

# SCATTERING APPROACH FOR MOMENTUM AND HEAT TRANSFER

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Nanoscale Radiative Heat Transfer, Les Houches, 16/05/2013





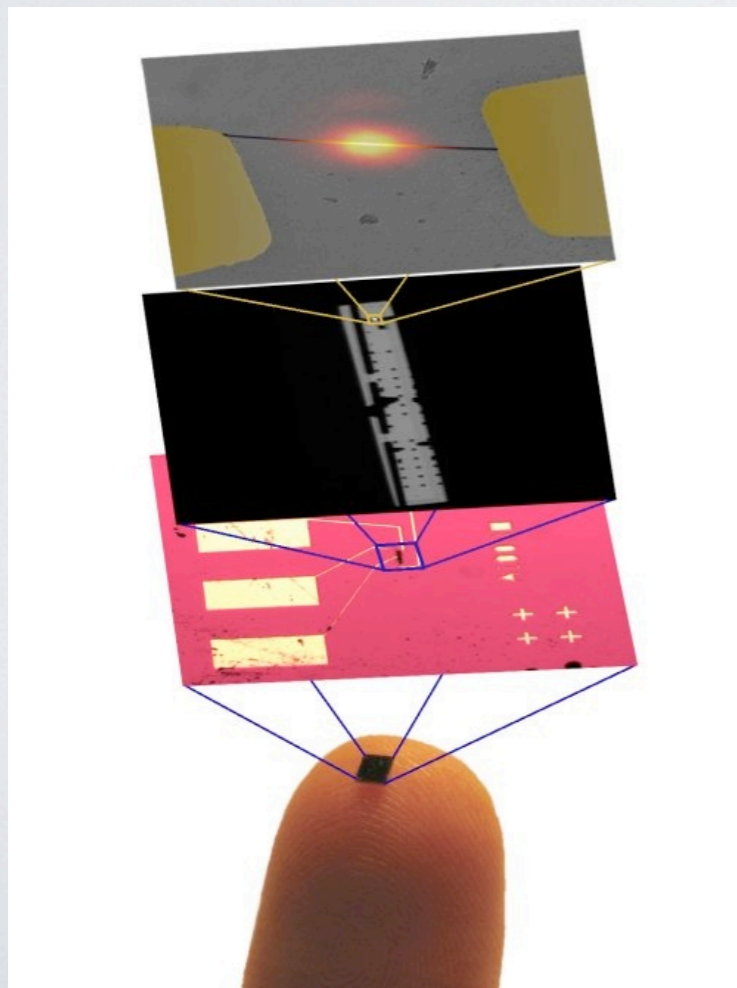
# OUTLINE

- **Equilibrium fluctuations:**
  - interactions between macroscopic bodies
  - influenced by shape, material properties, and temperature
  - correlated !
- **Non-equilibrium fluctuations:**
  - bodies at different temperatures
  - novel interaction effects
  - heat radiation and transfer
- **Outlook, new directions**

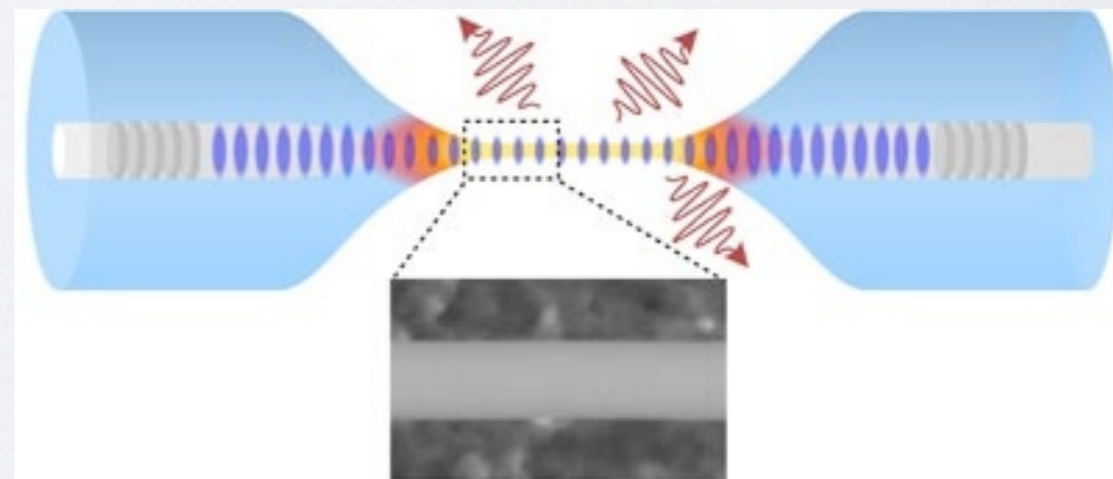


# HEAT RADIATION AND TRANSFER

- *Breaking the law, at the nanoscale [MITnews, July 29, 2009]*
- Planck's law is modified for small objects and short separations
- *Probing Planck's Law with Incandescent Light Emission from a Single Carbon Nanotube [Y. Fan, S.B. Singer, R. Bergstrom, & B.C. Regan, Phys. Rev. Lett.102, 187402 (2009)]*



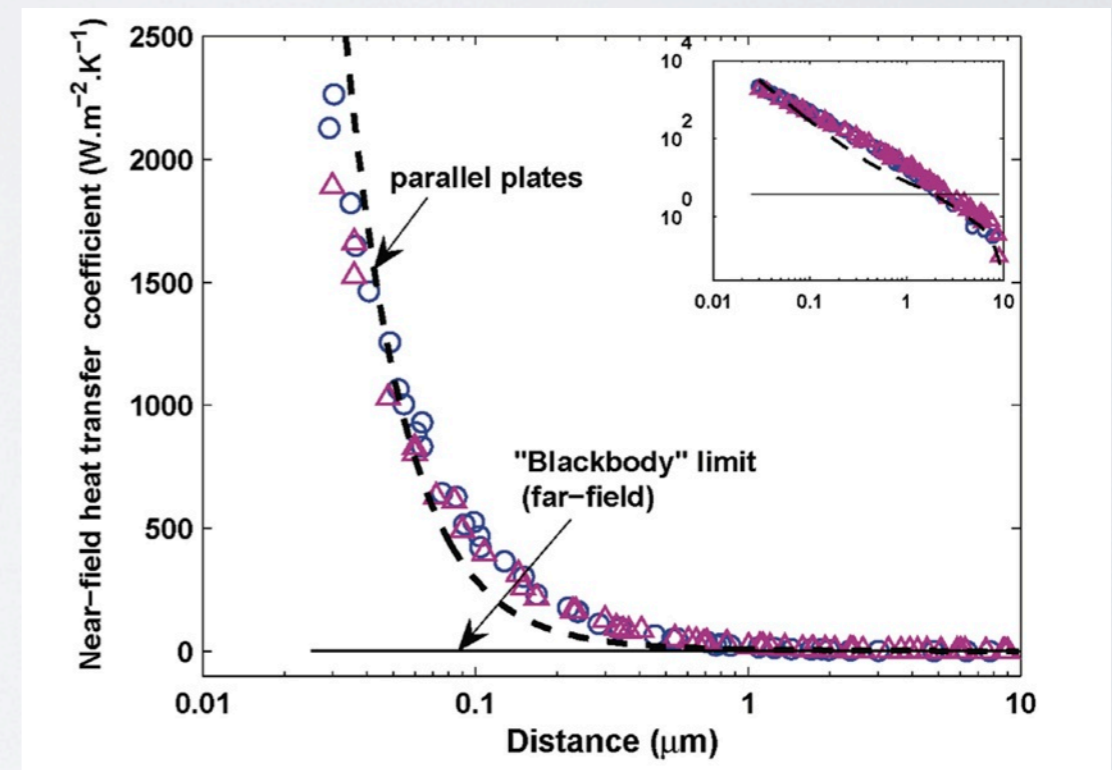
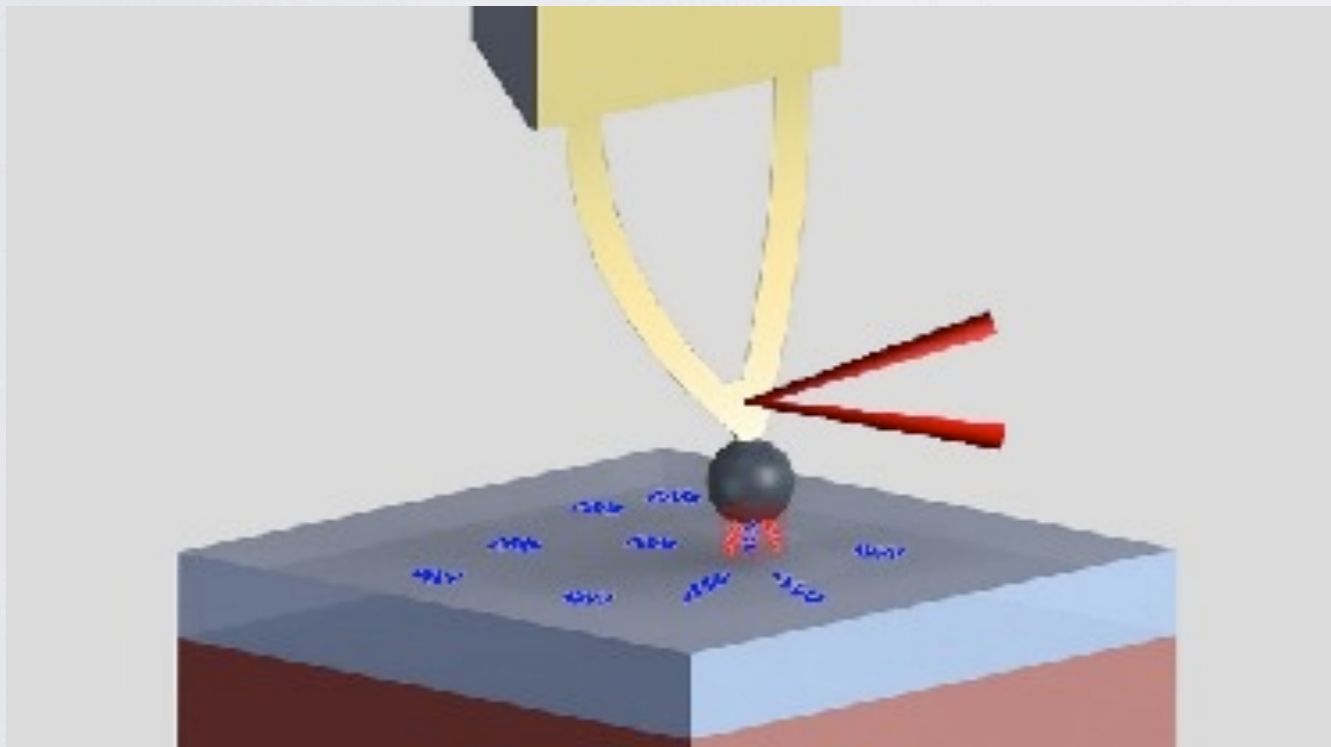
- *Probing Planck's Law for an Object Thinner than the Thermal Wavelength [C. Wuttke and A. Rauschenbeutel, arXiv: 1209.0536 [quant-ph]]*





# *SURFACE PHONON POLARITONS MEDIATED ENERGY TRANSFER BETWEEN NANOSCALE GAPS*

- Beyond Stefan-Boltzmann law
- Understand heat transfer in nano-systems
- Near field effects can give huge enhancement of transfer (tunneling of evanescent waves)





# EQUILIBRIUM QED: INTERACTIONS

- Start from path integral for free energy of electromagnetic field at inverse temperature  $1/\beta$  and imaginary frequency  $\omega = i\kappa$

$$F(\beta) = -\frac{1}{\beta} \log Z(\beta)$$

$$Z = \prod_{\kappa} \int \mathcal{D}\mathbf{A} \mathcal{D}\mathbf{A}^* \exp \left[ -\beta \int d\mathbf{x} \mathbf{E}^*(\kappa, \mathbf{x}) \left( \mathbb{H}_0(\kappa) + \frac{1}{\kappa^2} \mathbb{V}(\kappa, \mathbf{x}) \right) \mathbf{E}(\kappa, \mathbf{x}) \right]$$

- Free photons: 
$$\mathbb{H}_0(\kappa) = \mathbb{I} + \frac{1}{\kappa^2} \nabla \times \nabla \times$$

- Interaction: 
$$\mathbb{V}(\kappa, \mathbf{x}) = \mathbb{I} \kappa^2 (\epsilon(i\kappa, \mathbf{x}) - 1) + \nabla \times \left( \frac{1}{\mu(i\kappa, \mathbf{x})} - 1 \right) \nabla \times$$

- In terms of current fluctuations:

$$Z \sim \int \mathcal{D}\mathbf{J} \mathcal{D}\mathbf{J}^*|_{\text{obj}} \exp \left[ -\beta \int d\mathbf{x} d\mathbf{x}' \mathbf{J}^*(\mathbf{x}) \left( \mathbb{G}_0(\kappa, \mathbf{x}, \mathbf{x}') + \mathbb{V}^{-1}(\kappa, \mathbf{x}) \delta^{(3)}(\mathbf{x} - \mathbf{x}') \right) \mathbf{J}(\mathbf{x}') \right]$$

