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# Spectral and Directional Control of Thermal Emission with Periodic Microstructures by Coupled Modes

Ceji Fu

Dept. Mechanics & Engineering Science  
Peking University, China

Les Houches, France

05/15/2013



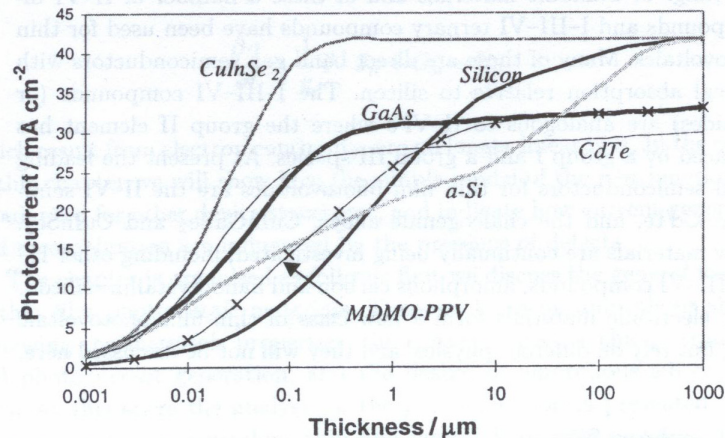
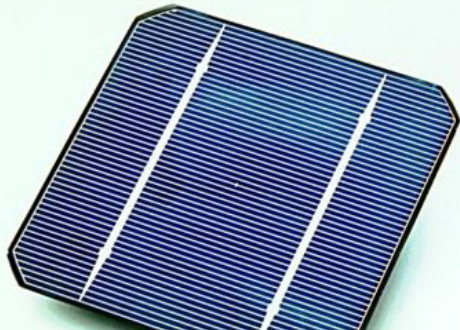
# Outline

1. Significance
2. Fundamentals: Electromagnetic excited modes for realizing control of thermal emission with periodic microstructures
3. Spectral and directional control of thermal emission with coupled modes
4. Summary

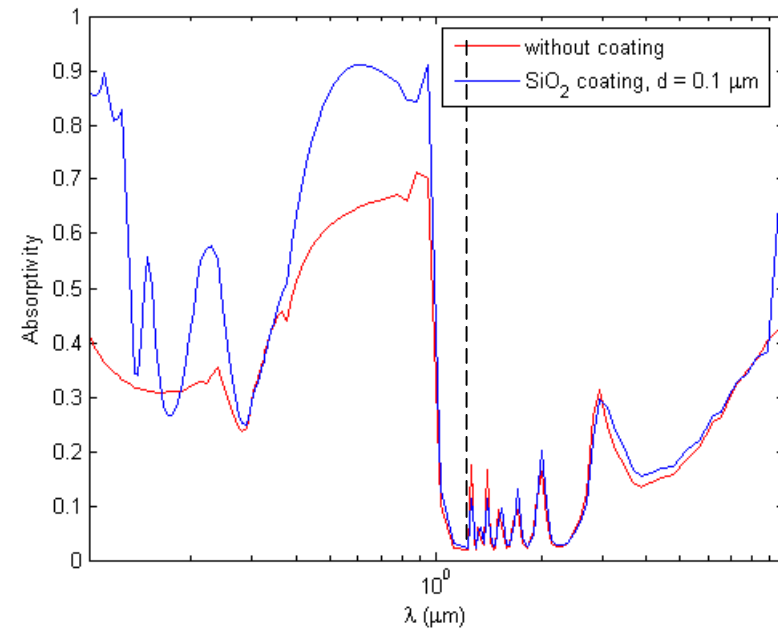


# Significance

1. Spectral and directional control of thermal emission/absorption has important application in energy conversion devices such as solar cells and thermophotovoltaic devices

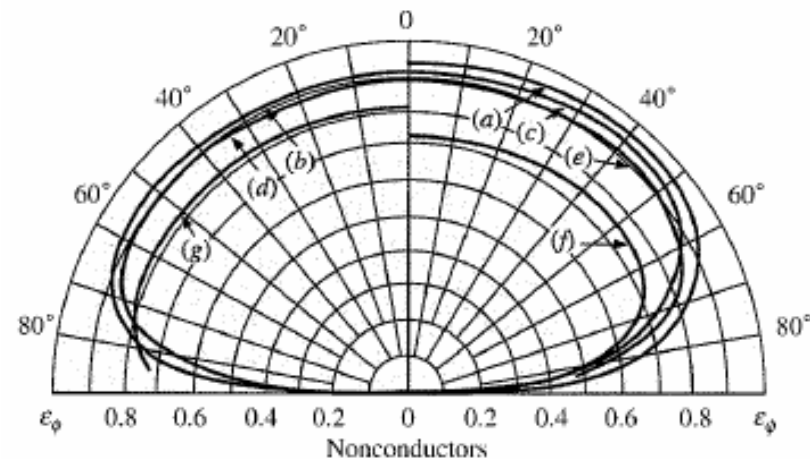


useful ← → useless



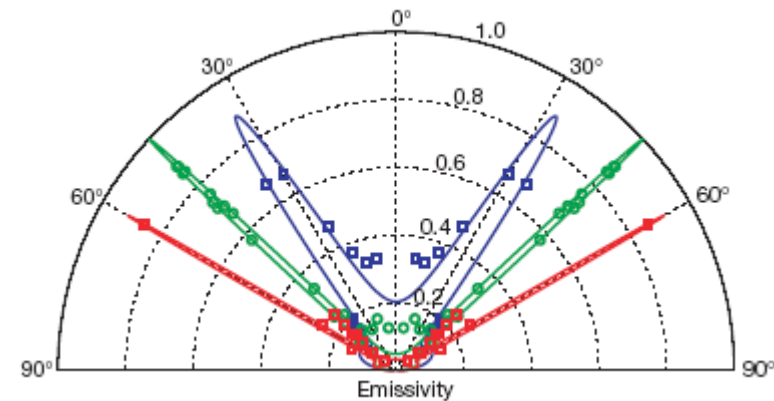
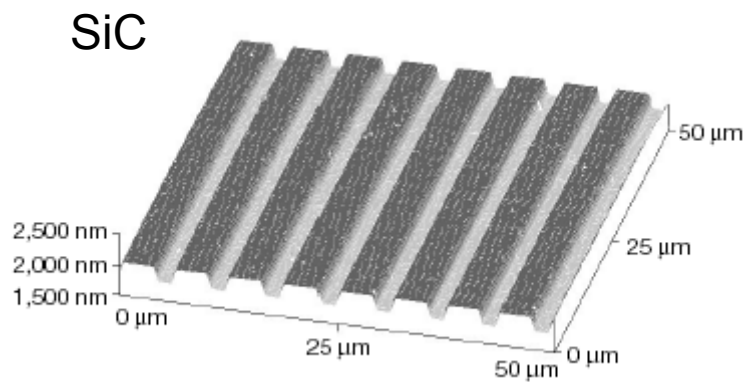
## Significance

2. Study on spectral and directional control of thermal emission with periodic microstructures helps to understand the essence of thermal emission, thermal radiative wave – matter interaction phenomena at micro/nanoscale





# Mechanisms for spectral and directional control of thermal emission with microstructures

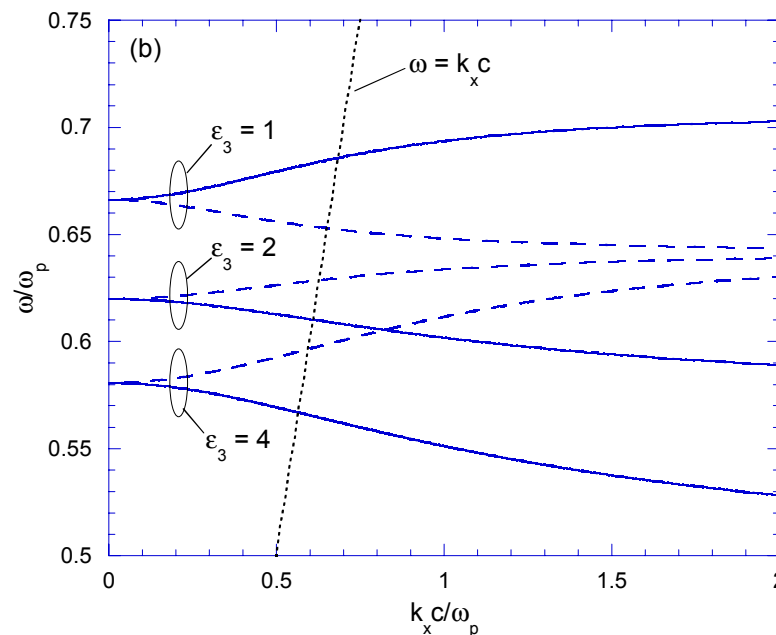
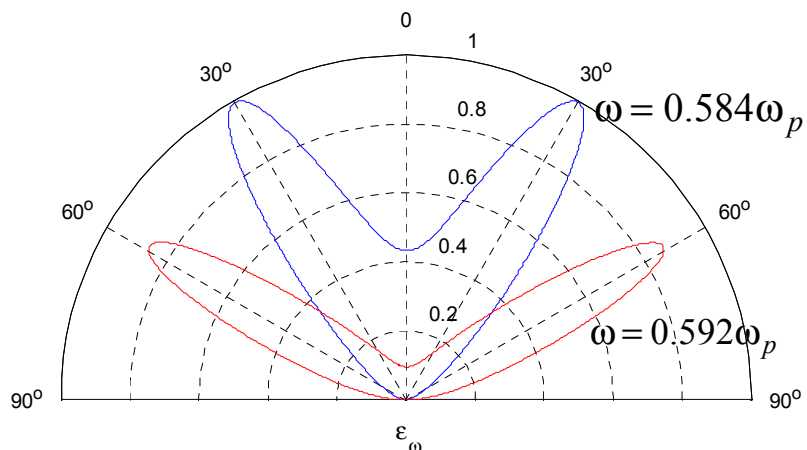
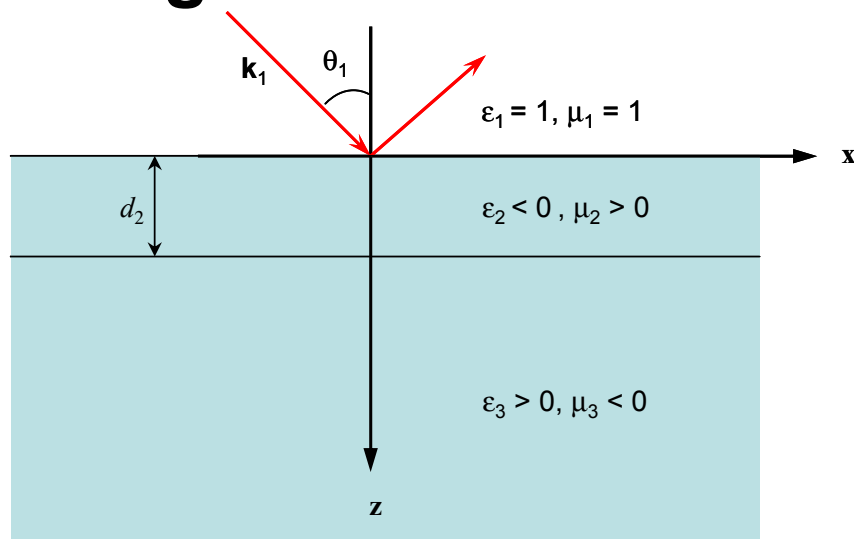


**Greffet et al., *Nature* 416, 61 (2002)**

Temporally and spatially enhanced thermal radiative absorption can be achieved in the mid-infrared due to excitation of surface phonon polaritons

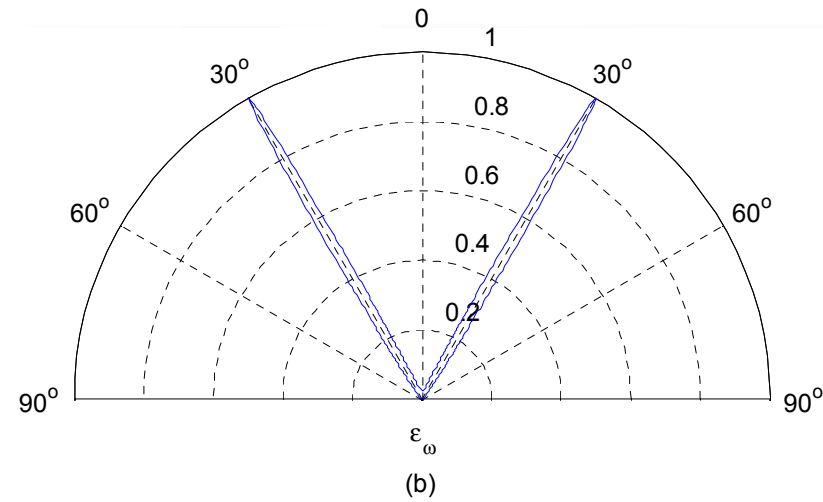
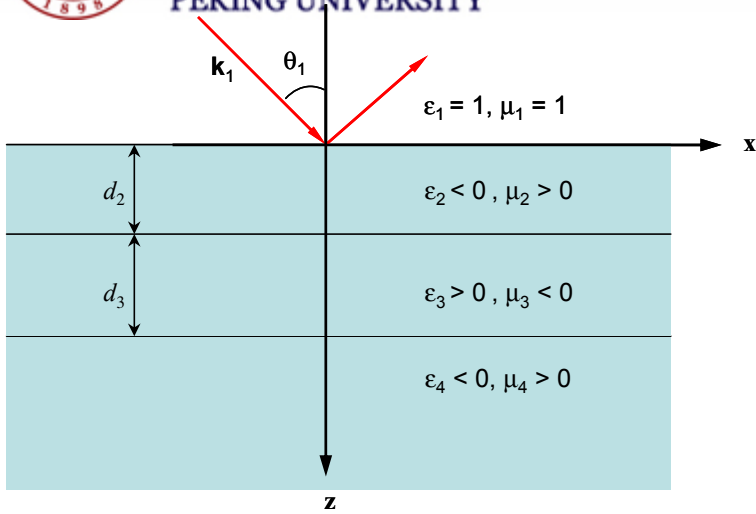


