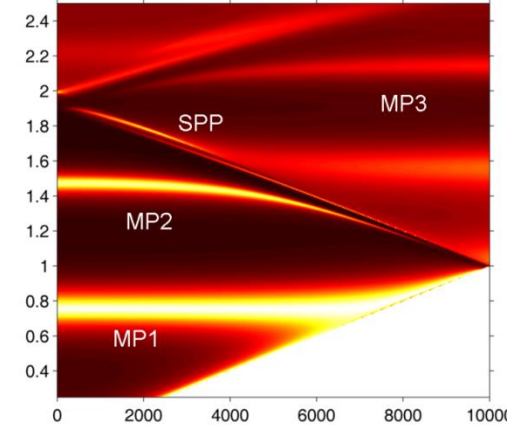
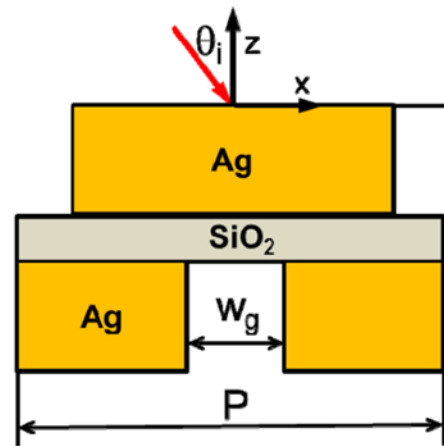
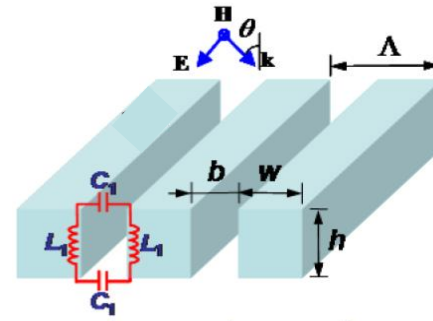


# Summary

1. Coherent thermal emission and TPV applications with an experimental demonstration



2. Unique transmission characteristics and a design of IR polarizers



# Former/current students and collaborators on this project:

- Dr. Liping Wang, Assis. Prof., Arizona State Univ.
- Dr. Bong Jae Lee, Assis. Prof., KAIST, Korea
- Mr. Ahmad Haider (former M.S. student)
- Mr. Bo Zhao (current Ph.D. student)
- Mr. Xianglei Liu (current Ph.D. student)
- Dr. Yong Shuai (visiting scholar from HIT)

## Sponsors

- National Science Foundation (NSF)
- Department of Energy (DoE)

[www.me.gatech.edu/~zzhang](http://www.me.gatech.edu/~zzhang)