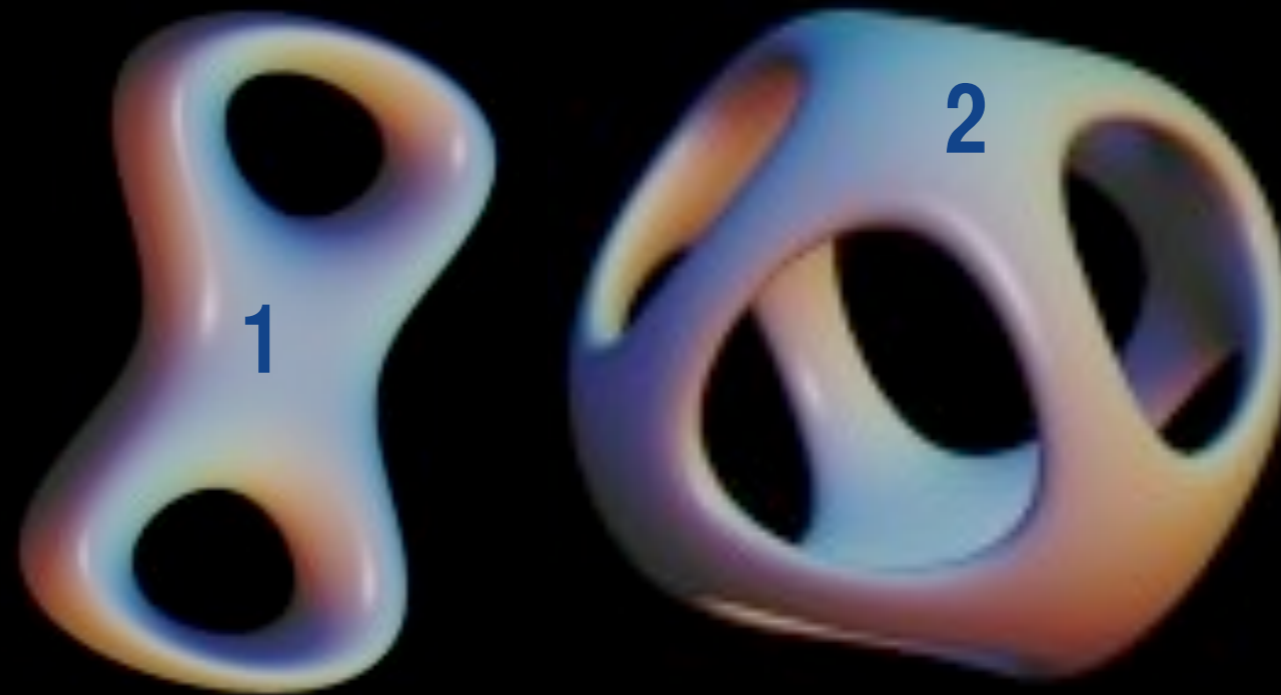


# THE T-OPERATOR AND ITS DECOMPOSITION

$$\mathbb{T}^{-1} = \mathbb{G}_0(\kappa, \mathbf{x}, \mathbf{x}') + \mathbb{V}^{-1}(\kappa, \mathbf{x})\delta^{(3)}(\mathbf{x} - \mathbf{x}')$$

is inverse T-operator: **induced source = T-operator × applied field**

$\mathbb{U}^{\alpha\beta}$  couples induced sources on different objects



$$\mathcal{E} = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \log \det(\mathbb{M}\mathbb{M}_\infty^{-1})$$

$$\mathbb{M} = \begin{pmatrix} (\mathbb{T}^1)^{-1} & \mathbb{U}^{12} & \mathbb{U}^{13} & \dots \\ \mathbb{U}^{21} & (\mathbb{T}^2)^{-1} & \mathbb{U}^{23} & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix} \quad \mathbb{M}_\infty^{-1} = \begin{pmatrix} \mathbb{T}^1 & 0 & 0 & \dots \\ 0 & \mathbb{T}^2 & 0 & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix}$$



# INTERMEZZO: OPERATOR FORMALISM

- Simple electrostatic example: Two metallic objects at fixed potentials
- Find potential  $U$  and surface charges  $\sigma_\alpha$  from T-operators

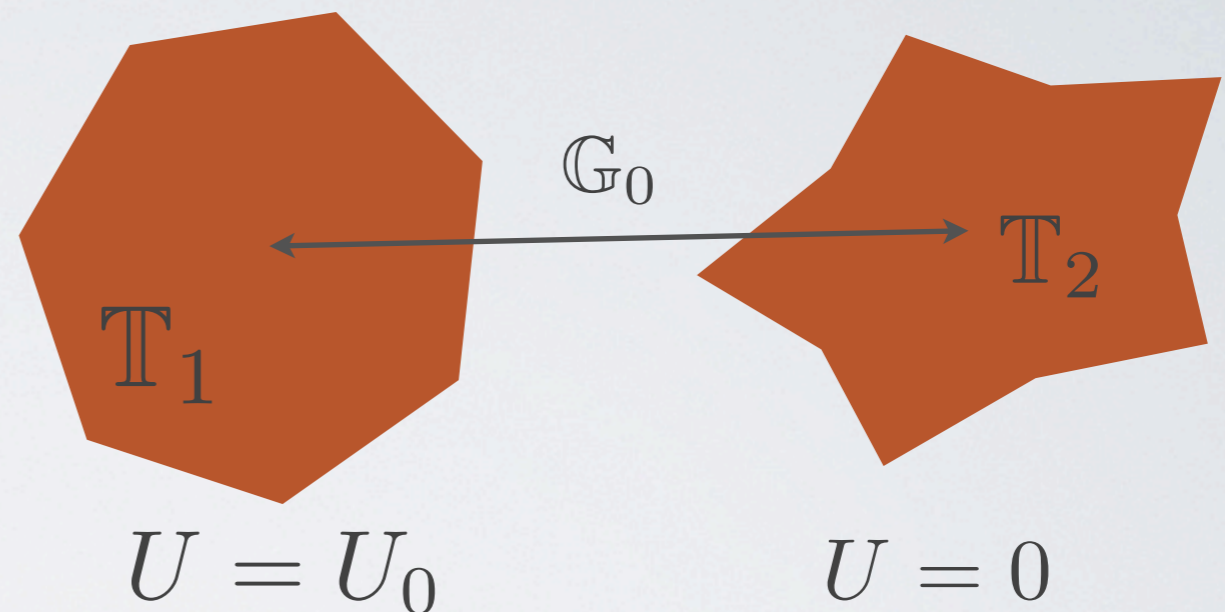
$$\mathbb{T}_\alpha = \frac{1}{2}(\mathbb{S}_\alpha - 1)$$

- Conditions:  $\mathbb{G}_\alpha = \mathbb{G}_0 - \mathbb{G}_0 \mathbb{T}_\alpha \mathbb{G}_0$

$$U = \mathbb{G}_0(\sigma_1 + \sigma_2)$$

$$U = \mathbb{G}_2 \sigma_1$$

$$U = U_0 + \mathbb{G}_1 \sigma_2$$



- Surface charges:

$$\sigma_1 = \mathbb{G}_0^{-1} (1 - \mathbb{G}_0 \mathbb{T}_1 \mathbb{G}_0 \mathbb{T}_2)^{-1} U_0 = \mathbb{G}_0^{-1} \sum_{n=1}^{\infty} (\mathbb{G}_0 \mathbb{T}_1 \mathbb{G}_0 \mathbb{T}_2)^n U_0$$

$$\sigma_2 = -\mathbb{T}_2 \sum_{n=0}^{\infty} (\mathbb{G}_0 \mathbb{T}_1 \mathbb{G}_0 \mathbb{T}_2)^n U_0$$

- Potential:

$$U = (1 - \mathbb{G}_0 \mathbb{T}_2) \sum_{n=0}^{\infty} (\mathbb{G}_0 \mathbb{T}_1 \mathbb{G}_0 \mathbb{T}_2)^n U_0$$

multiple  
"scattering"  
& multipole  
expansion



# CASIMIR POTENTIAL

- The Casimir energy can be expressed as

$$\mathcal{E} = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \log \det(\mathbb{M} \mathbb{M}_\infty^{-1})$$

with

$$\mathbb{M} = \begin{pmatrix} (\mathbb{T}^1)^{-1} & \mathbb{U}^{12} & \mathbb{U}^{13} & \dots \\ \mathbb{U}^{21} & (\mathbb{T}^2)^{-1} & \mathbb{U}^{23} & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix} \quad \mathbb{M}_\infty^{-1} = \begin{pmatrix} \mathbb{T}^1 & 0 & 0 & \dots \\ 0 & \mathbb{T}^2 & 0 & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix}$$

- Diagonal: Scattering amplitudes** (T-matrix elements) of individual objects. They describe **shape and material** properties.
- Off-diagonal: Translation matrices.** They are **universal** (depend only on dimension of space and type of field) and describe relative position of objects, i.e., **geometry**.

- For two objects:  $\mathcal{E}_2 = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \ln \det(1 - \mathbb{N}_{12})$        $\mathbb{N}_{12} = \mathbb{T}^1 \mathbb{U}^{12} \mathbb{T}^2 \mathbb{U}^{21}$

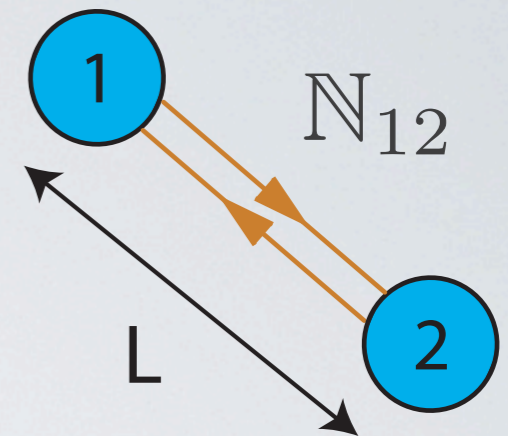


# INTERPRETATION FOR COMPACT OBJECTS

- **2 Objects:** Expansion in number (**2p**) of **scatterings**

$$\begin{aligned} \mathcal{E}_2 &= \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \ln \det(1 - \mathbb{N}_{12}) = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \text{Tr} \log(1 - \mathbb{N}_{12}) \\ &= -\frac{\hbar c}{2\pi} \int_0^\infty d\kappa \text{Tr} \left( \mathbb{N}_{12} + \frac{1}{2}\mathbb{N}_{12}^2 + \frac{1}{3}\mathbb{N}_{12}^3 + \dots + \frac{1}{p}\mathbb{N}_{12}^p + \dots \right) \end{aligned}$$

with  $\mathbb{N}_{12} = \mathbb{T}^1 \mathbb{U}^{12} \mathbb{T}^2 \mathbb{U}^{21} \sim e^{-2\kappa L}$



- For each scattering consider **l** partial waves

- Expand scattering amplitude in  $R\kappa \sim R/L$

- For  $1/L$  - Series of Casimir energy

up to order  $\sim (R/L)^\eta$

one needs only finite **p**, **l**

$\eta$	<b>p</b>	<b>l</b>	dim(N)
7,8	1	1	6
9,10	1	2	16
11,12	1	3	30
13,14	2	4	48
15,16	2	5	70