# Gratingless coherent emission source

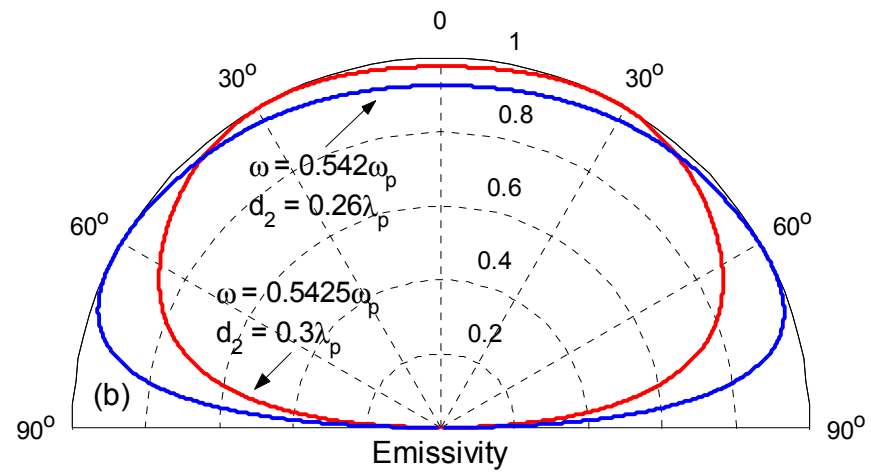
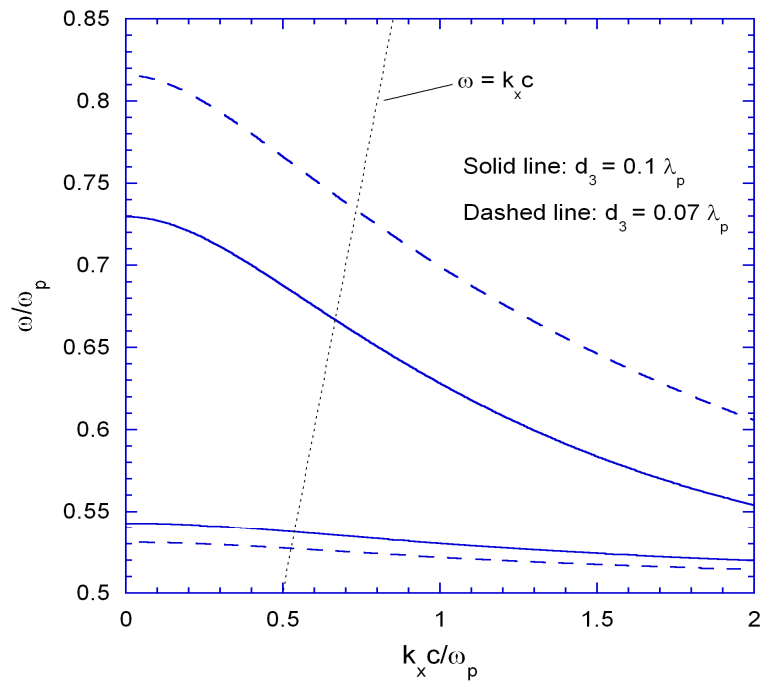


solid curves: *p*-polarization  
dashed curves: *s*-polarization

Fu and Zhang, *Optics Letters*, 2005, 14:1873



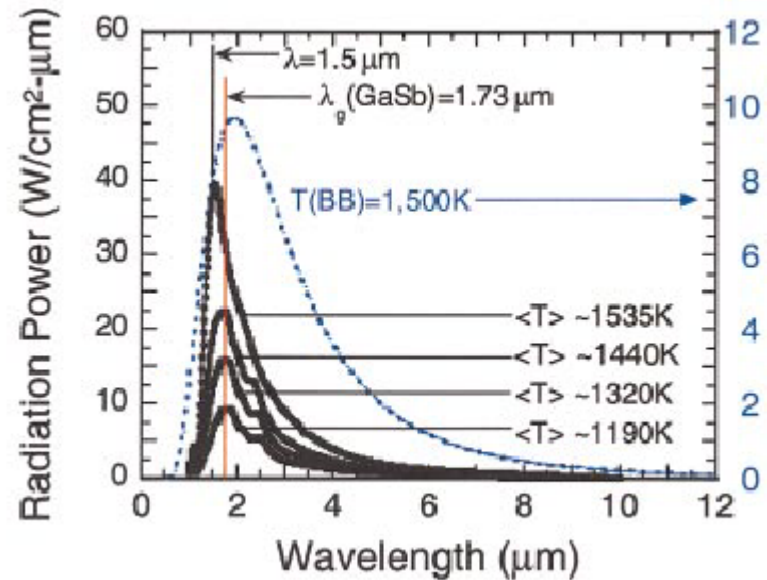
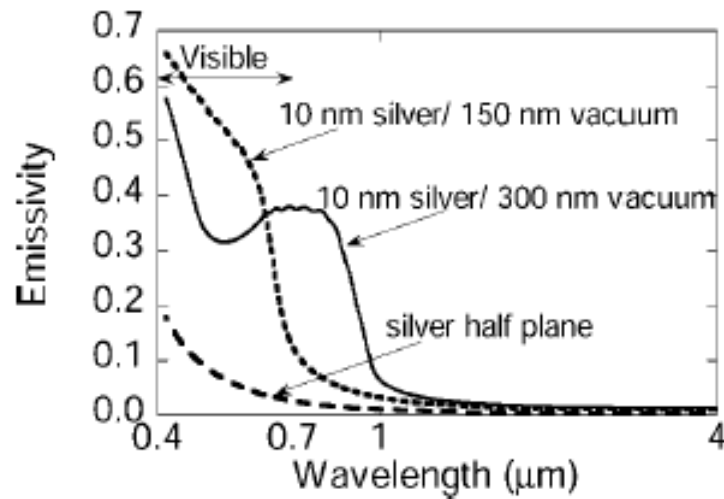
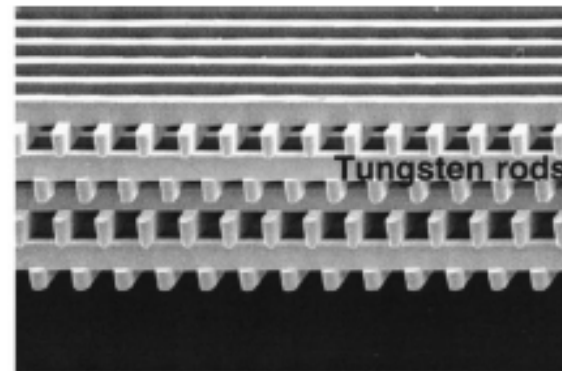
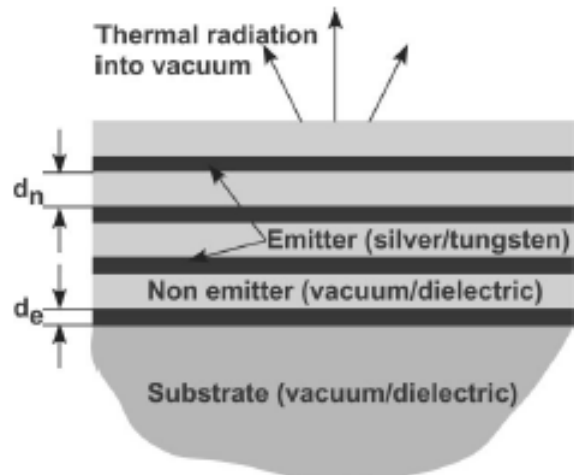
$$\omega = 0.706\omega_p$$







# Application of photonic crystal (PC) for control of thermal emission



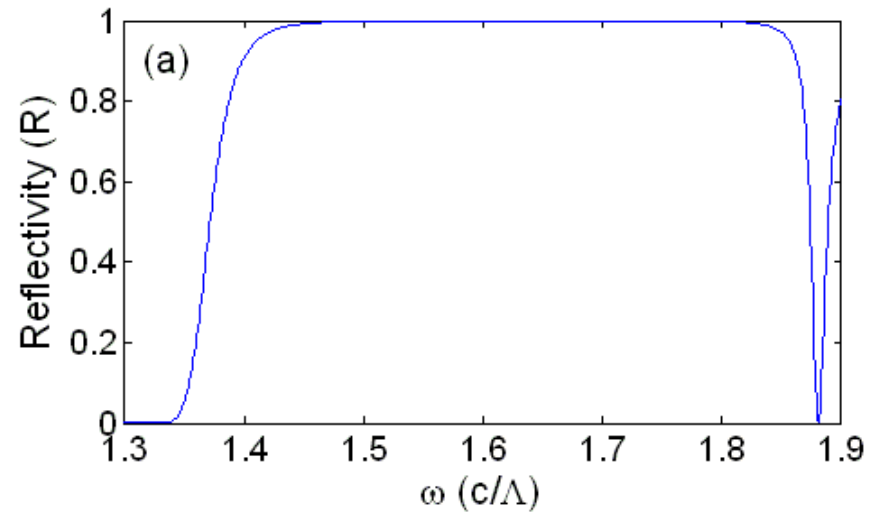
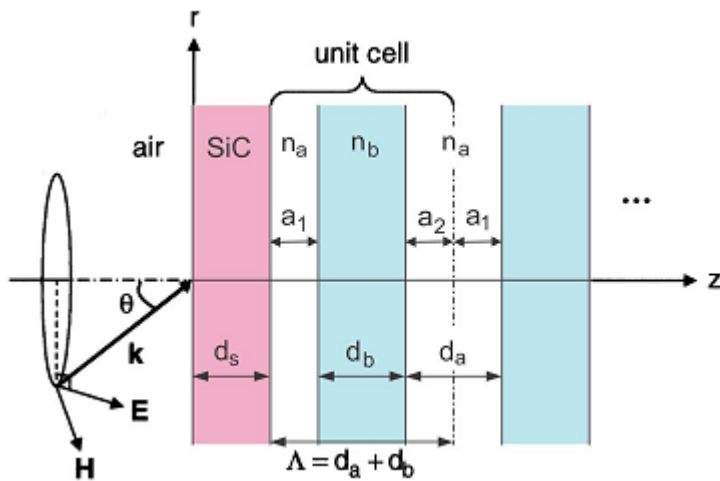
Narayanaswamy and Chen, *PRB* 70, 125101 (2004)

Lin et al., *Appl. Phys. Lett.* 83, 380 (2003)