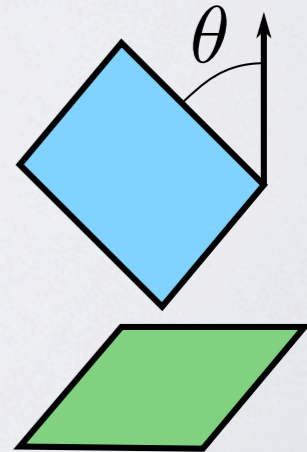
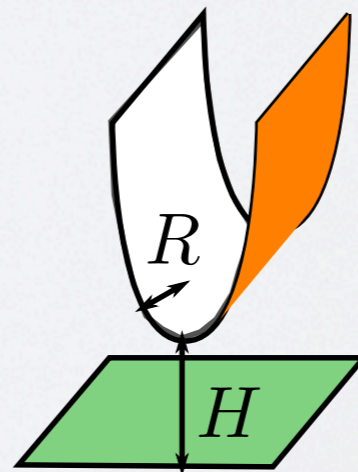
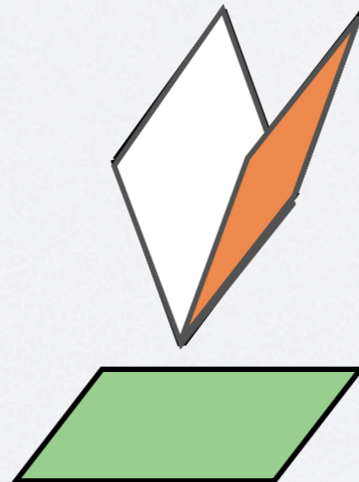
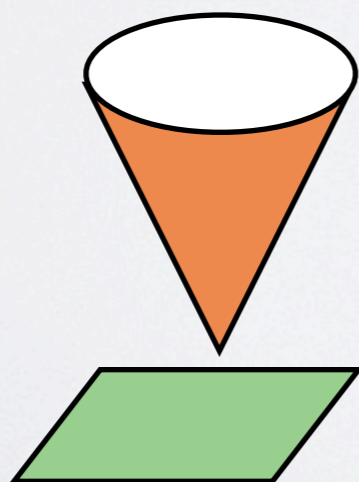
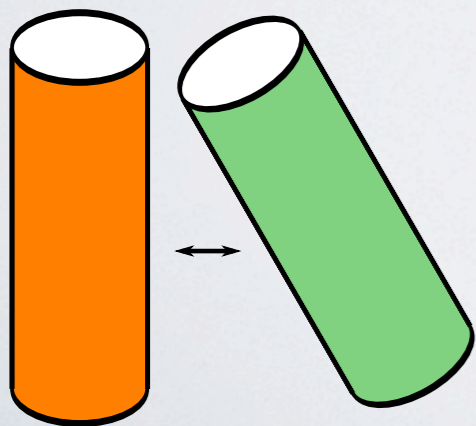
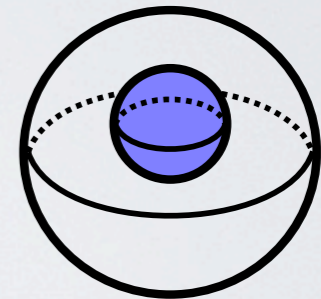
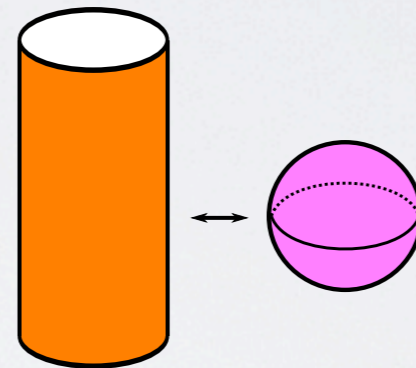
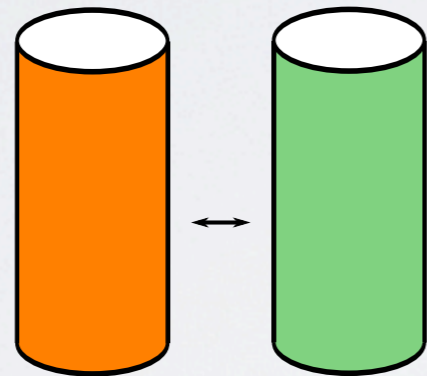
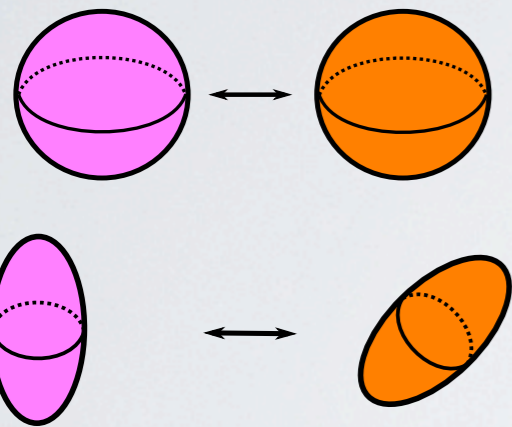
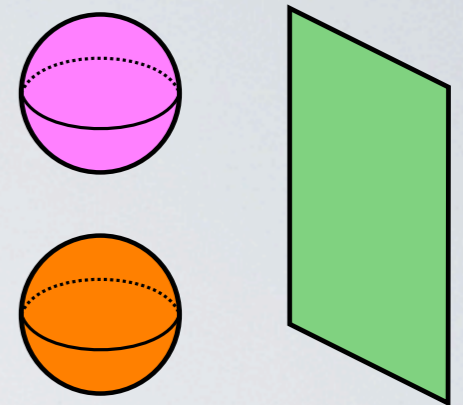
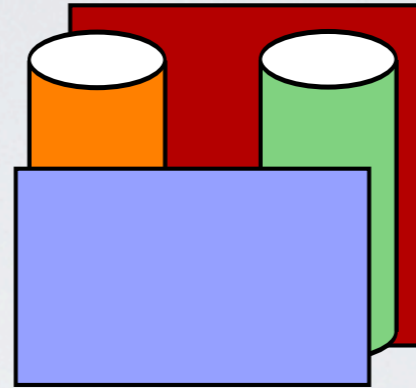
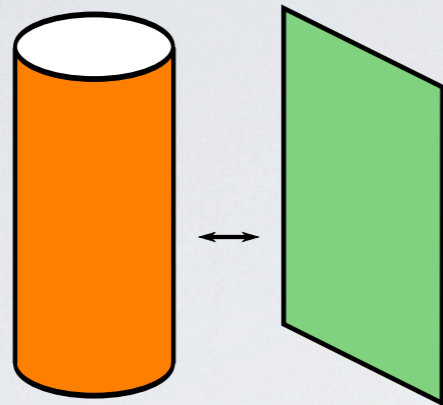
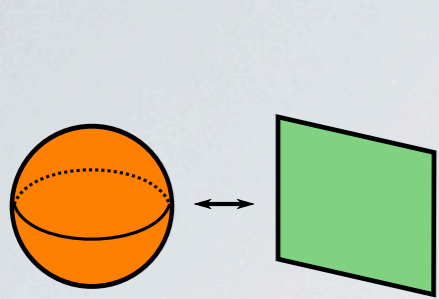
- **Scale-free objects** (cone, wedge, ...): low order expansion accurate



SOME EXAMPLES



# EQUILIBRIUM INTERACTIONS BETWEEN...





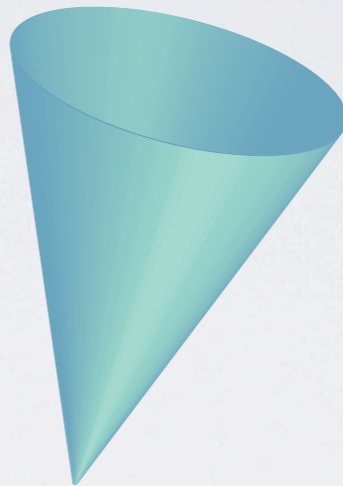
# SHARP SHAPED METALS

T independent of  $\kappa$

$$T_{M \lambda m}^{\text{cone}} = -\frac{\partial_{\theta_0} P_{i\lambda-1/2}^{-m}(\cos \theta_0)}{\partial_{\theta_0} P_{i\lambda-1/2}^m(-\cos \theta_0)}$$

$$T_{E \lambda m}^{\text{cone}} = -\frac{P_{i\lambda-1/2}^{-m}(\cos \theta_0)}{P_{i\lambda-1/2}^m(-\cos \theta_0)}$$

$$T_{Gh m}^{\text{cone}} = \frac{P_0^{-|m|}(\cos \theta_0)}{P_0^{-|m|}(-\cos \theta_0)}$$

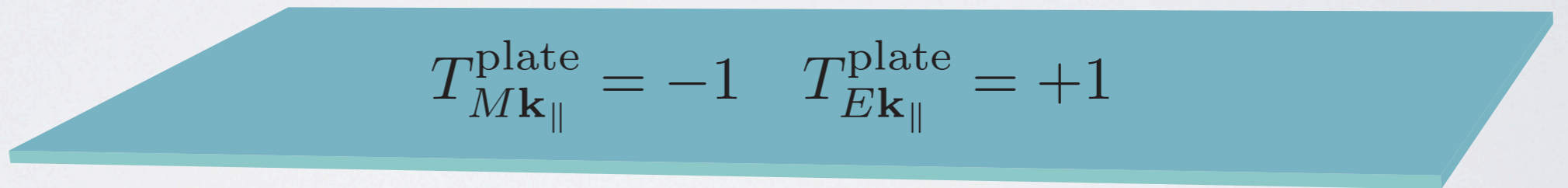


$$T_{M \pm \mu k_z}^{\text{wedge}} = \frac{e^{\mu\theta_0} \mp e^{-\mu\theta_0}}{e^{\mu(\pi-\theta_0)} \mp e^{-\mu(\pi-\theta_0)}}$$

$$T_{E \pm \mu k_z}^{\text{wedge}} = -T_{M \mp \mu k_z}^{\text{wedge}}$$

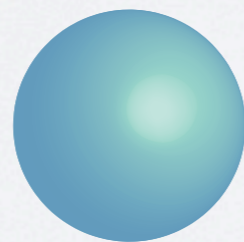


$$T_{M \mathbf{k}_{\parallel}}^{\text{plate}} = -1 \quad T_{E \mathbf{k}_{\parallel}}^{\text{plate}} = +1$$

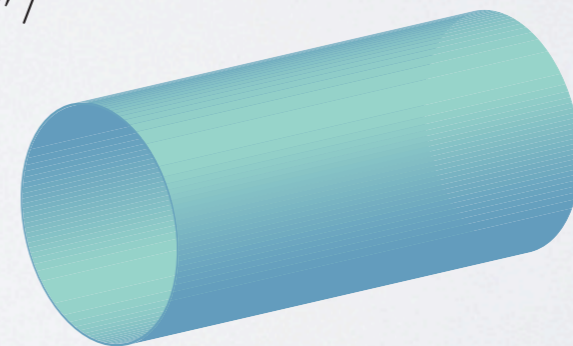


$$T_{Mlm}^{\text{sphere}}$$

$$T_{Elm}^{\text{sphere}}$$



$$|\mathbf{E}_P\rangle = \sum_{P'} \mathcal{U}_{PP'} |\mathbf{E}_{P'}\rangle$$



$$T_{Mmk_z}^{\text{cylinder}}$$

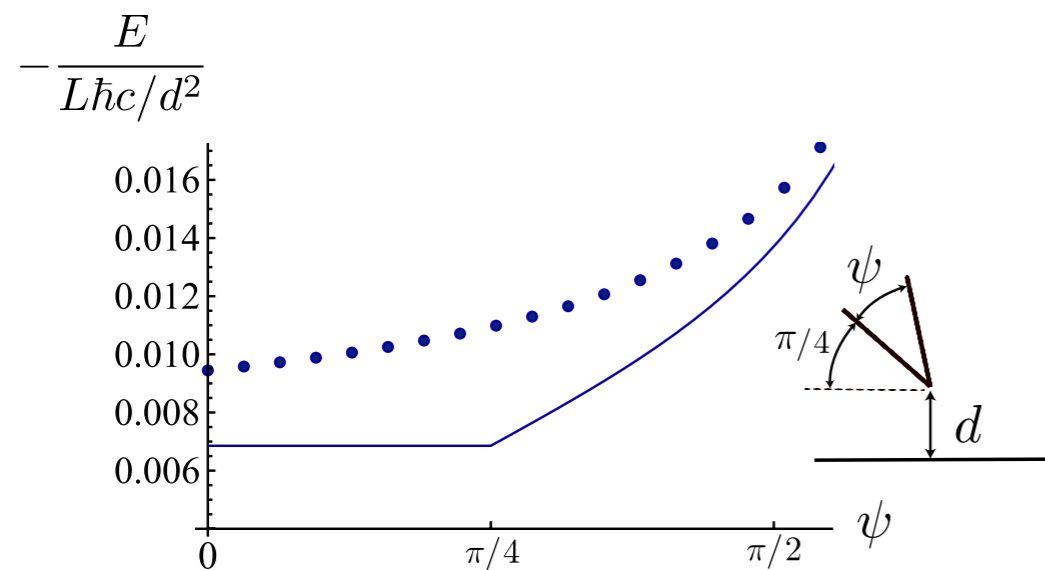
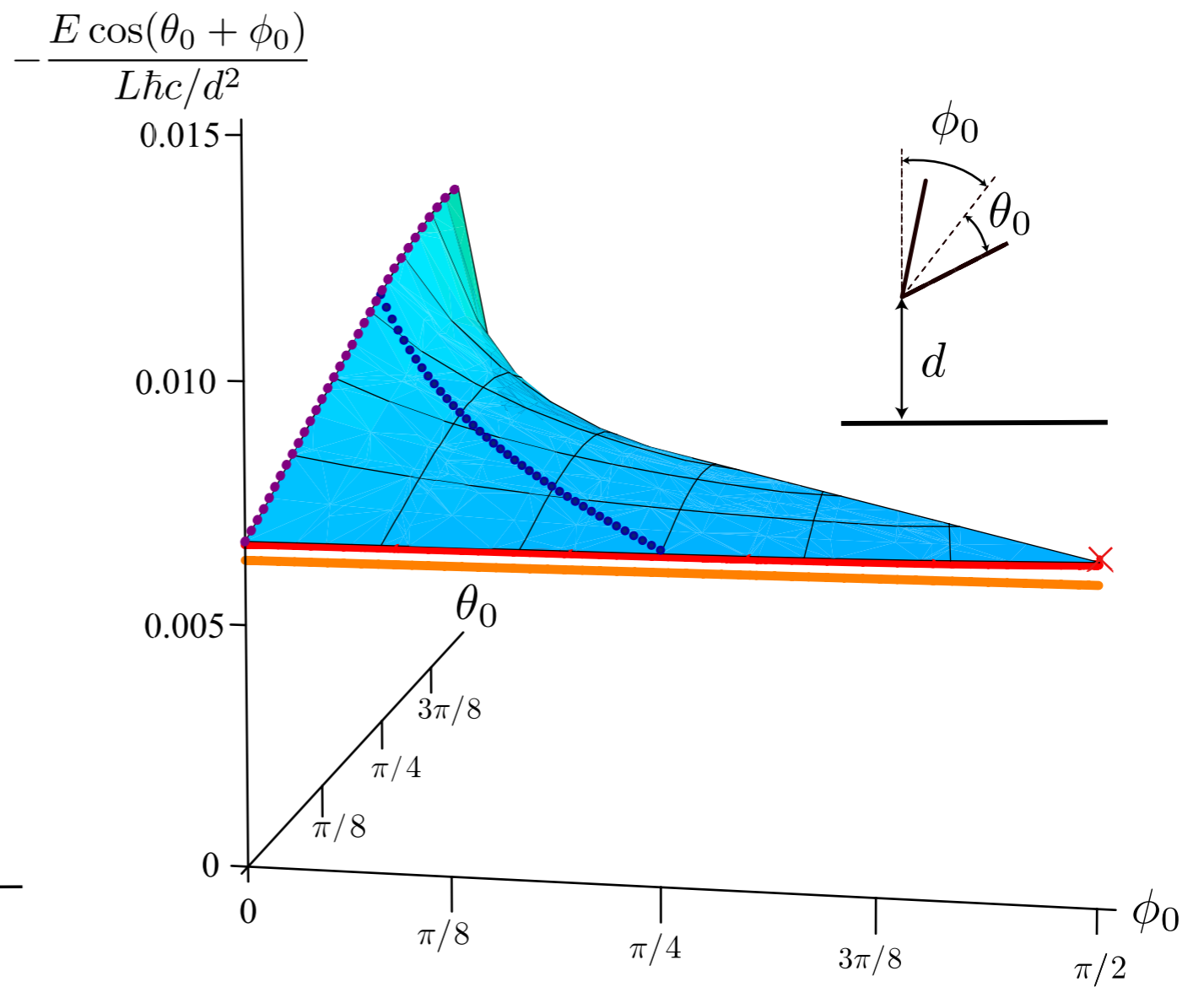
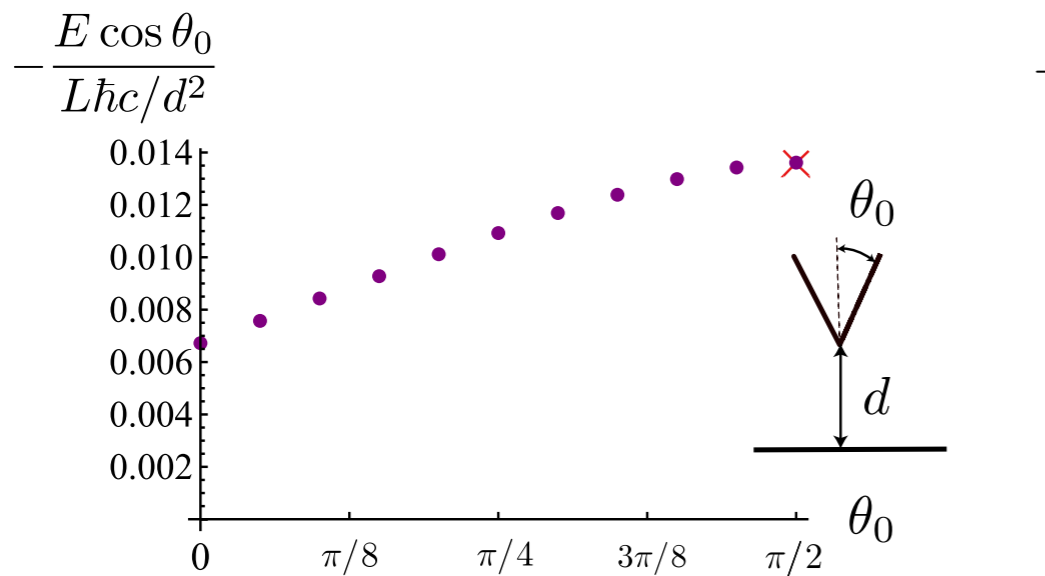
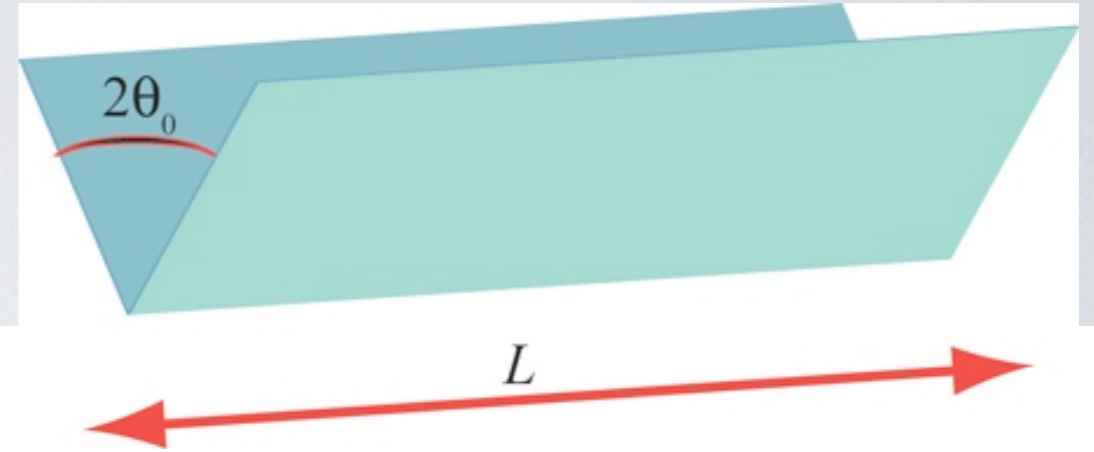
$$T_{Emk_z}^{\text{cylinder}}$$

T depends on  $\kappa R$



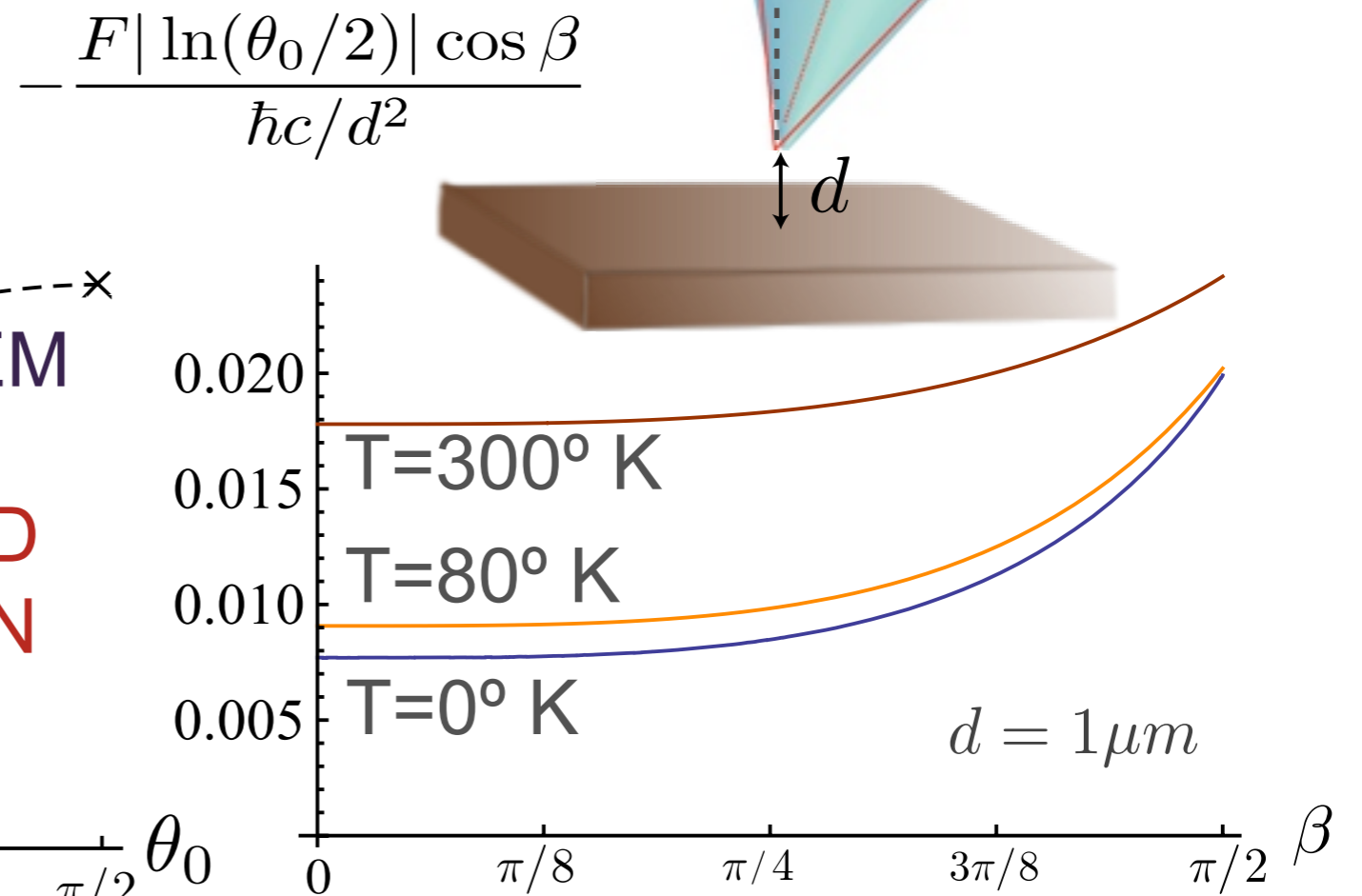
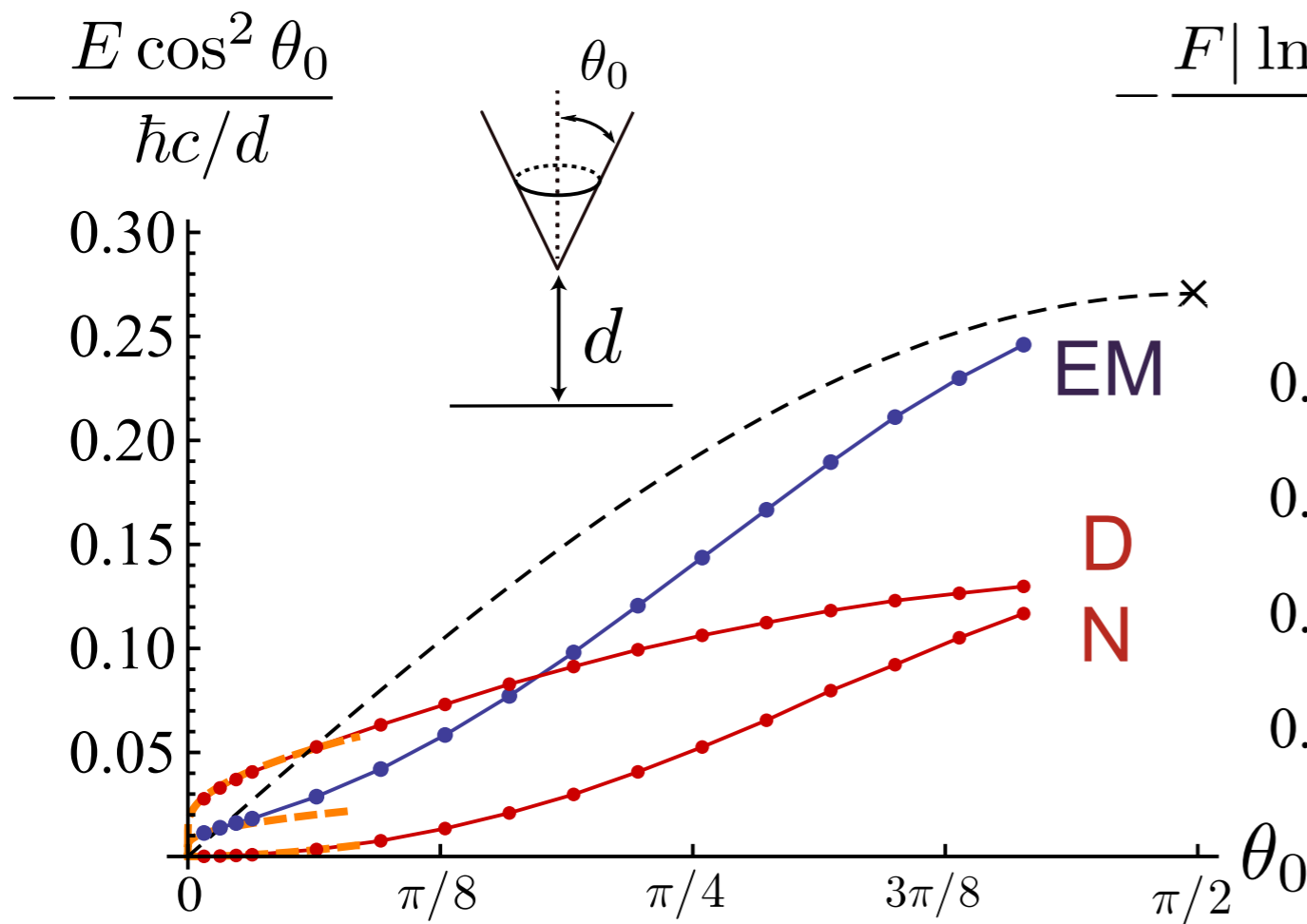
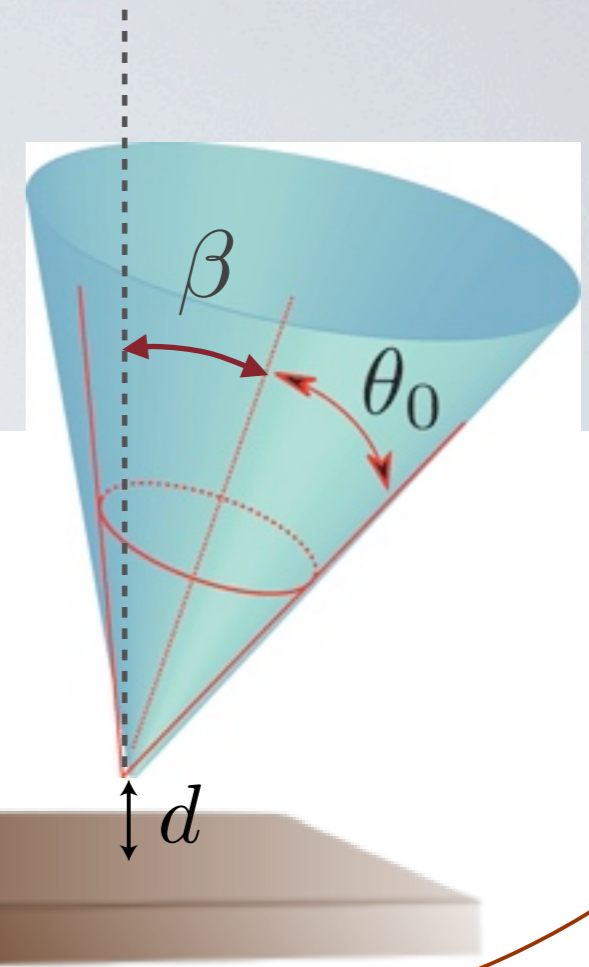
# PLATE - WEDGE