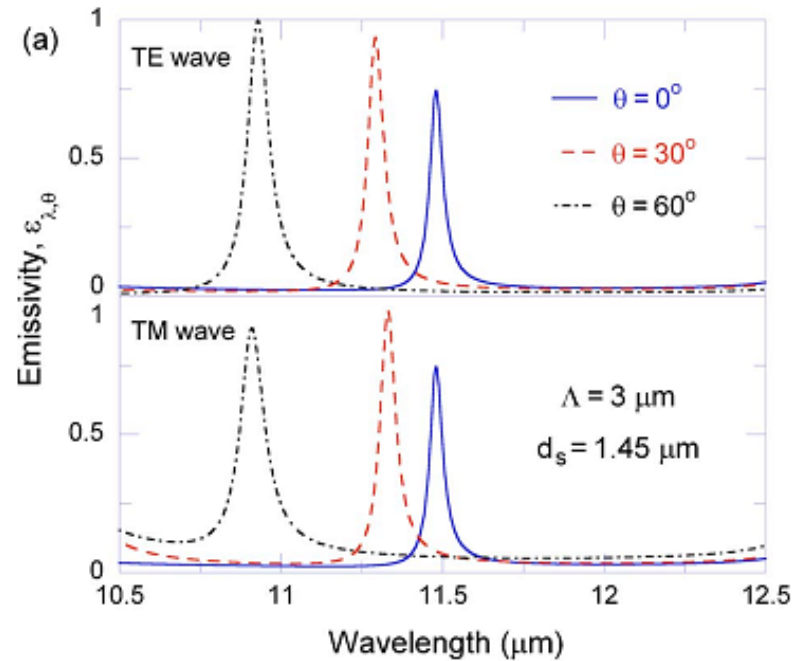
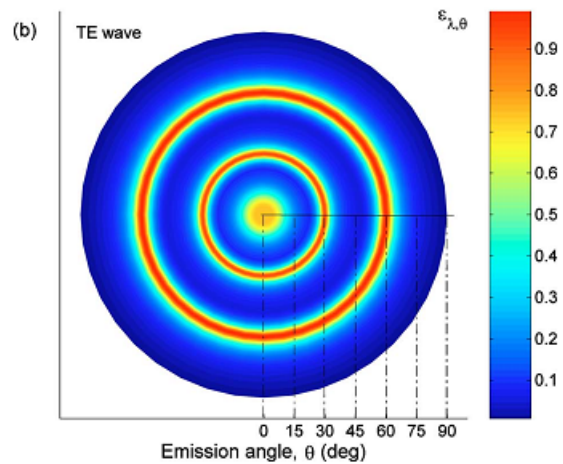
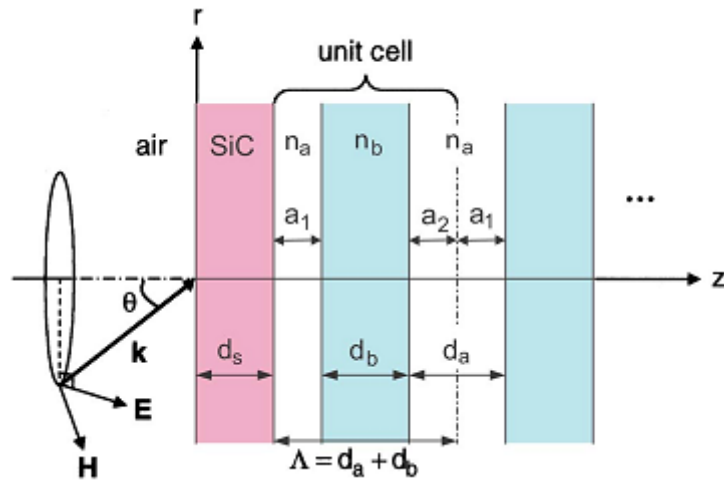


# Manipulating thermal emission for SiC film by PC





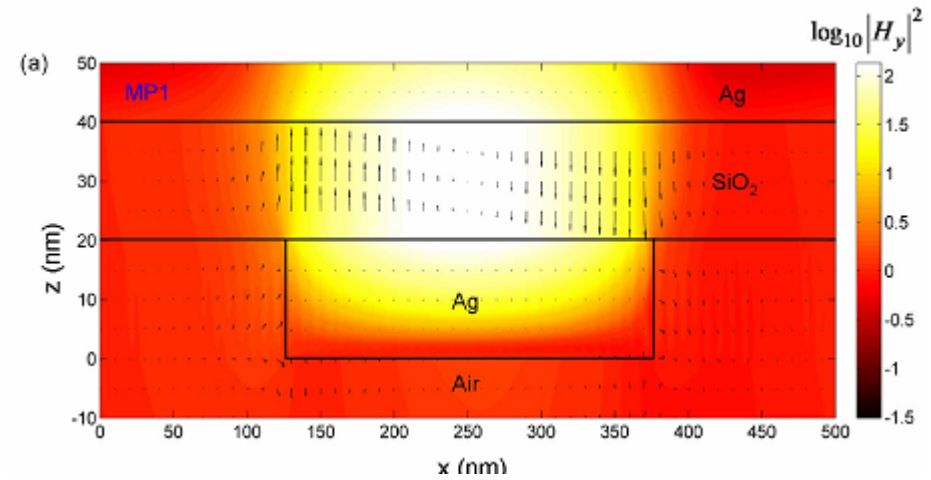
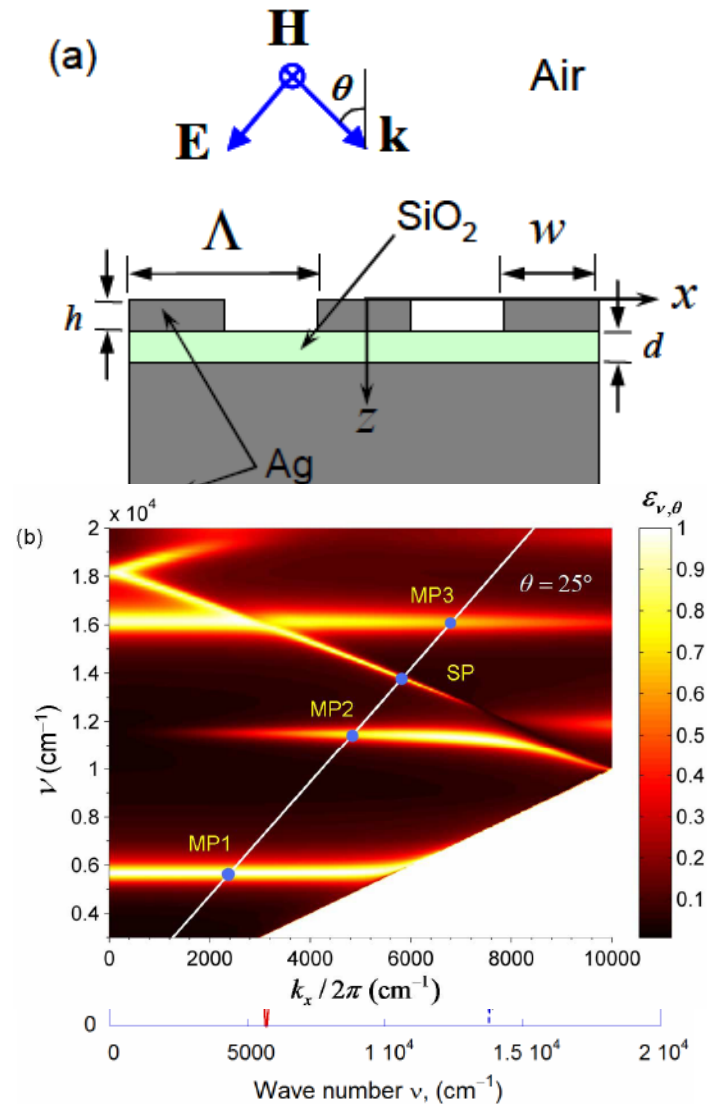
# Manipulating thermal emission for SiC film by PC



Lee, Fu, and Zhang, *Appl. Phys. Lett.*, 2005, 87: 071904



# Coherent Emission Realized using Magnetic Polaritons

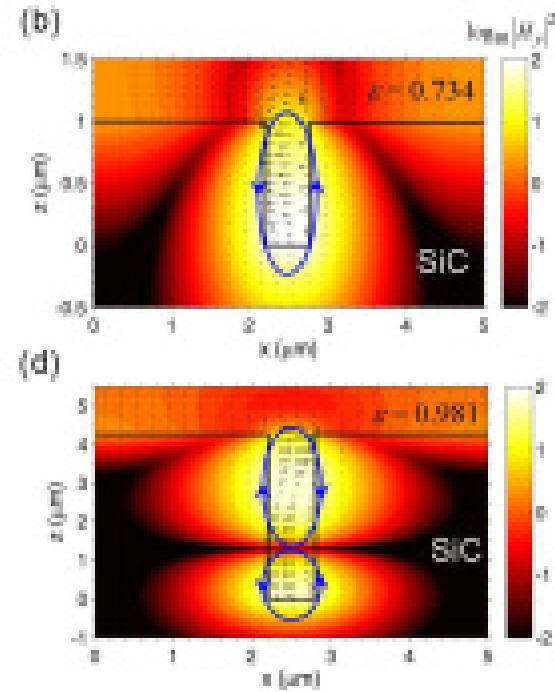
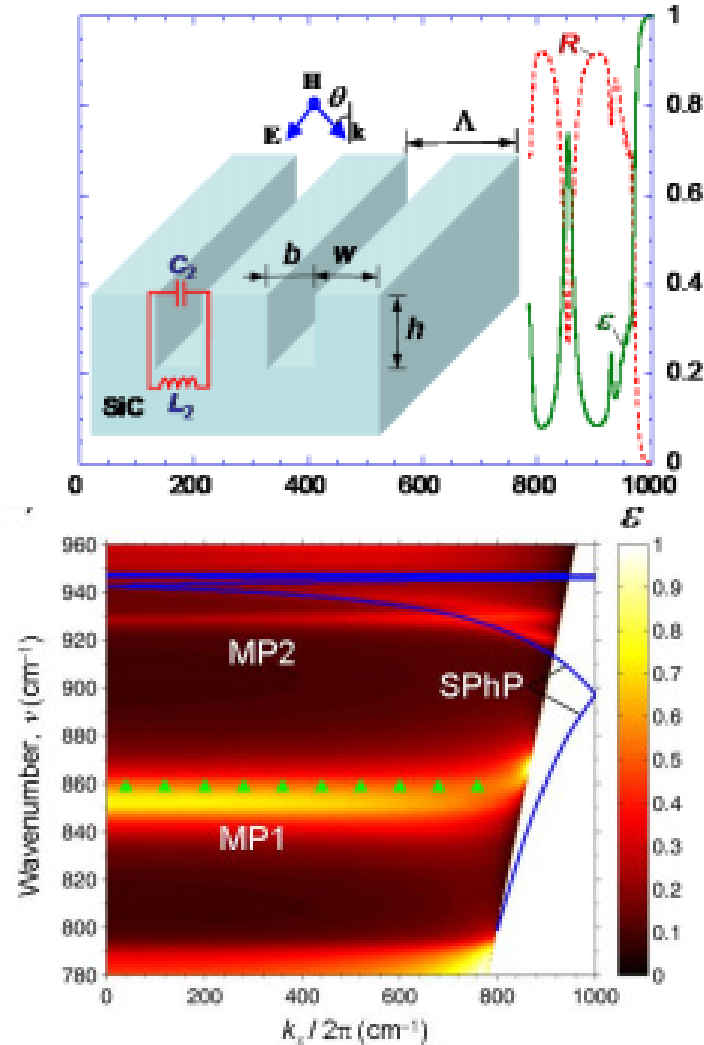


**Magnetic field is strongly localized in the spacer between the strip and the film**

**B. J. Lee et al., Opt. Exp., 2008, 16, pp. 11328-11336**



# Magnetic polariton excited in deep SiC gratings



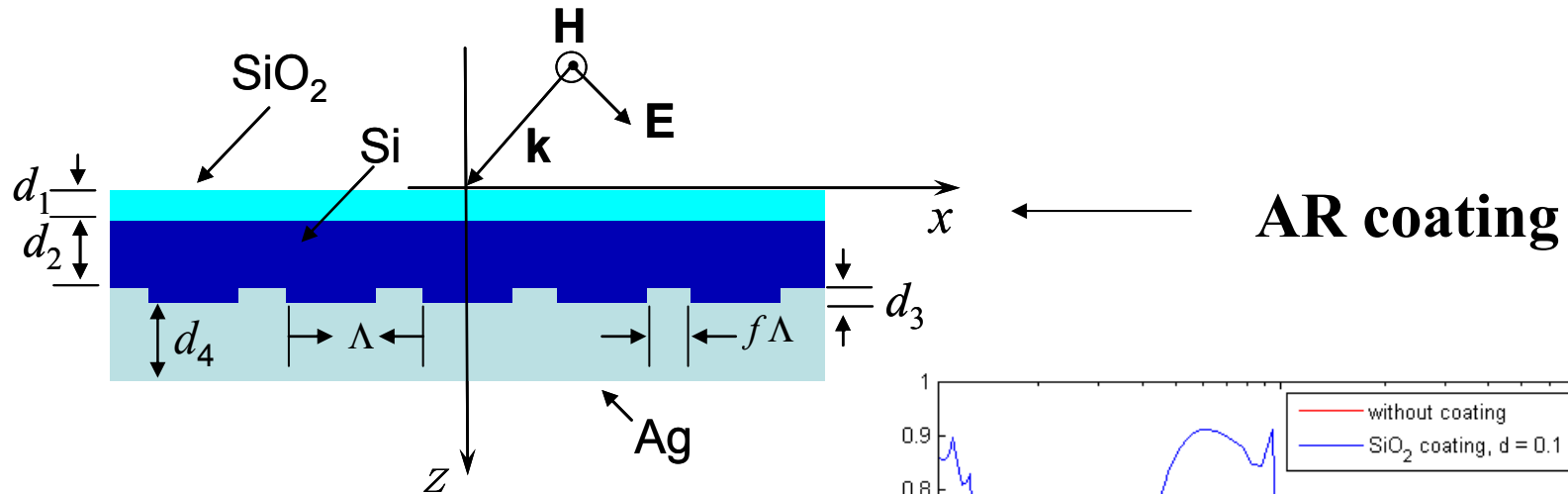
Wang and Zhang, Opt. Exp., 2011, 19, pp. A126-135



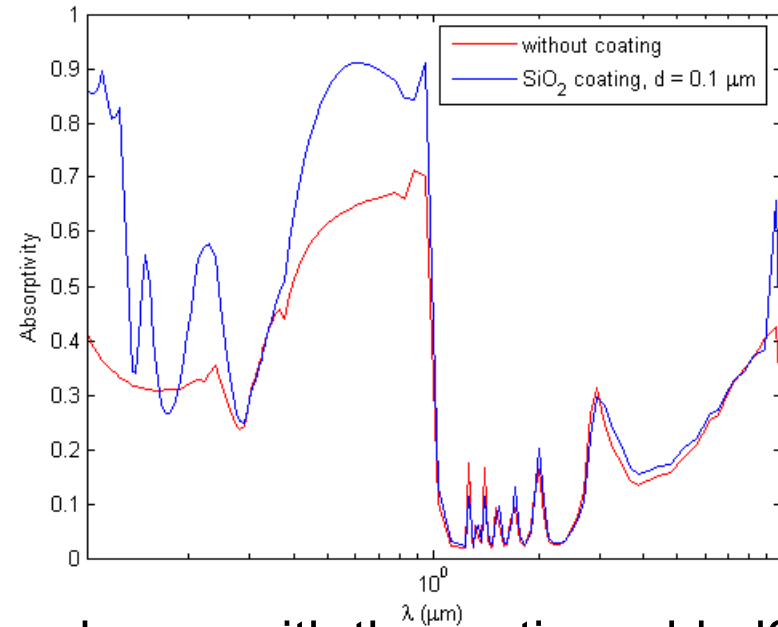
Why coupled modes?



# Application of deep grating at the backside



To control selectively the structure's thermal radiative absorption and emission.



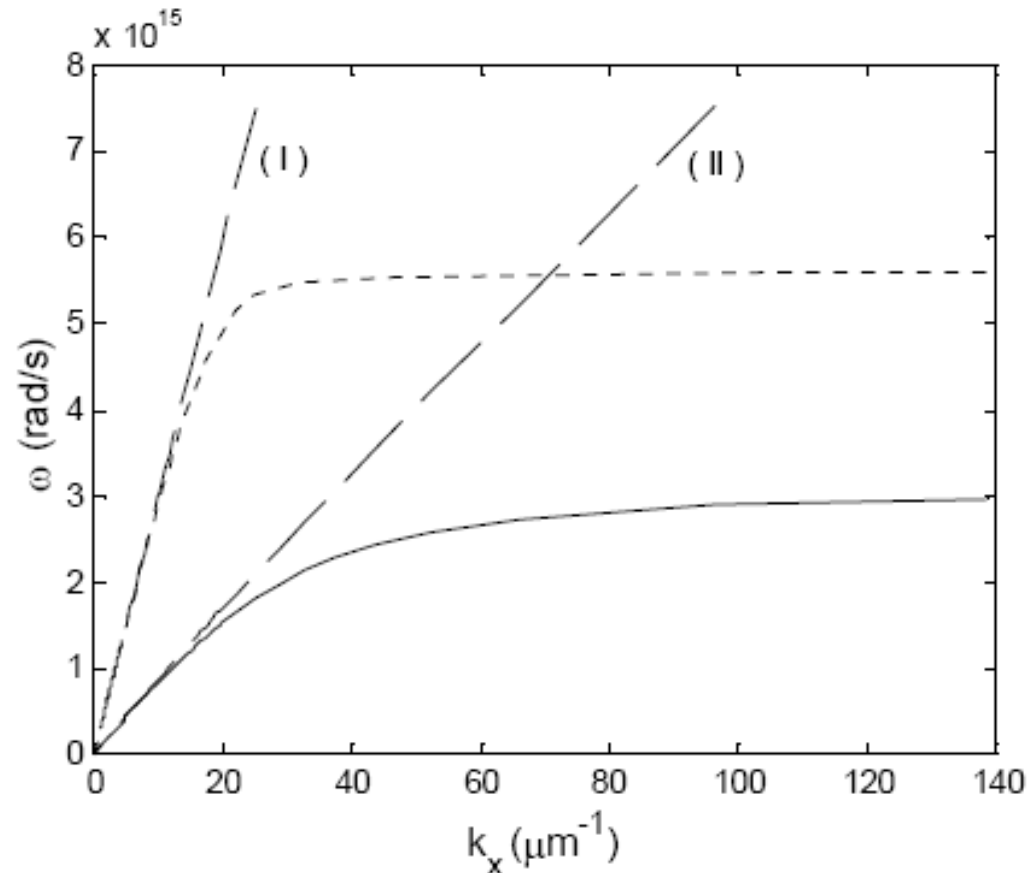
What will the curve change with the grating added?



- Dispersion relation of SPP at the interface between a dielectric and a metal.

$$\frac{k_{1z}}{\epsilon_1} + \frac{k_{2z}}{\epsilon_2} = 0$$

(p-polarization)



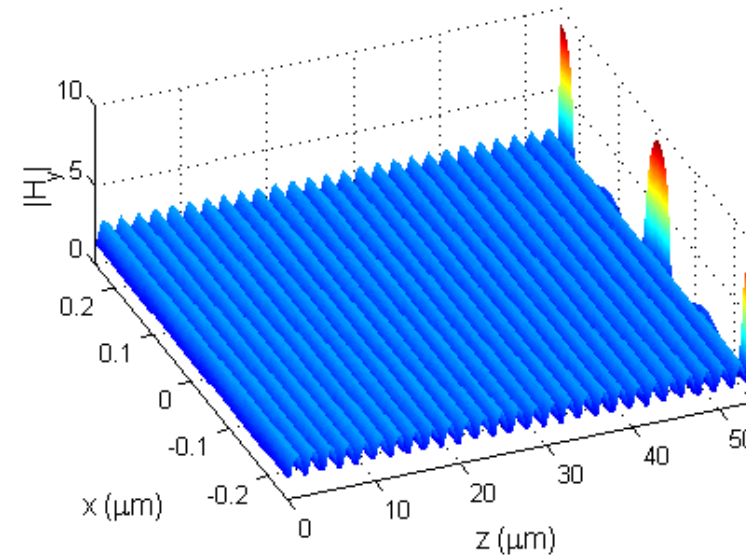
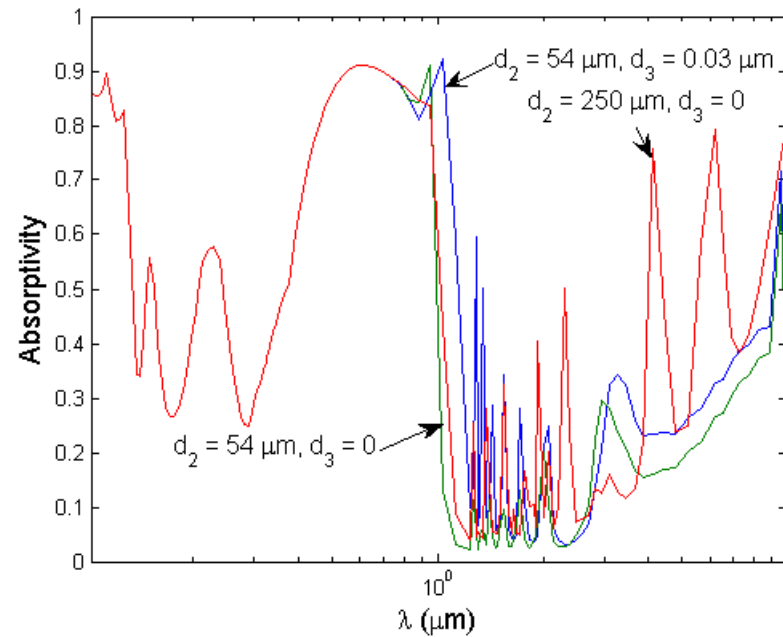
Short dashed: SPP at Ag/air interface

Solid : SPP at Ag/Si interface





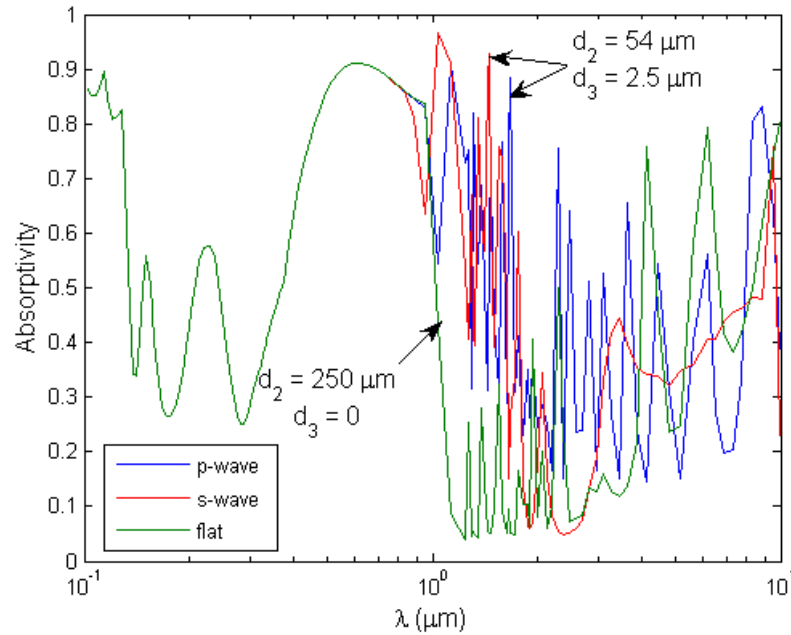
## Calculated Results: Shallow grating



Normal incidence

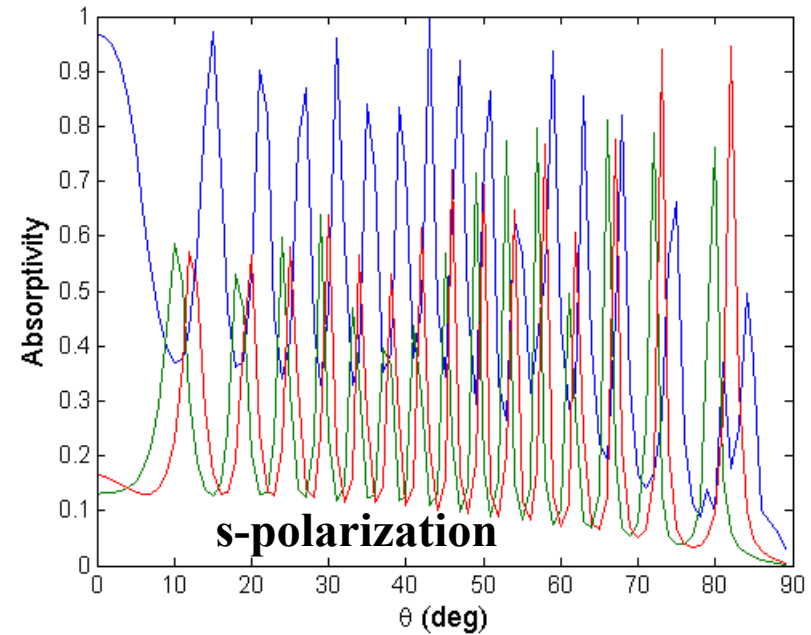
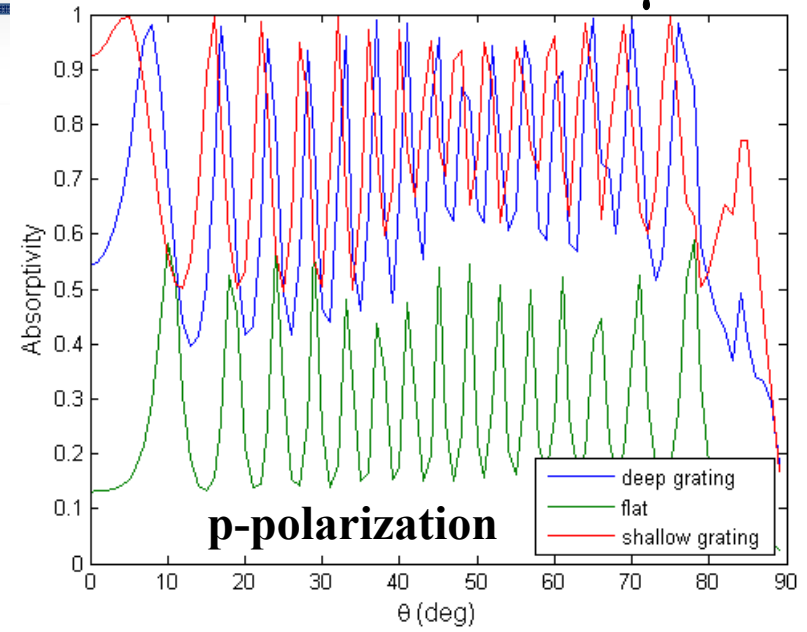