Multiple scattering expansion is highly accurate: **analytical results at all distances**





# PLATE - CONE



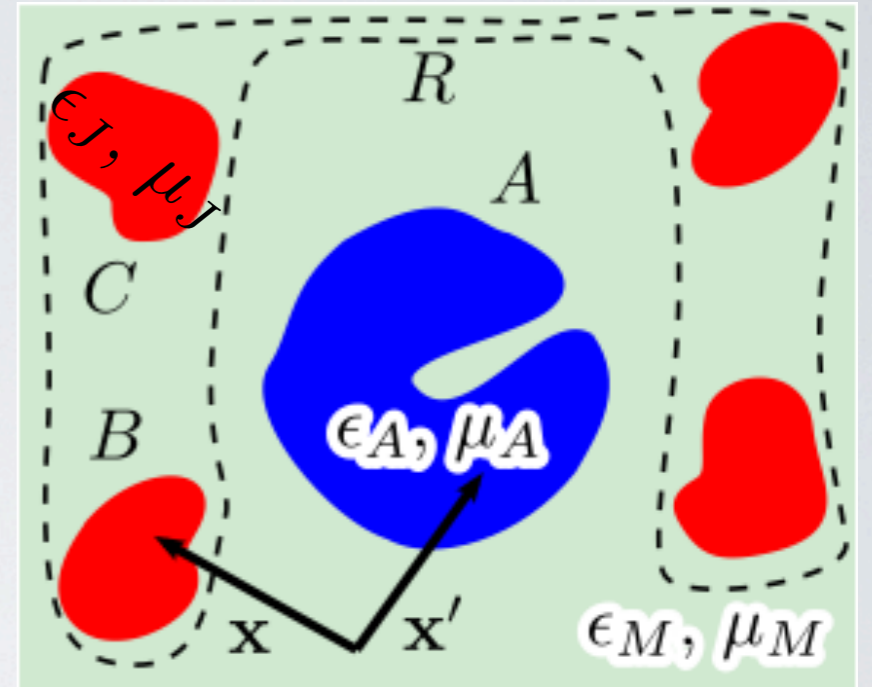
$$\mathcal{E} = -\frac{\ln 4 - 1}{16\pi} \frac{\hbar c}{d} \frac{1}{|\ln \theta_0/2|} + \mathcal{O}(\theta_0^2)$$

$$F \sim \frac{-\hbar c}{16\pi |\ln \frac{\theta_0}{2}|} \left[ \frac{\ln 4 - 1}{d^2} - \frac{2}{3\lambda_T^2} \ln \frac{2d}{\lambda_T} + \frac{0.810}{\lambda_T^2} + \dots \right]$$



# STABLE EQUILIBRIUM?

- **Earnshaw's theorem:** A charged body cannot be held in stable equilibrium by electrostatic forces from other charged bodies.
- Extension to fluctuation-induced forces?
- Start from scattering formulation (T-operators):



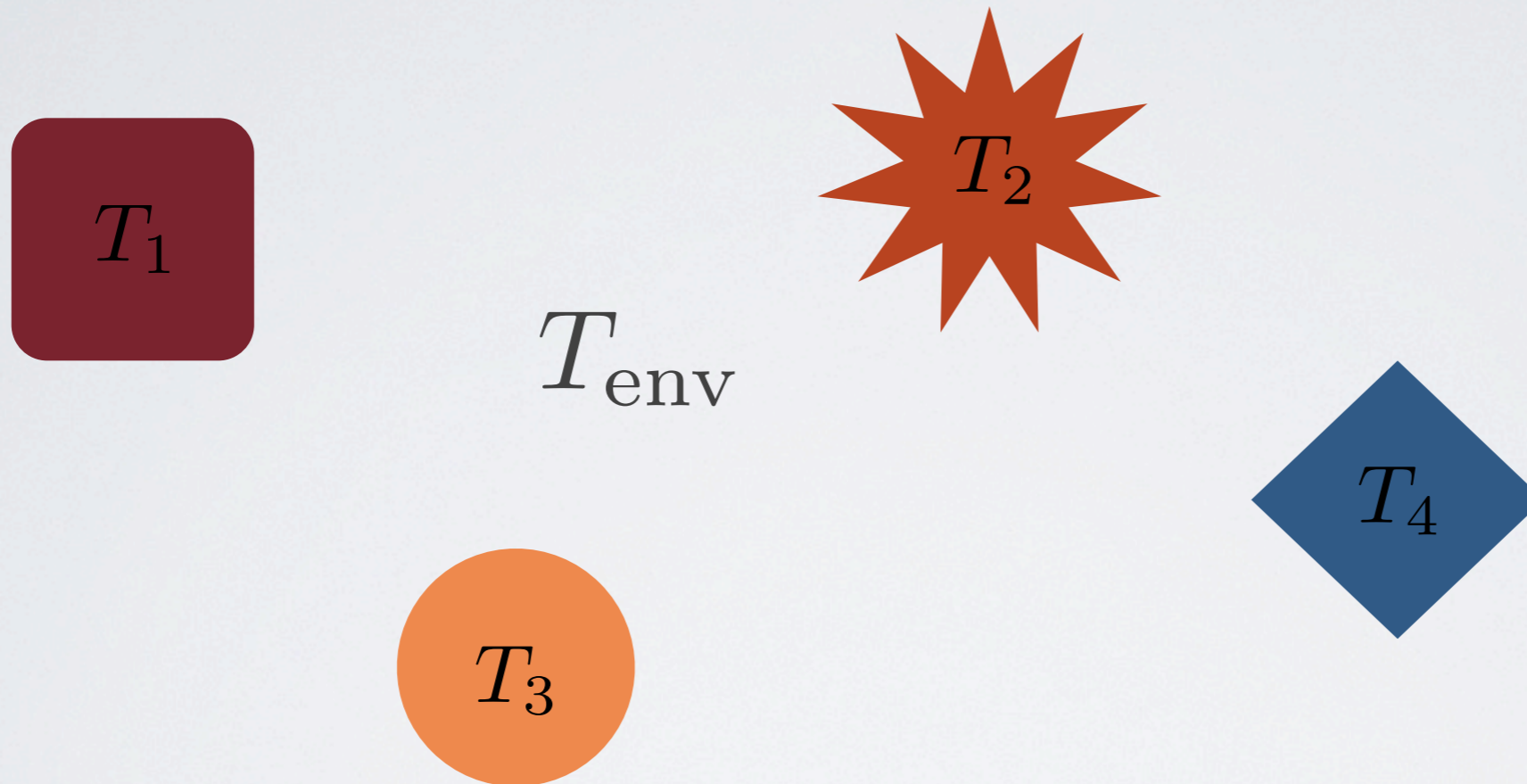
$$\mathcal{E} = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \operatorname{tr} \log \mathbb{T}^{-1} \mathbb{T}_\infty = \frac{\hbar c}{2\pi} \int_0^\infty d\kappa \operatorname{tr} \log (\mathbb{I} - \mathbb{T}_A \mathbb{G} \mathbb{T}_R \mathbb{G})$$

- Move object A by  $\mathbf{d}$  with the “rest” of objects (R) fixed.
- Object A is unstable ( $\nabla_{\mathbf{d}}^2 \mathcal{E} \big|_{\mathbf{d}=0} \leq 0$ ) if  $\operatorname{sign}(\mathbb{T}_A) \operatorname{sign}(\mathbb{T}_R) \geq 0$
- **Stability not possible** for
  - (i)  $\epsilon_J / \epsilon_M > 1, \mu_J / \mu_M \leq 1$  (positive  $\mathbb{T}_J$ )
  - (ii)  $\epsilon_J / \epsilon_M < 1, \mu_J / \mu_M \geq 1$  (negative  $\mathbb{T}_J$ )
 on the imaginary frequency axis (where always  $\epsilon_J > 1$ )



# NON-EQUILIBRIUM QED

- Objects at different temperatures  $T_\alpha$  (local equilibrium)
- Environment can have different temperature  $T_{\text{env}}$



- Modification of equilibrium force ?

Parallel plates: M. Antezza, L.P. Pitaevskii, S. Stringari, V.B. Svetovoy, Phys. Rev. A 77, 022901 (2008).

General shapes: M. Krüger, T. Emig, G. Bimonte and M. Kardar, EPL 95 21002 (2011), M. Antezza et al. (2011).

- Radiation and transfer of heat ?

M. Krüger, T. Emig and M. Kardar, PRL 106, 210404 (2011).



# FLUCTUATION-DISSIPATION THEOREM

- **Equilibrium** field correlations:  $a_T(\omega) \equiv \frac{\omega^4 \hbar (4\pi)^2}{c^4} (\exp[\hbar\omega/k_B T] - 1)^{-1}$   $a_0(\omega) \equiv \frac{\omega^4 \hbar (4\pi)^2}{2c^4}$

$$C^{eq}(T) = \langle \mathbf{E}(\omega; \mathbf{r}) \mathbf{E}^*(\omega; \mathbf{r}') \rangle^{eq} = [a_T(\omega) + a_0(\omega)] \frac{c^2}{\omega^2} \text{Im} \mathbb{G}(\omega; \mathbf{r}, \mathbf{r}') = C_0 + \sum_{\alpha} C_{\alpha}^{sc}(T) + C^{env}(T)$$

- Three contributions:

- ▶ zero point fluctuations:  $C_0 = a_0(\omega) \frac{c^2}{\omega^2} \text{Im} \mathbb{G}$
- ▶ thermal currents in object  $\alpha$ :  $C_{\alpha}^{sc}(T) = a_T(\omega) \mathbb{G} \text{Im} \varepsilon_{\alpha} \mathbb{G}^*$
- ▶ environment fluctuations  $C^{env}(T) = -a_T(\omega) \frac{c^2}{\omega^2} \mathbb{G} \text{Im} \mathbb{G}_0^{-1} \mathbb{G}^*$

- **Non-equilibrium** correlations: change temperatures

$$C^{neq}(T_{env}, \{T_{\alpha}\}) = C_0 + \sum_{\alpha} C_{\alpha}^{sc}(T_{\alpha}) + C^{env}(T_{env}) = C^{eq}(T_{env}) + \sum_{\alpha} [C_{\alpha}^{sc}(T_{\alpha}) - C_{\alpha}^{sc}(T_{env})]$$

- Scattering theory: radiation of object  $\alpha$ :  $C_{\alpha}(T_{\alpha}) \equiv a_{T_{\alpha}}(\omega) \mathbb{G}_{\alpha} \text{Im} \varepsilon_{\alpha} \mathbb{G}_{\alpha}^*$

scattered at all other objects:  $C_{\alpha}^{sc}(T_{\alpha}) = \mathbb{O}_{\alpha,\beta} C_{\alpha}(T_{\alpha}) \mathbb{O}_{\alpha,\beta}^{\dagger}$ , with

$$\mathbb{O}_{\alpha,\beta} = (1 - \mathbb{G}_0 \mathbb{T}_{\beta}) \frac{1}{1 - \mathbb{G}_0 \mathbb{T}_{\alpha} \mathbb{G}_0 \mathbb{T}_{\beta}}$$