# Deeping grating



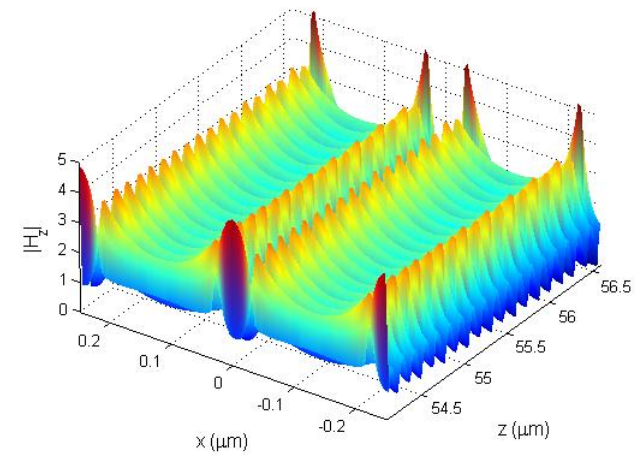
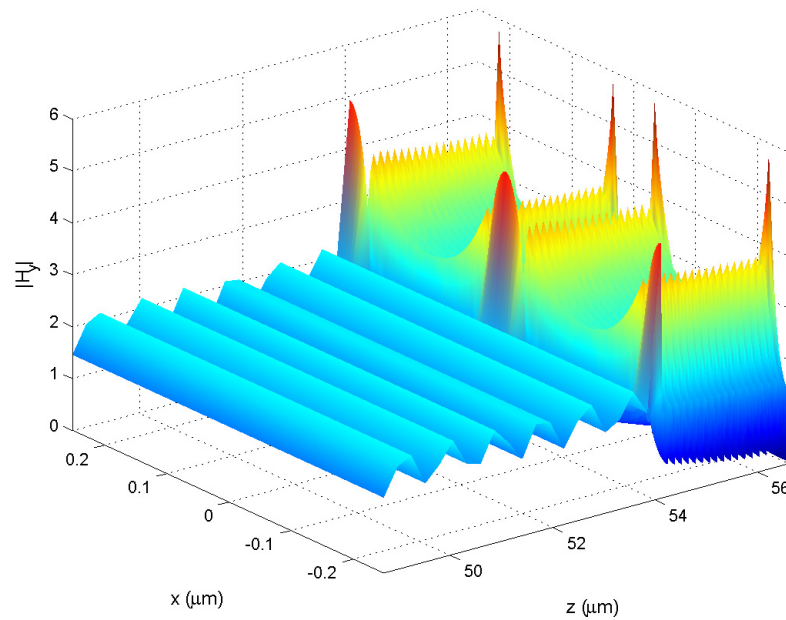
Normal incidence

$\lambda = 1.033 \mu\text{m}$



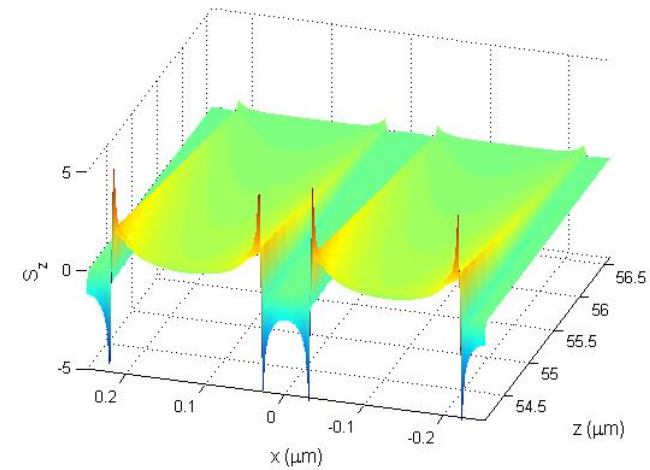
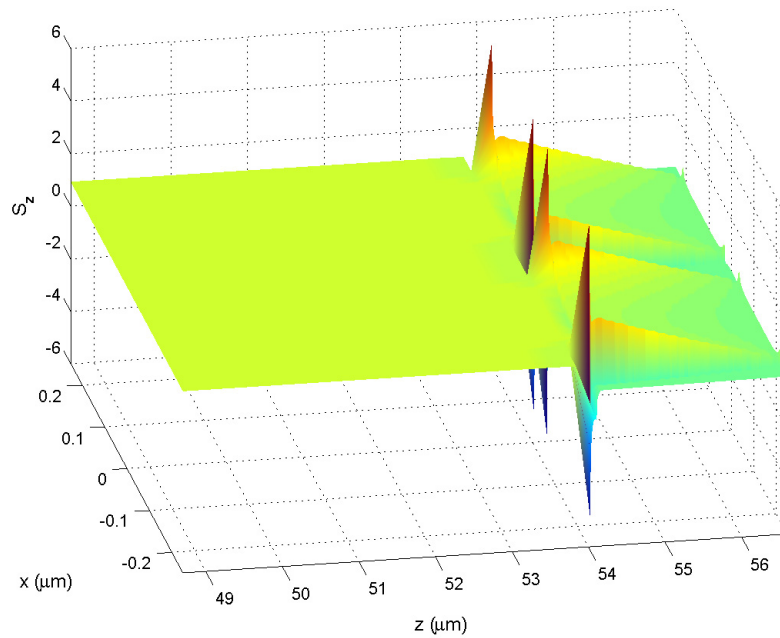


magnetic field amplitude distributions inside the Si layer  
and the grating region for p-polarization



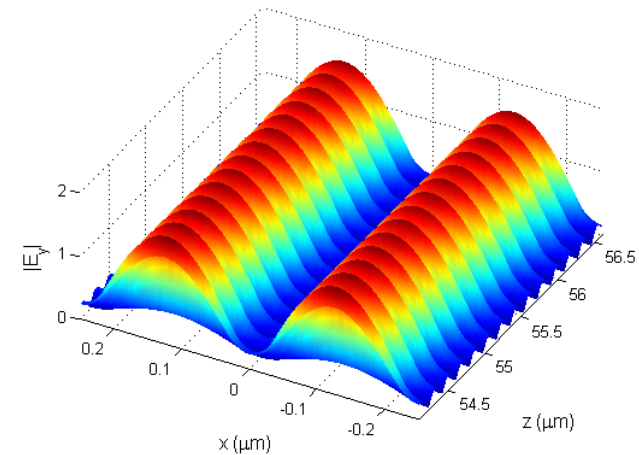
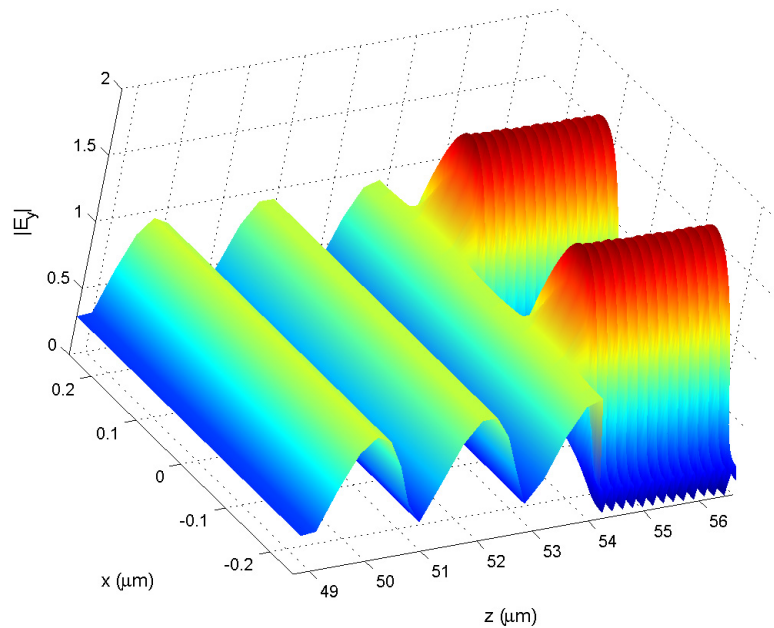


# Decay of the radiant flux in the Si layer and the grating region for p-polarization



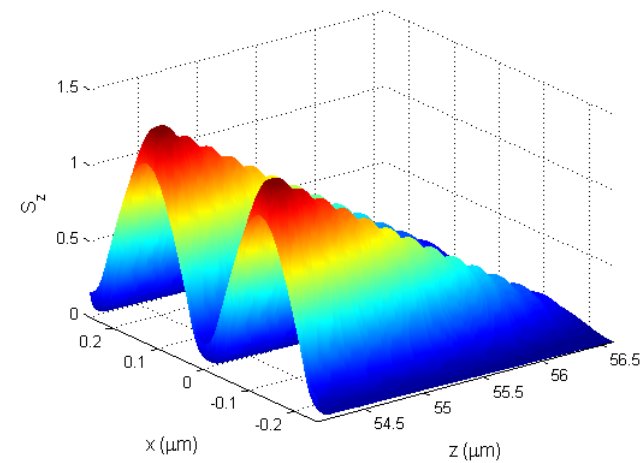
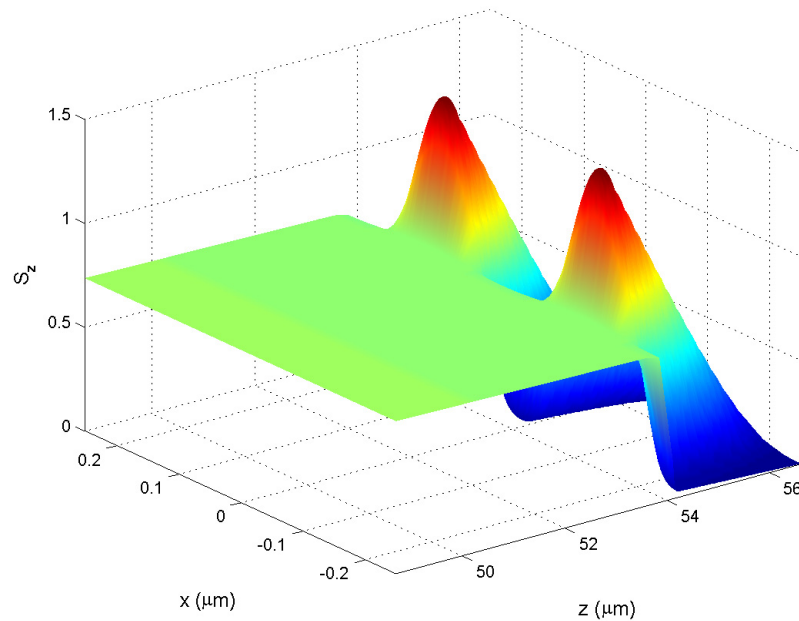


## Electric field amplitude distributions inside the Si layer and the grating region for s-polarization





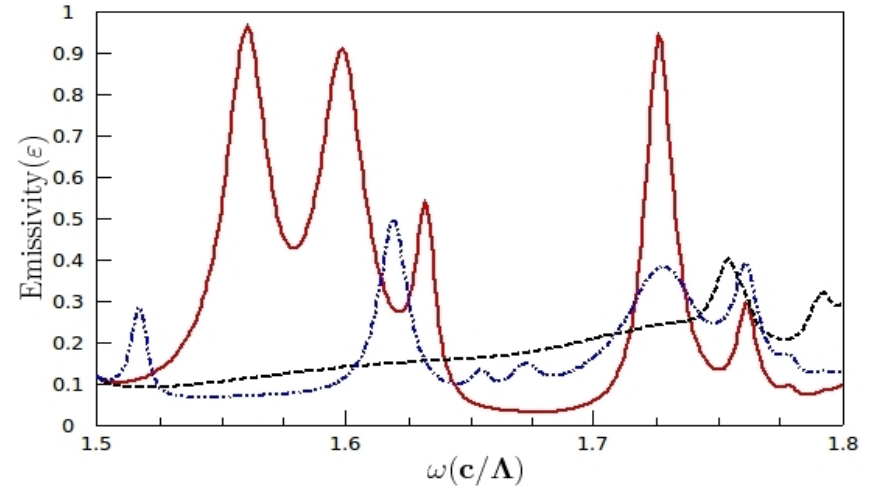
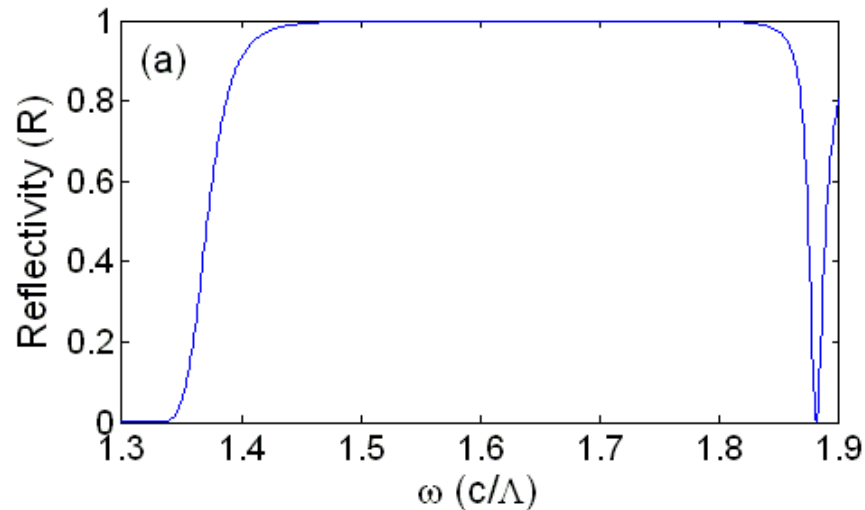
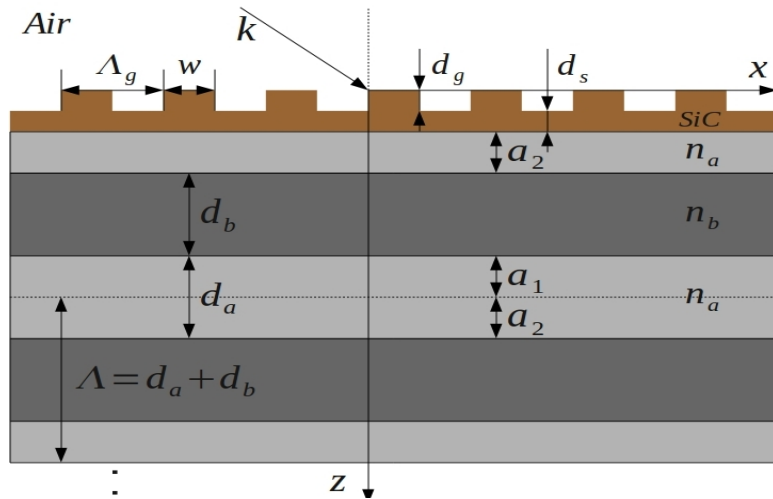
## Decay of the radiant flux in the Si layer and the grating region for s-polarization



Fu and Tan, 2009, J. Heat Transfer



# Periodic structure combining a grating and a PC

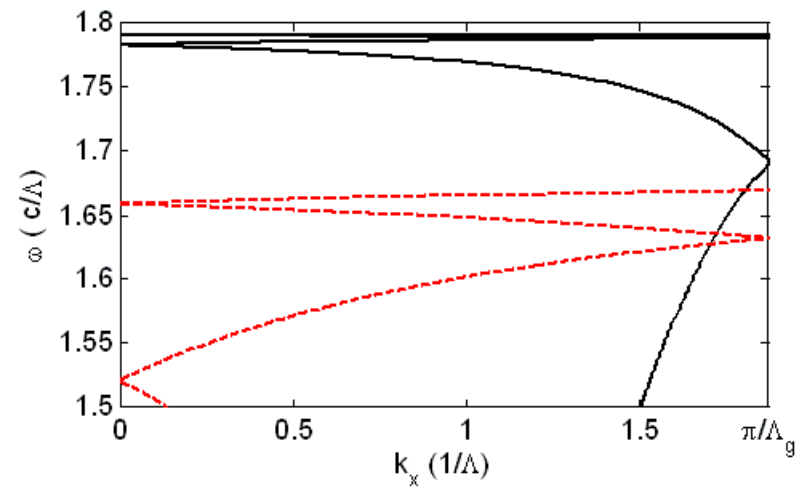
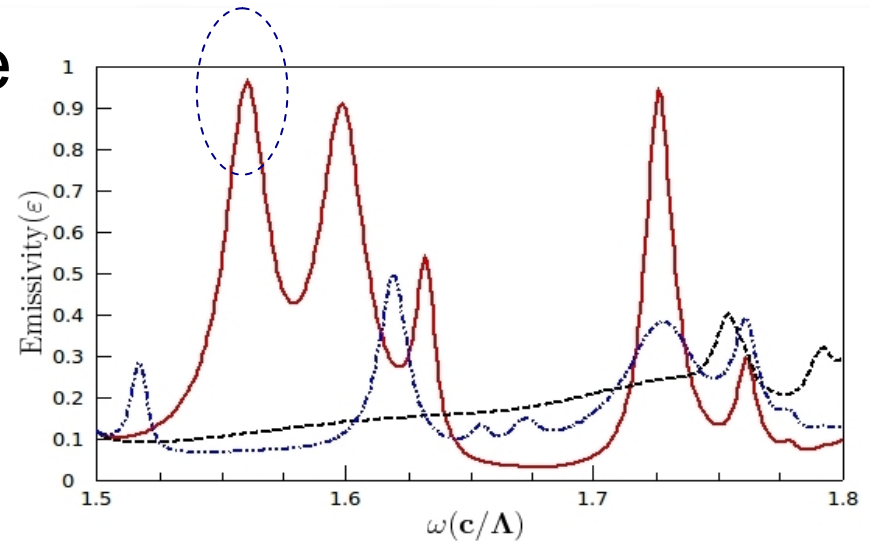
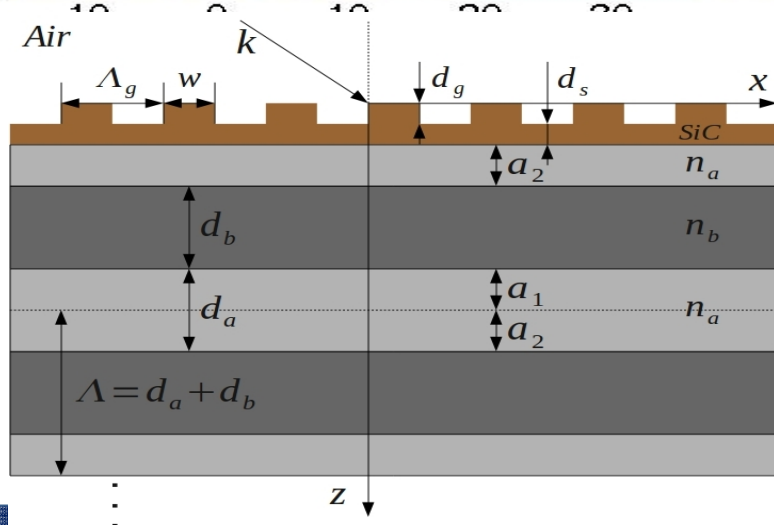
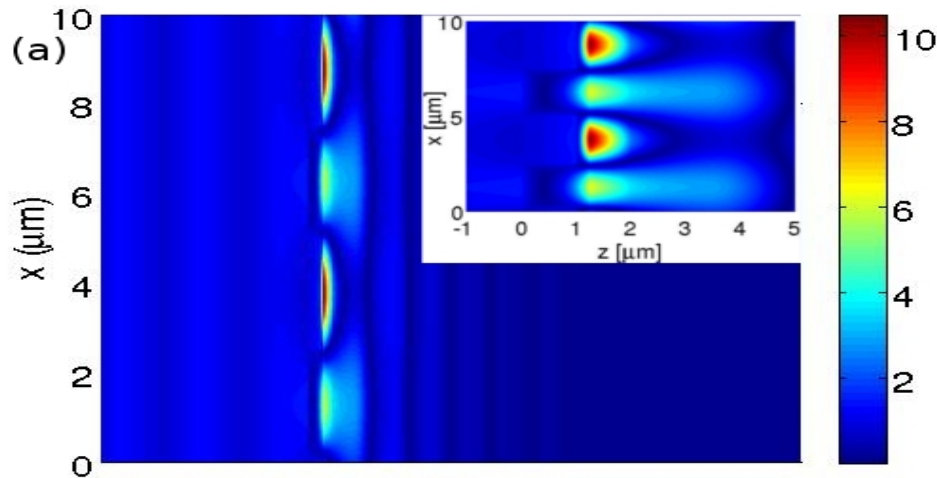


**solid curve:** the proposed structure;  
**dashed curve:** the structure without PC;  
**dashed-dotted curve:** the structure with PC replaced with a semi-infinite dielectric of  $n=2.4$ .



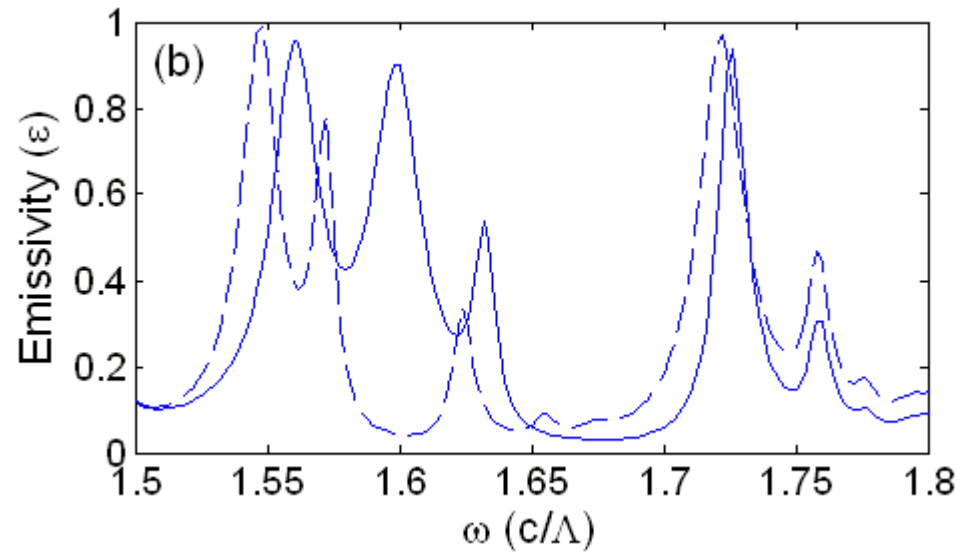
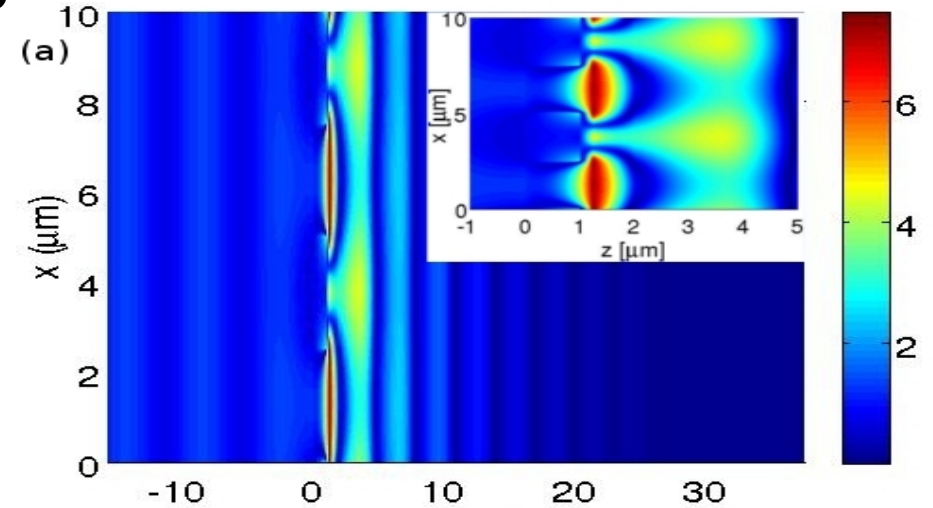
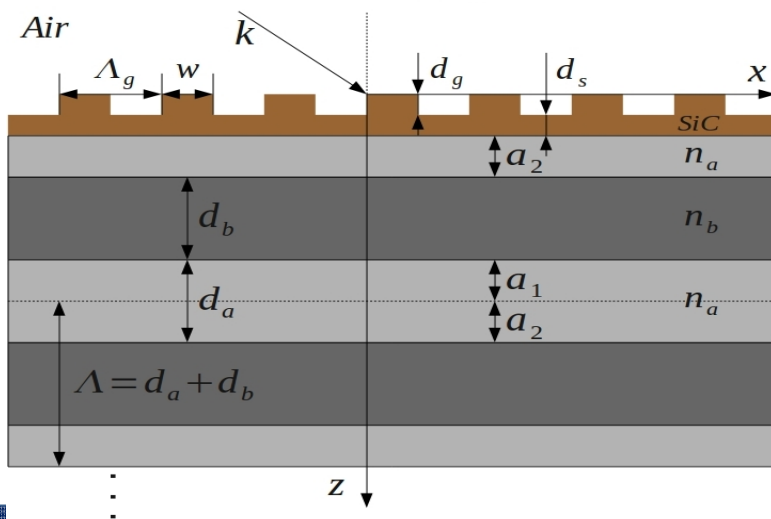
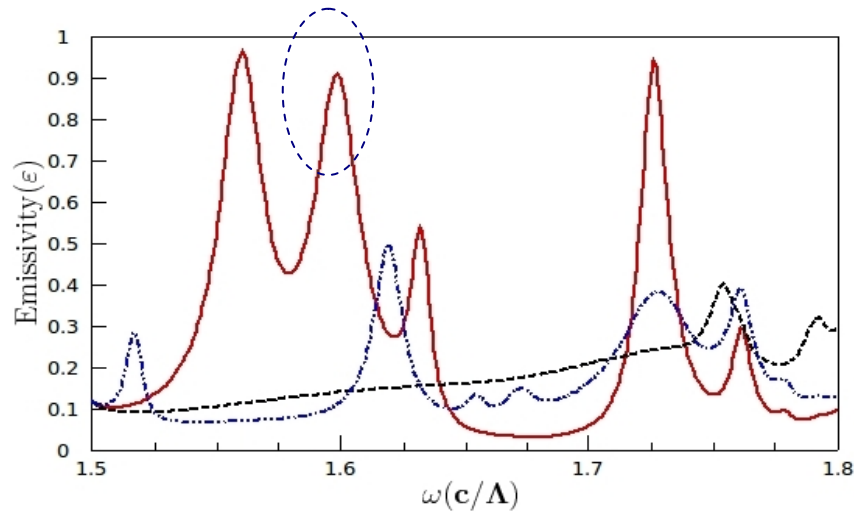