# HEAT RADIATION OF SINGLE OBJECT

- Poynting vector:  $\mathbf{S}(\mathbf{r}) = \frac{c}{4\pi} \int \frac{d\omega}{2\pi} \langle \mathbf{E}(\mathbf{r}) \times \mathbf{B}^*(\mathbf{r}) \rangle$

- **Heat emitted** by object  $\alpha$ :

$$H_\alpha = \text{Re} \oint_{\Sigma_\alpha} \mathbf{S} \cdot \mathbf{n}_\alpha = - \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \int_{V_\alpha} d^3\mathbf{r} \langle \mathbf{E}(\mathbf{r}) \cdot \mathbf{J}^*(\mathbf{r}) \rangle$$

- Use  $\mathbf{E} = 4\pi i \frac{\omega}{c^2} \mathbb{G}_0 \mathbf{J}$  to get general result

- Since  $\text{Im}[\mathbb{G}_0]$  involves **only propagating waves**, in matrix notation:

$$H_\alpha = \frac{2\hbar}{\pi} \int_0^\infty d\omega \frac{\omega}{\exp(\hbar\omega/k_B T) - 1} \text{Tr} \{ \text{Im}[\mathbb{G}_0] \text{Im}[\mathbb{T}] - \text{Im}[\mathbb{G}_0] \mathbb{T} \text{Im}[\mathbb{G}_0] \mathbb{T}^* \}$$

$$H_\alpha = \frac{\hbar}{2\pi} \int d\omega \frac{\omega}{e^{\frac{\hbar\omega}{k_B T}} - 1} \text{Tr}_{pr} [\mathcal{I} - \mathcal{S}\mathcal{S}^\dagger] \geq 0$$



# HEAT TRANSFER BETWEEN TWO BODIES

- Two bodies, at  $T_1$  and at  $T_2$ , in cold environment. Total heat transferred from 1 to 2:

$$H_{\text{tot}} = H_1^{(2)}(T_1) - H_2^{(1)}(T_2)$$

where  $H_1^{(2)}(T_1)$  is radiation of 1, partly absorbed by 2.

- We get for transfer rate

$$H_1^{(2)}(T_1) = \frac{2\hbar}{\pi} \int_0^\infty d\omega \frac{\omega}{e^{\frac{\hbar\omega}{k_B T_1}} - 1} J(T_1, T_2)$$

with

$$J(T_1, T_2) = \text{Tr} \left\{ [\text{Im}[T_2] - T_2^* \text{Im}[G_0] T_2] \frac{1}{1 - G_0 T_1 G_0 T_2} G_0 [\text{Im}[T_1] - T_1 \text{Im}[G_0] T_1^*] G_0^* \frac{1}{1 - T_2^* G_0^* T_1^* G_0^*} \right\} \geq 0$$

- Since  $J$  is symmetric (trace is cyclic), one gets

$$H_{\text{tot}} = \frac{2\hbar}{\pi} \int_0^\infty d\omega \omega \left( \frac{1}{e^{\frac{\hbar\omega}{k_B T_1}} - 1} - \frac{1}{e^{\frac{\hbar\omega}{k_B T_2}} - 1} \right) J(T_1, T_2)$$



# TOTAL ABSORBED HEAT

- **Total heat absorbed by one object** (1) in the presence of a second object (2) and the environment:

$$\begin{aligned} H^{(1)}(T_1, T_2, T_{\text{env}}) &= H_2^{(1)}(T_1) + H_1^{(1)}(T_2) + H_{\text{env}}^{(1)}(T_{\text{env}}) \\ &= \sum_{\alpha=1,2} H_{\alpha}^{(1)}(T_{\alpha}) - H_{\alpha}^{(1)}(T_{\text{env}}) \end{aligned}$$

- Here we have included the **heat emitted by object 1** (in the presence of object 2) which is negative,

$$H_1^{(1)} = -\frac{2\hbar}{\pi} \int_0^{\infty} d\omega \frac{\omega}{e^{\frac{\hbar\omega}{k_B T_1}} - 1} \text{ImTr} \left\{ (1 + \mathbb{G}_0 T_2) \frac{1}{1 - \mathbb{G}_0 T_1 \mathbb{G}_0 T_2} \mathbb{G}_0 [\text{Im}[T_1] - T_1 \text{Im}[\mathbb{G}_0] T_1^*] \frac{1}{1 - \mathbb{G}_0^* T_2^* \mathbb{G}_0^* T_1^*} \right\}$$

and the radiation **absorbed from the environment**.

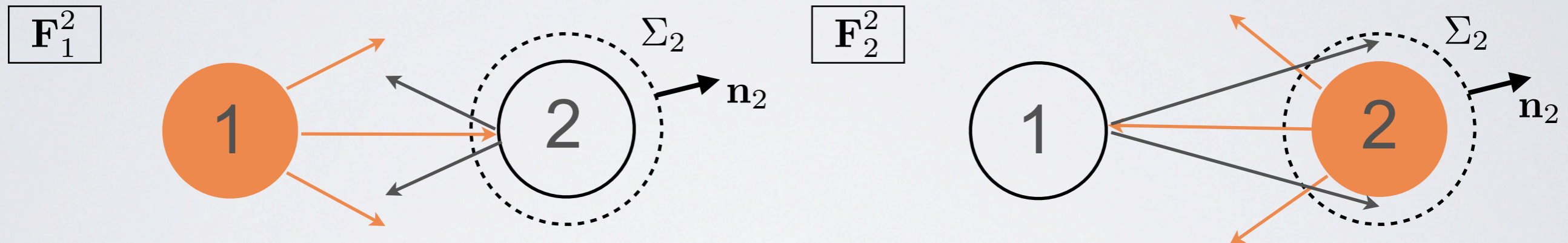
- Important for heating or cooling rate.



# NON-EQUILIBRIUM FORCE

- Maxwell stress tensor:  $\sigma_{ab}(\mathbf{r}) = \int \frac{d\omega}{16\pi^3} \left\langle E_a E_b^* + B_a B_b^* - \frac{1}{2} (|E|^2 + |B|^2) \delta_{ab} \right\rangle$
- Total force on object 2 due to other objects and environment:

$$\mathbf{F}^2 = \text{Re} \oint_{\Sigma_2} \boldsymbol{\sigma} \cdot \mathbf{n}_2 = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{1}{\omega} \int_{V_2} d^3\mathbf{r} \text{Im} \langle \nabla \mathbf{E}(\mathbf{r}) \cdot \mathbf{J}^* \rangle = \mathbf{F}^{2,eq}(T_{env}) + \sum_{\beta} [\mathbf{F}_{\beta}^2(T_{\beta}) - \mathbf{F}_{\beta}^2(T_{env})]$$



$$\mathbf{F}_1^{(2)} = \frac{2\hbar}{\pi} \int_0^{\infty} d\omega \frac{1}{e^{\frac{\hbar\omega}{k_B T_1}} - 1} \Re \text{Tr} \left\{ \nabla (1 + \mathbb{G}_0 \mathbb{T}_2) \frac{1}{1 - \mathbb{G}_0 \mathbb{T}_1 \mathbb{G}_0 \mathbb{T}_2} \mathbb{G}_0 [\Im[\mathbb{T}_1] - \mathbb{T}_1 \Im[\mathbb{G}_0 \mathbb{T}_1^*] \mathbb{G}_0^* \frac{1}{1 - \mathbb{T}_2^* \mathbb{G}_0^* \mathbb{T}_1^* \mathbb{G}_0^*} \mathbb{T}_2^* \right\}$$

$$\mathbf{F}_2^{(2)} = \frac{2\hbar}{\pi} \int_0^{\infty} d\omega \frac{1}{e^{\frac{\hbar\omega}{k_B T_2}} - 1} \Re \text{Tr} \left\{ \nabla (1 + \mathbb{G}_0 \mathbb{T}_1) \frac{1}{1 - \mathbb{G}_0 \mathbb{T}_2 \mathbb{G}_0 \mathbb{T}_1} \mathbb{G}_0 [\Im[\mathbb{T}_2] - \mathbb{T}_2 \Im[\mathbb{G}_0 \mathbb{T}_2^*] \frac{1}{1 - \mathbb{G}_0^* \mathbb{T}_1^* \mathbb{G}_0^* \mathbb{T}_2^*} \right\}$$



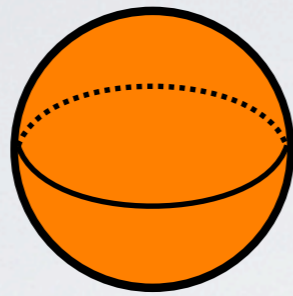
# EQUILIBRIUM VS. NON-EQUILIBRIUM

- All quantities expressed as traces over product of **free Green's function** and **T-operators** of individual bodies.
- **Equilibrium:**
  - Computations on imaginary frequency axis
- **Non-equilibrium:**
  - Traces are **non-analytic** function of frequency, computations on real frequency axis
  - Quantities sensitive to details of dielectric function: **resonances**
  - Much **richer phenomenology**

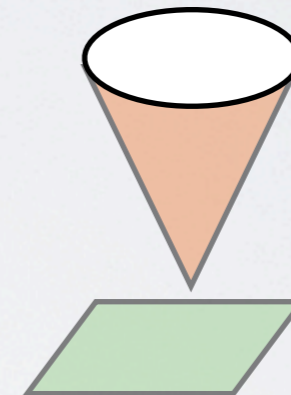
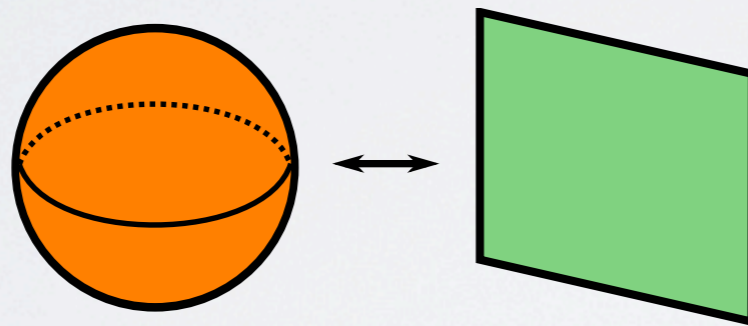


# NON-EQUILIBRIUM EFFECTS FOR...

- Heat radiation:

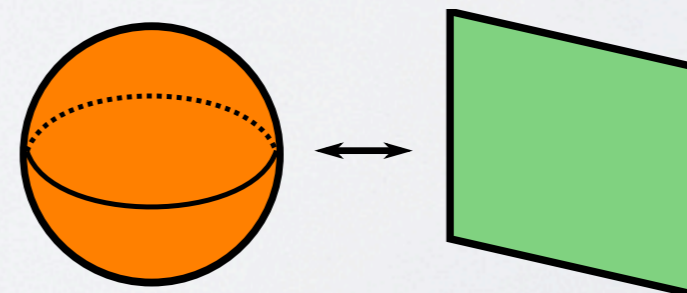
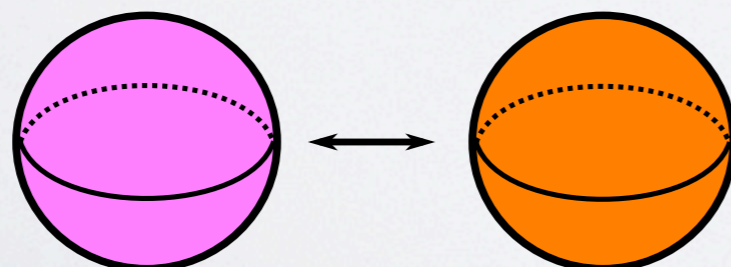


- Heat transfer: M. Krüger, T. Emig and M. Kardar, PRL 106, 210404 (2011)



A. P. McCauley, M. T. H. Reid,  
M. Krüger and S. G. Johnson,  
Phys. Rev. B 85, 165104 (2012).

- Forces M. Krüger, T. Emig, G. Bimonte and M. Kardar, EPL 95 21002 (2011)



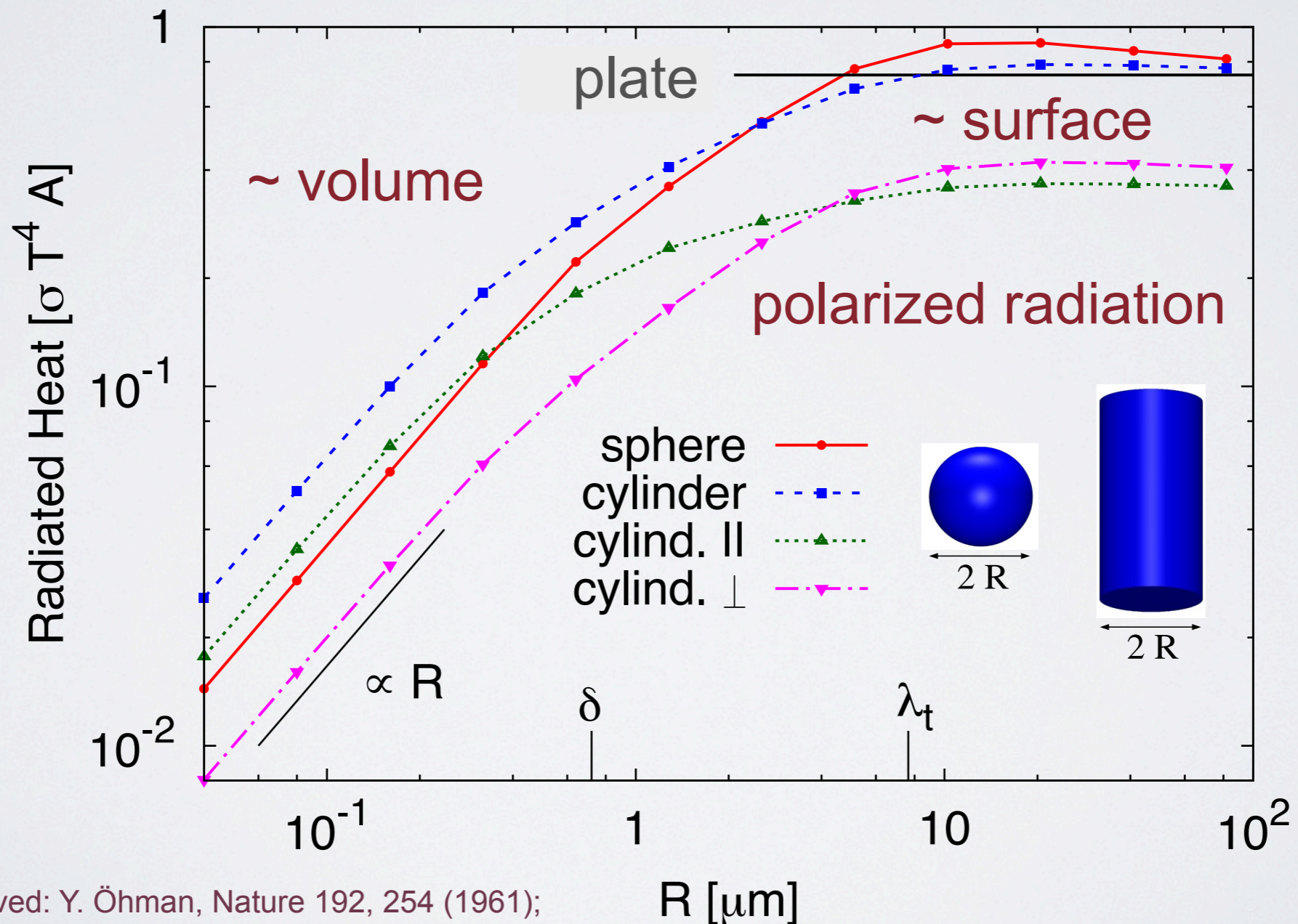


# HEAT RADIATION

- Stefan-Boltzmann law for an ideal black body with surface area  $A$ :

$$H = \sigma T^4 A \quad \sigma = \frac{\pi^2 k_B^4}{60 \hbar^3 c^2}$$

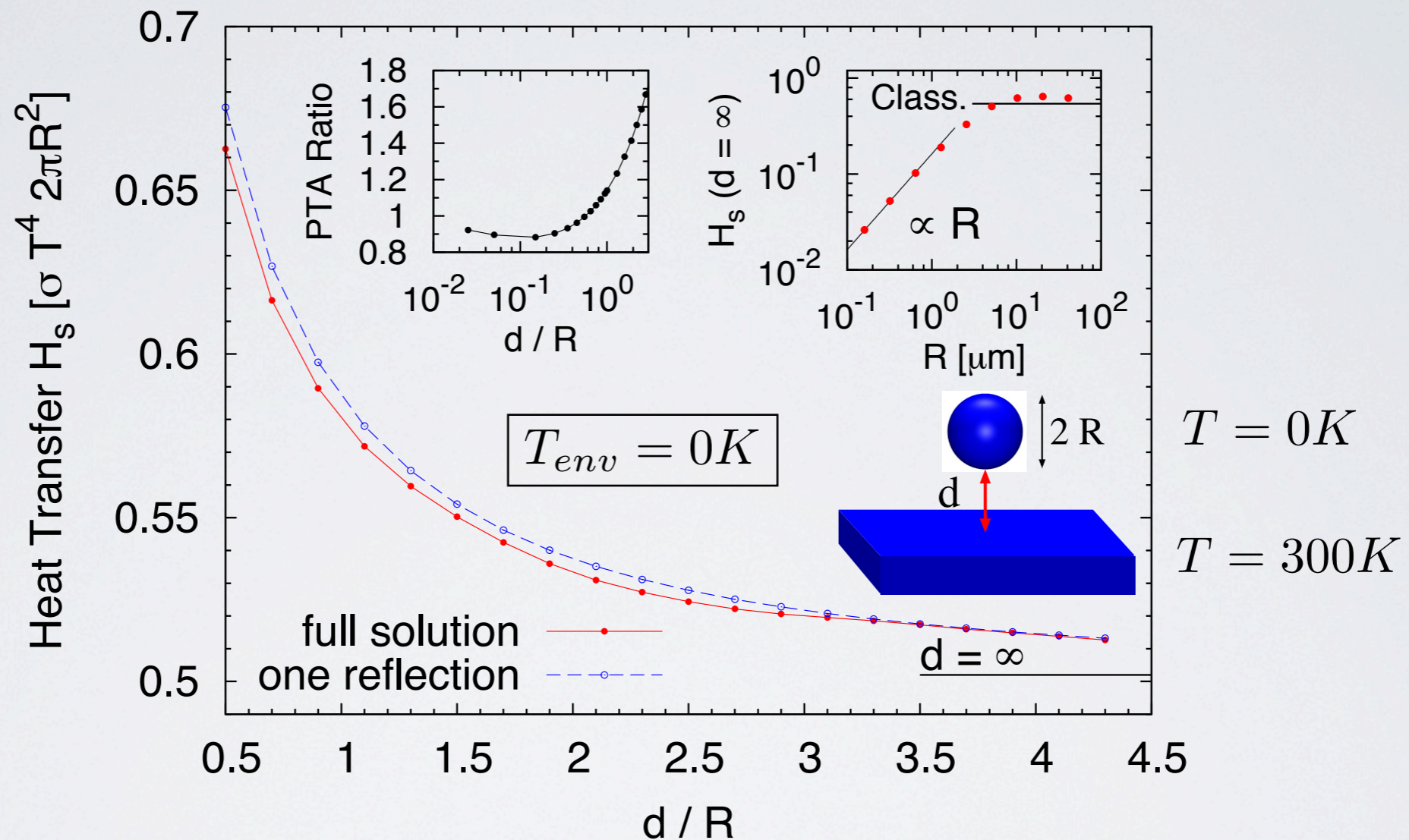
- Sphere and cylinder ( $\text{SiO}_2$ ) at  $T=300\text{K}$ :





# HEAT TRANSFER

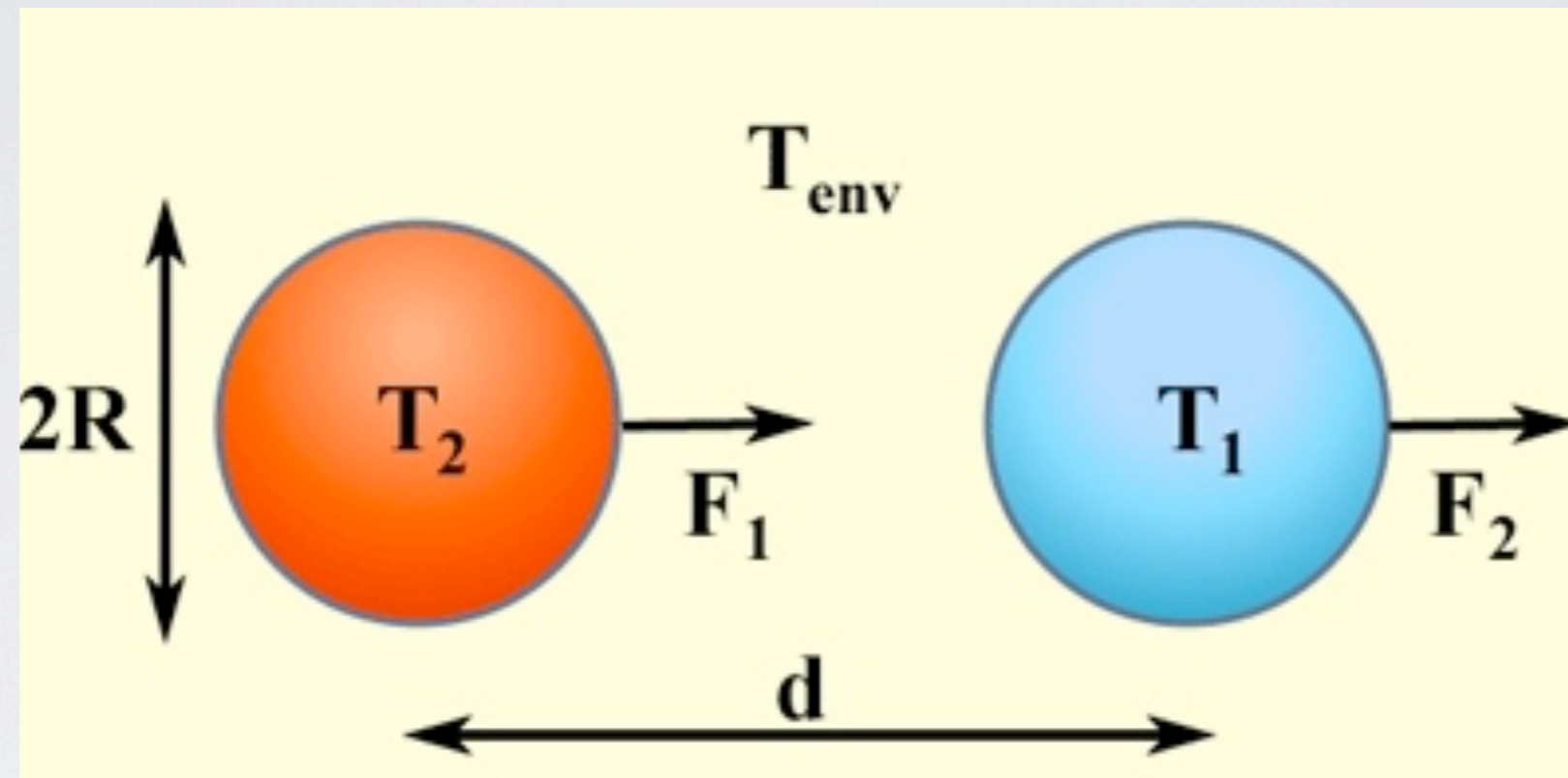
- Heat transfer rate from plate to sphere ( $\text{SiO}_2$ ,  $R=5\mu\text{m}$ )



- Increased heat transfer at small  $d$  due to tunneling of evanesc. waves.
- At small  $d$  proximity transfer approximation (PTA) is valid:  $H_s \sim 1/d$
- Volume-to-surface crossover around  $R \approx \lambda_T$