# Coupled SPP with PC mode



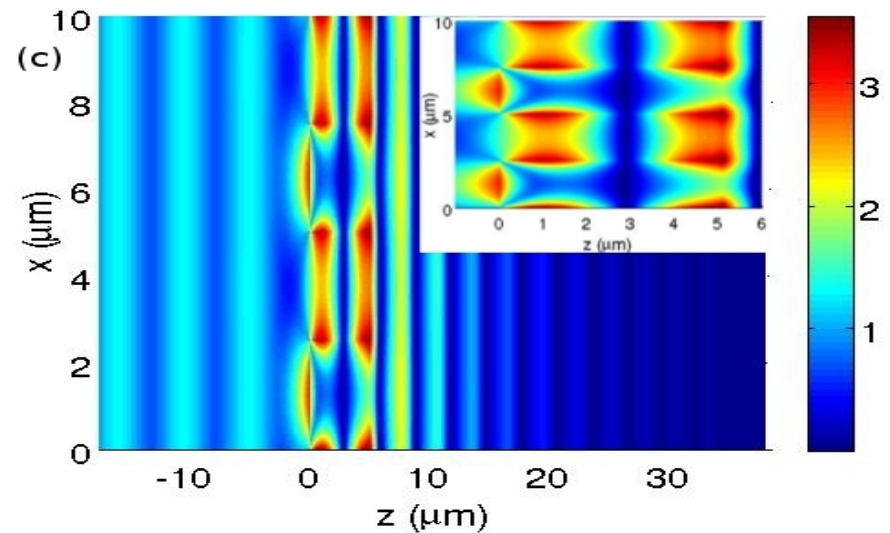
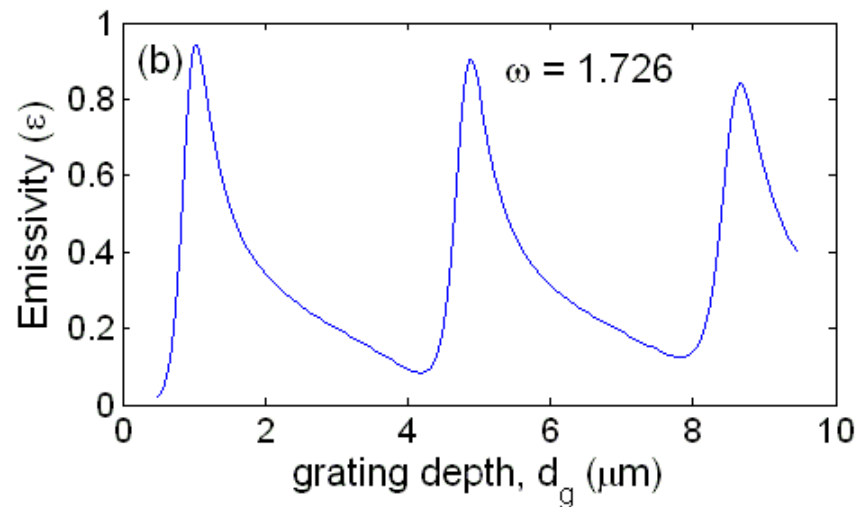
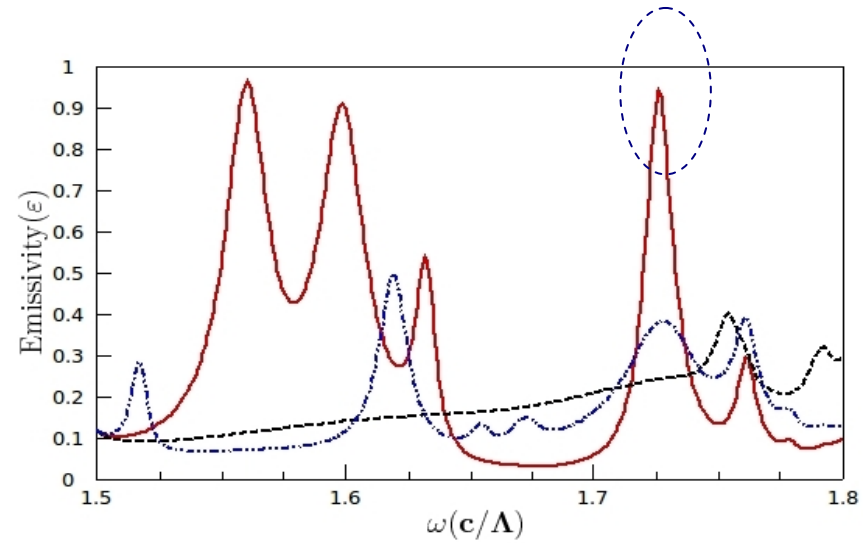
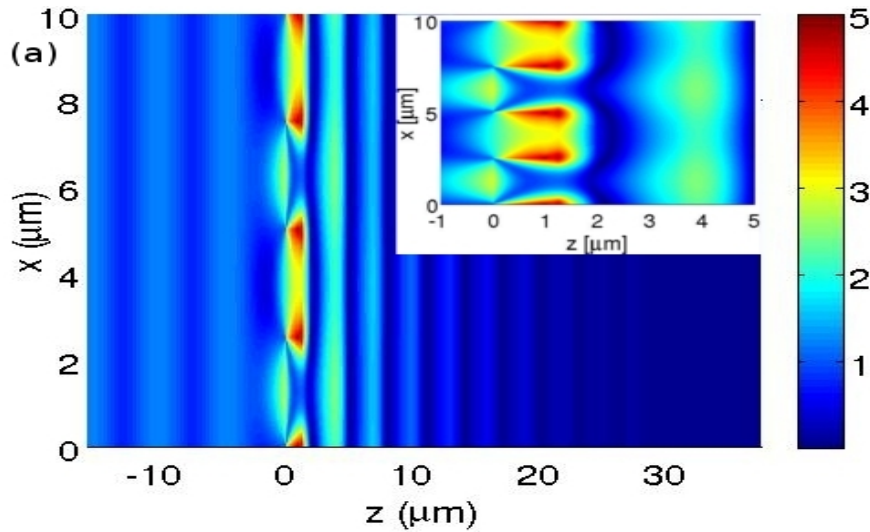


# Coupled PC mode with SPP



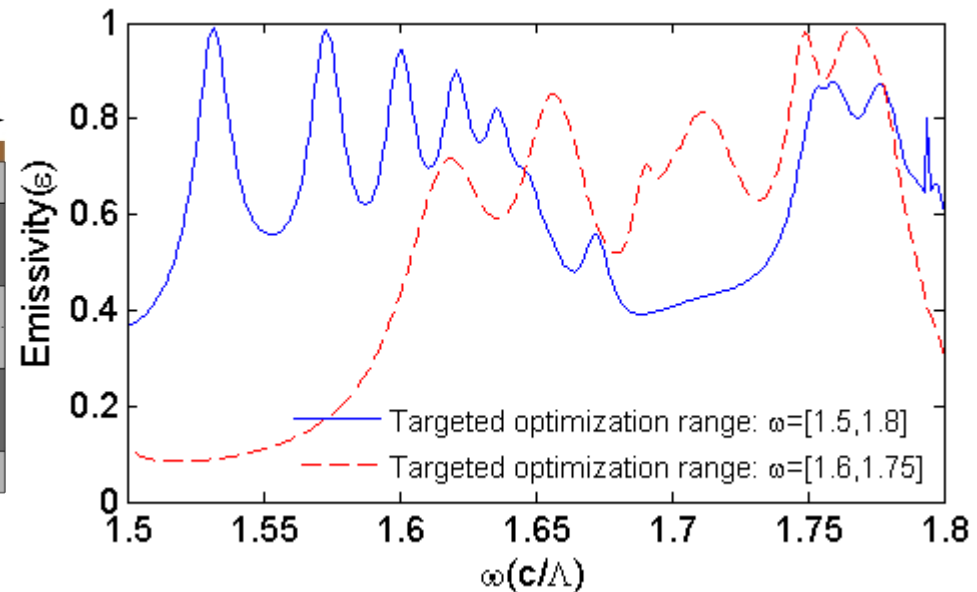
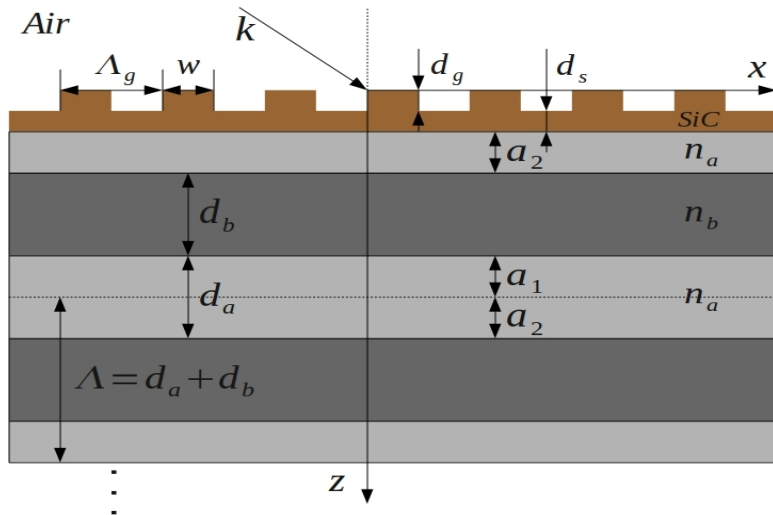
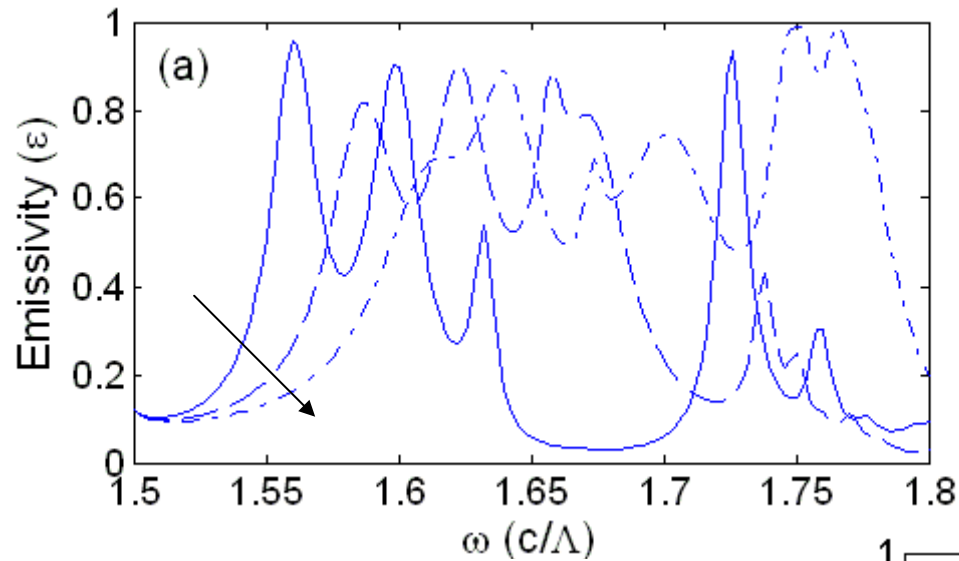