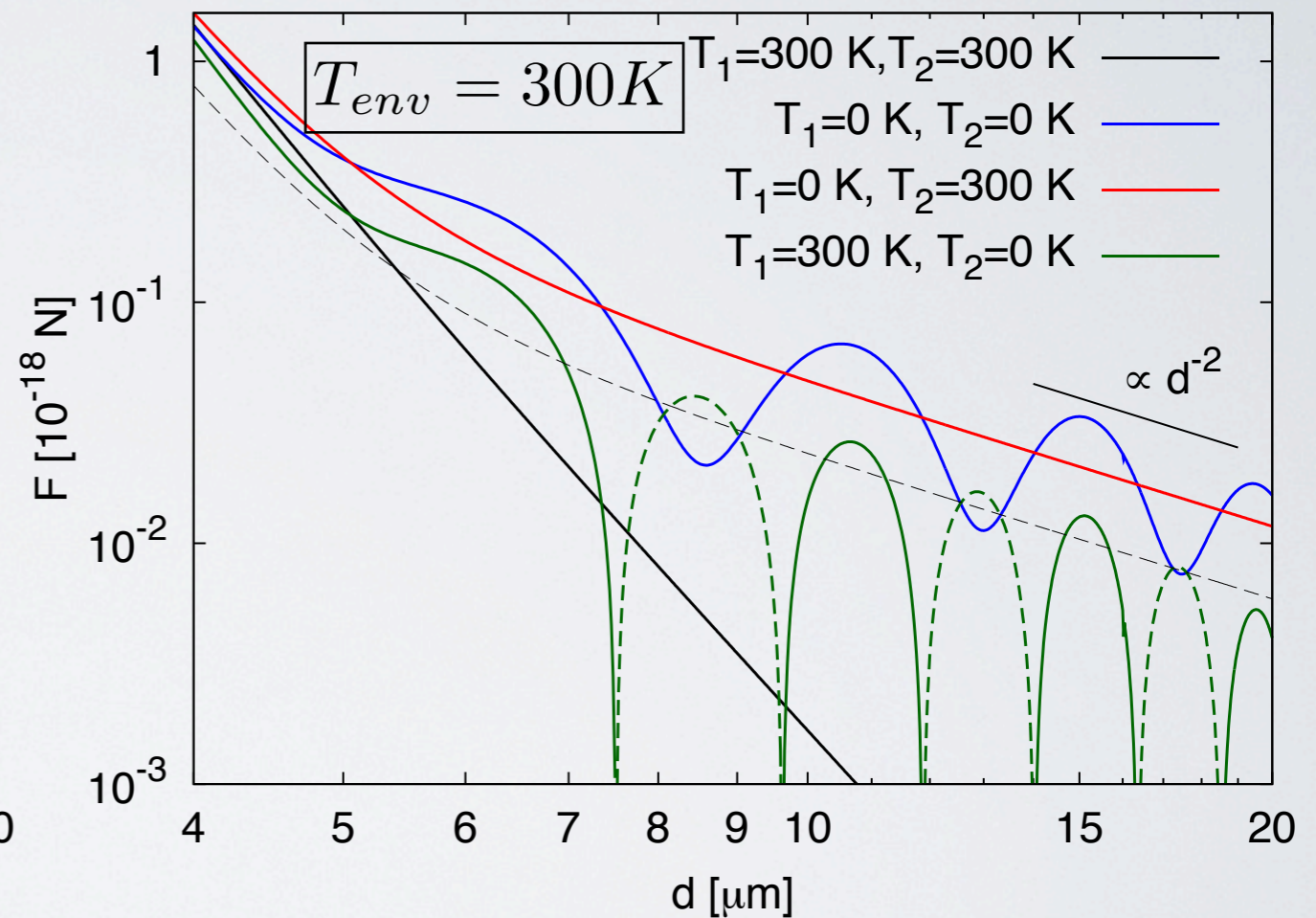
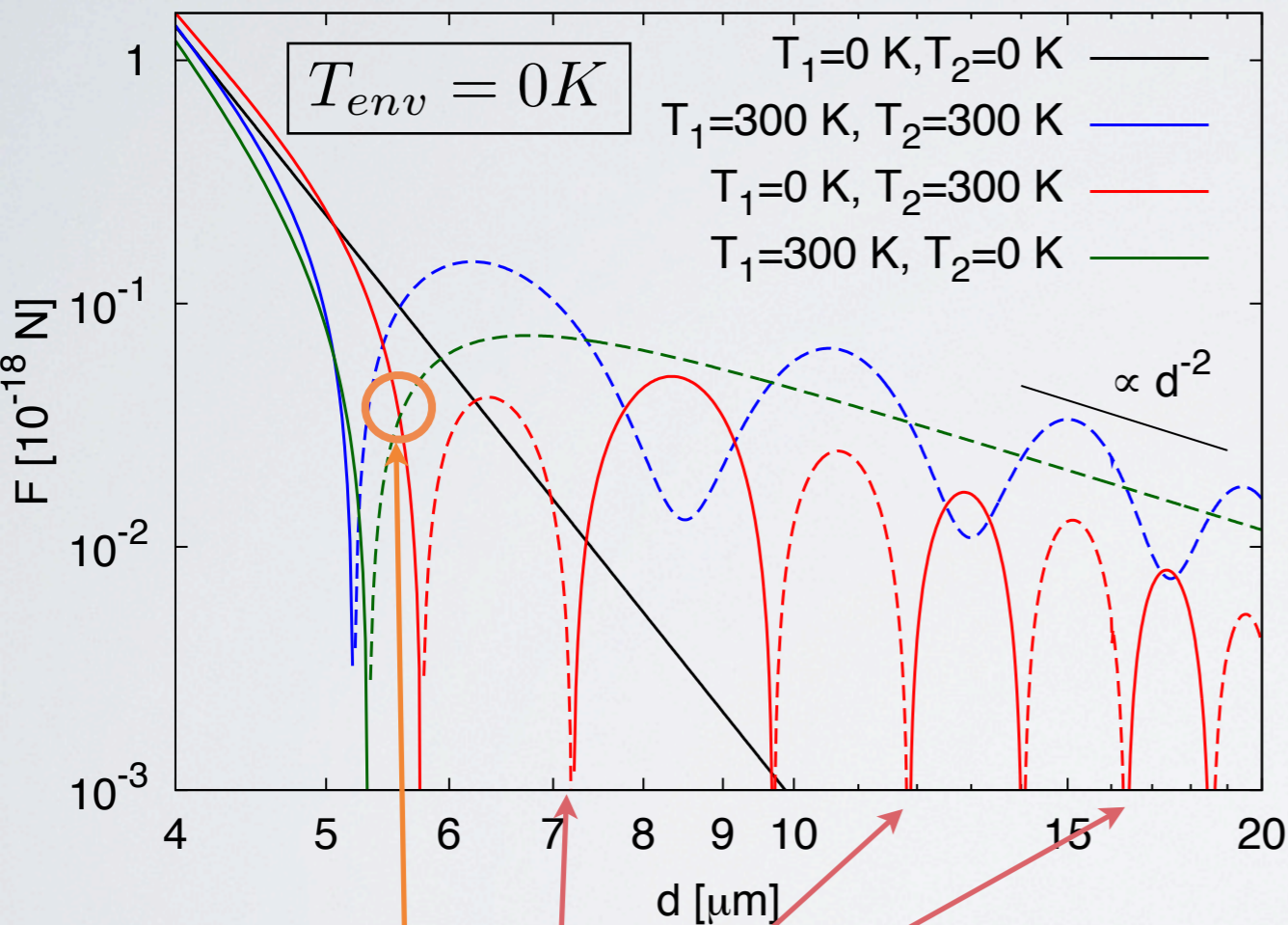
# TWO SPHERES AT DIFFERENT TEMPERATURES





# FORCE BETWEEN TWO SPHERES (SiO<sub>2</sub>)

- Dipole approximation, one reflection: assume radius  $R \ll d$ ,  $\lambda_T = \frac{\hbar c}{K_B T}$
- **Force on sphere 2:** attraction (solid lines) and repulsion (dashed lines)

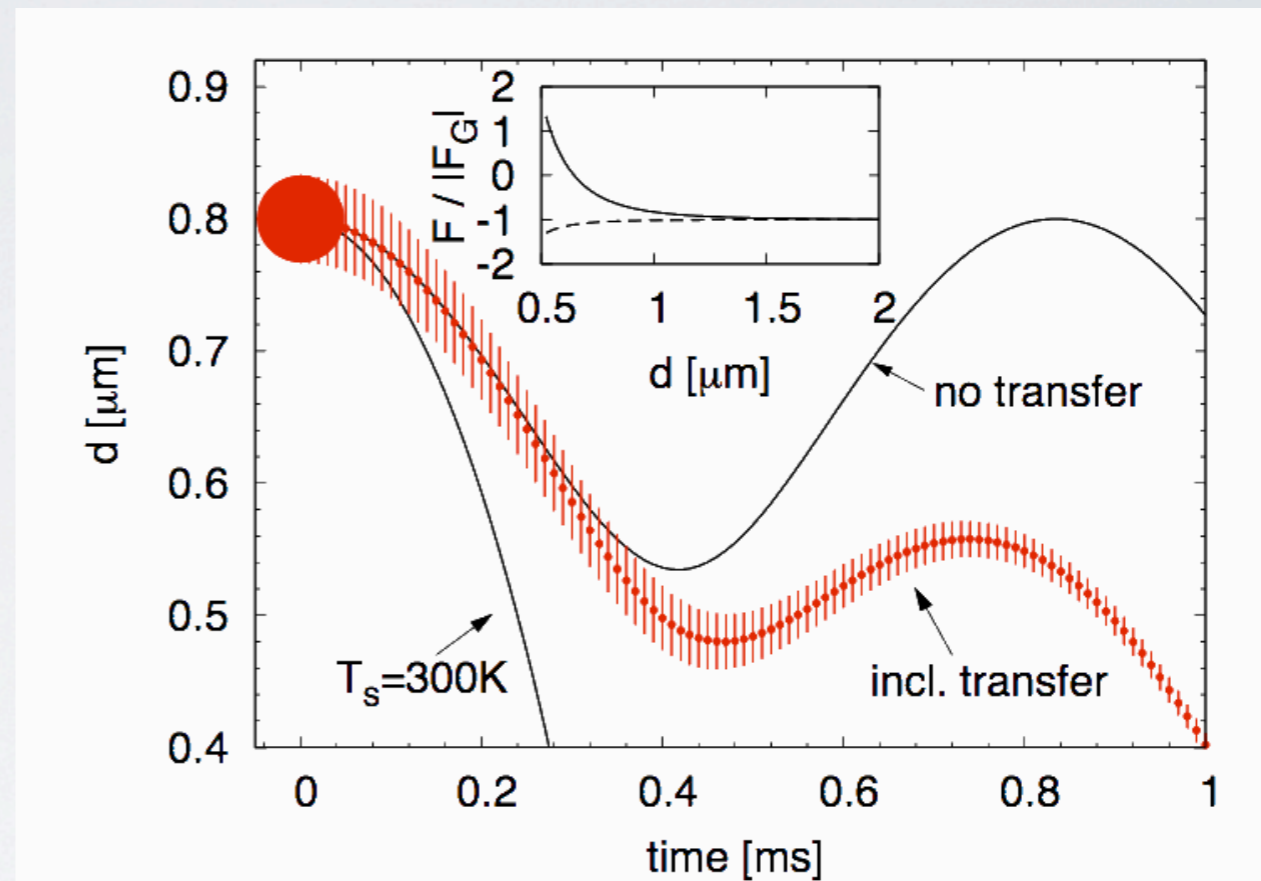
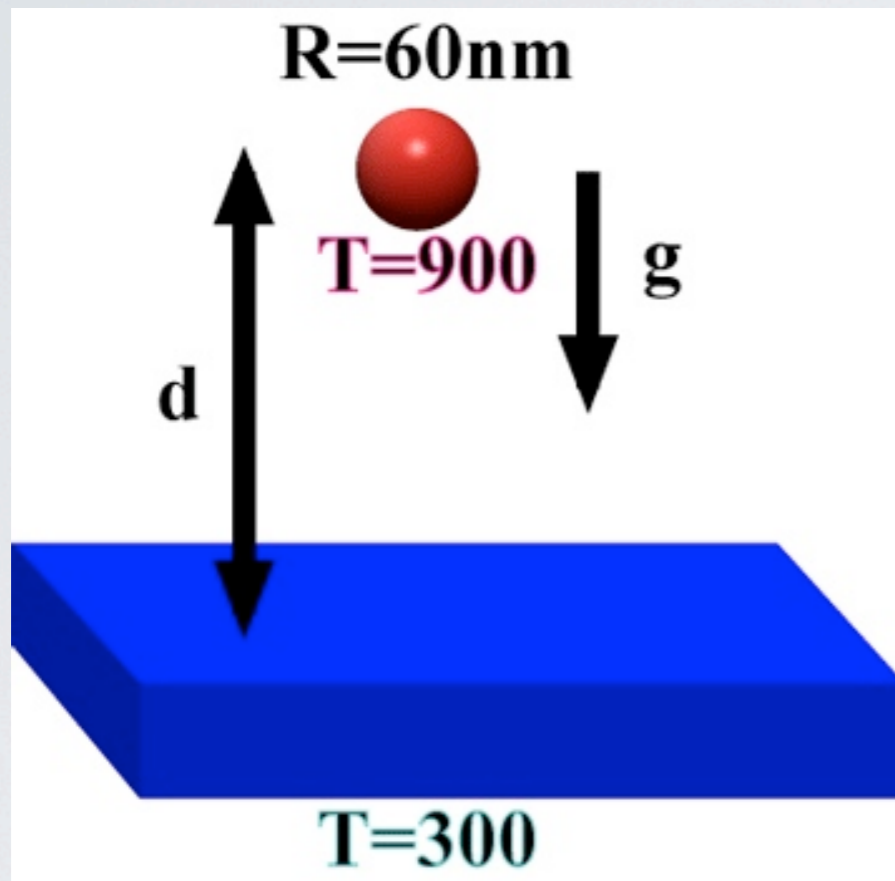


- Oscillations from  $\mathbf{F}_\alpha^\alpha$  due to interference of reflected and non-reflected radiation. Set by material resonances.
- Stable equilibrium positions.
- **Self-propelled pairs:** equal acceleration in the same direction.



# CASIMIR LEVITATION

- Non-equilibrium situation:



- Hot microsphere levitates above a cold dielectric plate
- If sphere cools down (including heat transfer) it will fall down



# OUTLOOK / NEW DIRECTIONS

- ▶ Radiation/Transfer: effect of shape? e.g. non-parallel cylinders, disorder (roughness)?
- ▶ Fluctuation of forces / radiation / transfer? distribution functions?  
Related to friction (Einstein relation): Quantum friction?
- ▶ Relation to random matrices?
- ▶ Dynamic effects: Radiation due to motion
- ▶ ...