# Magnetic polariton coupled with SPP



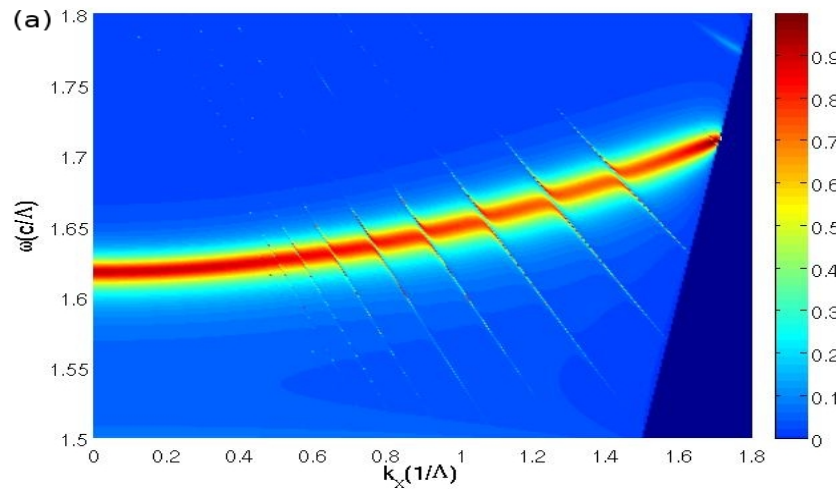


# Geometric effect and optimization

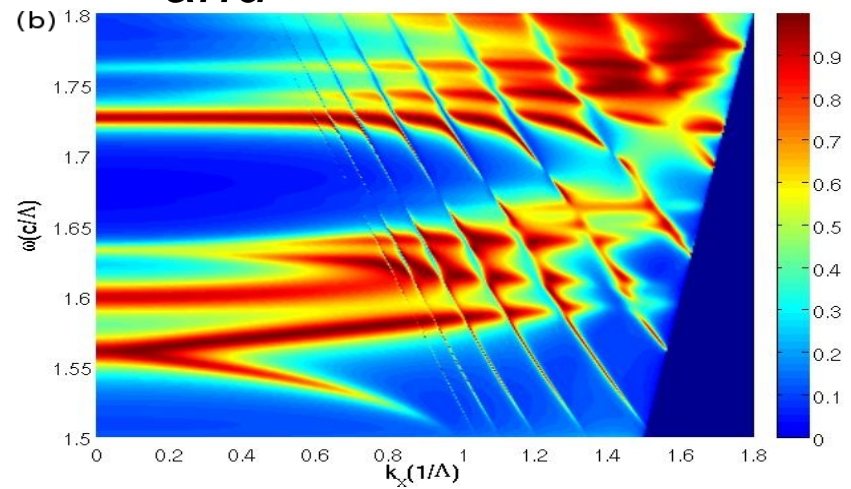




Distribution of the emissivity as a function of  $\omega$  and  $k_x$



- TE-polarization
- The emissivity strongly depends on the direction
- PC surface mode and no SPhPs
- Sharp emissivity peaks

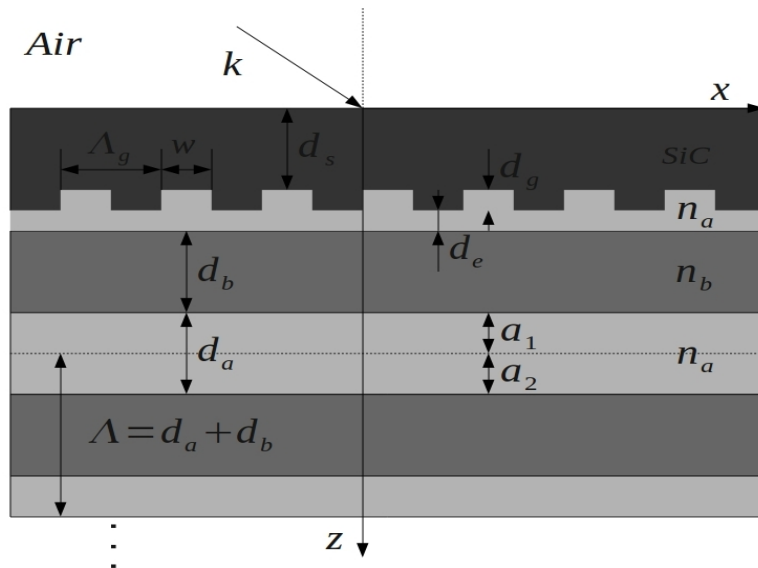


- TM-polarization
- The emissivity enhancement due to PC mode is quasi angle-independence.
- multiple modes

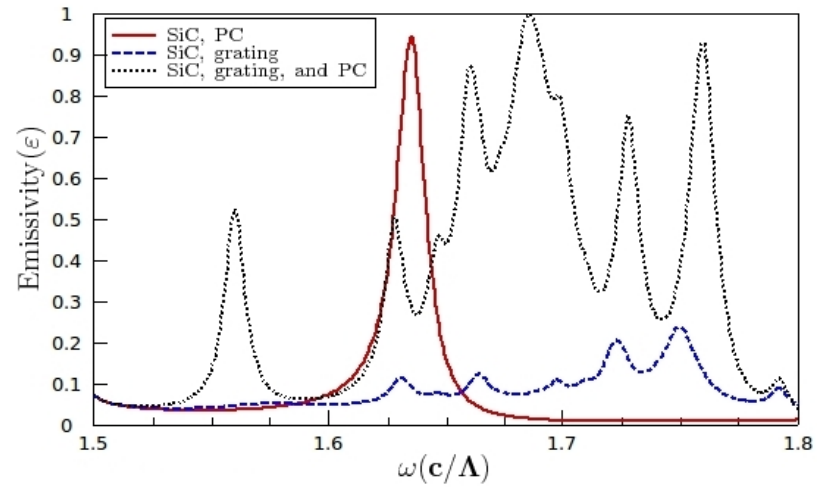
Wang, Fu, and Tan, JHT (in press)



- grating beneath a film



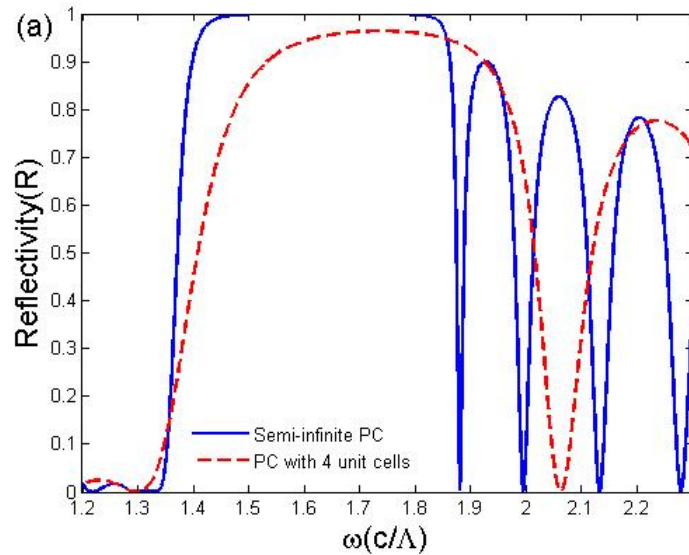
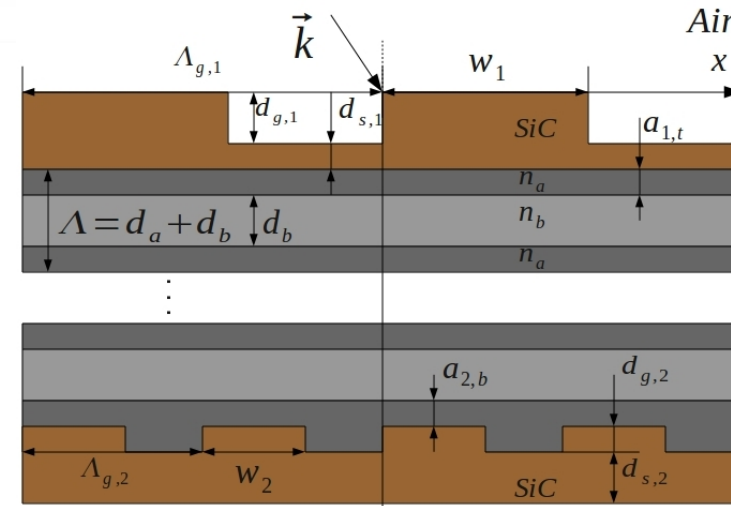
- Emissivity at normal direction



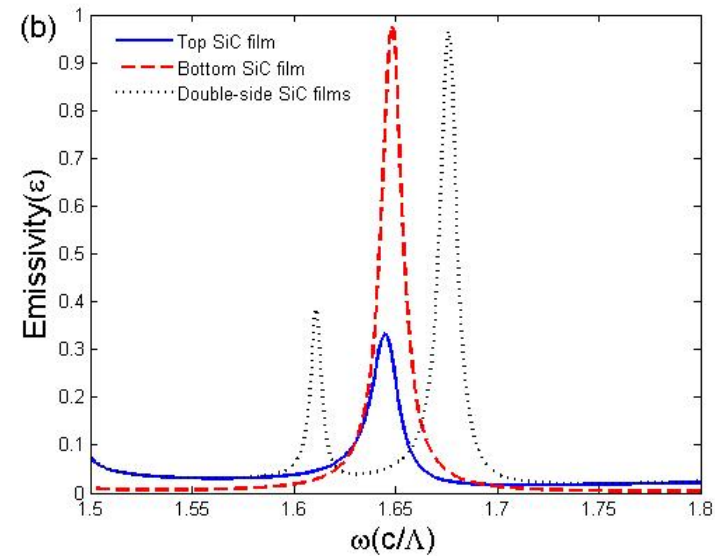




A structure with double-sided gratings: in this case the PC has finite number of unit cells

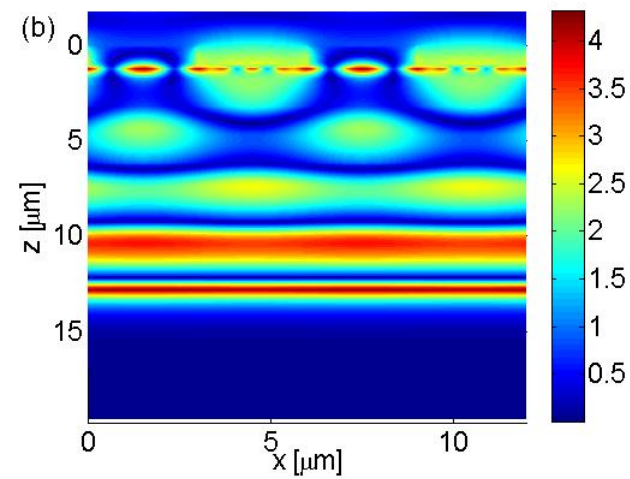
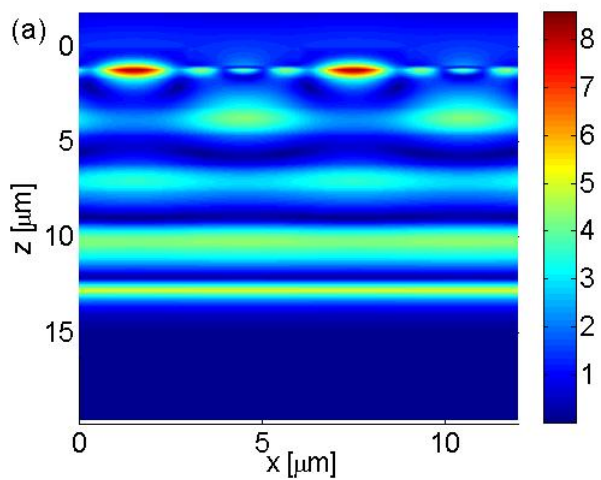
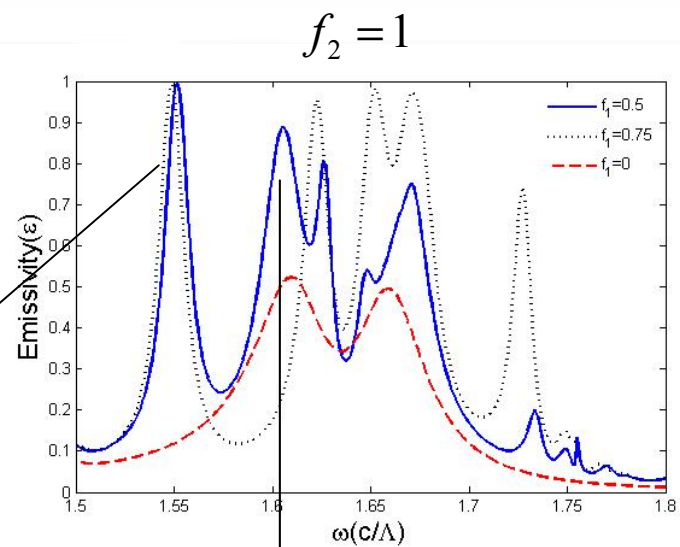
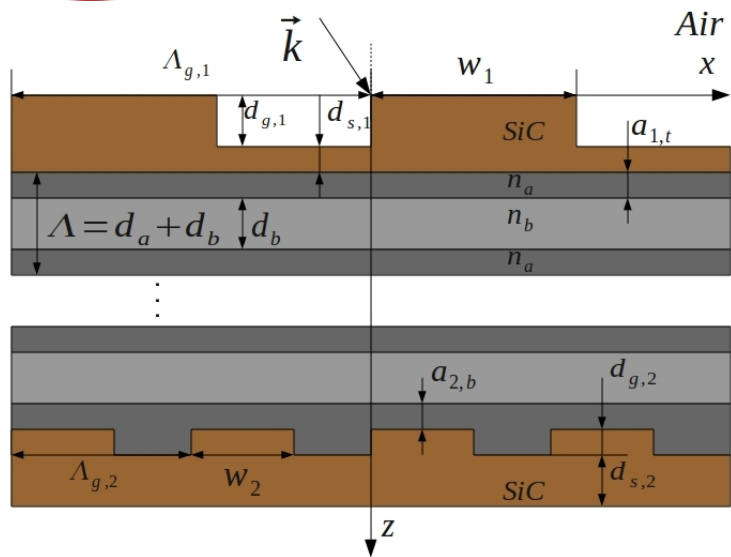


The band gap



The PC mode



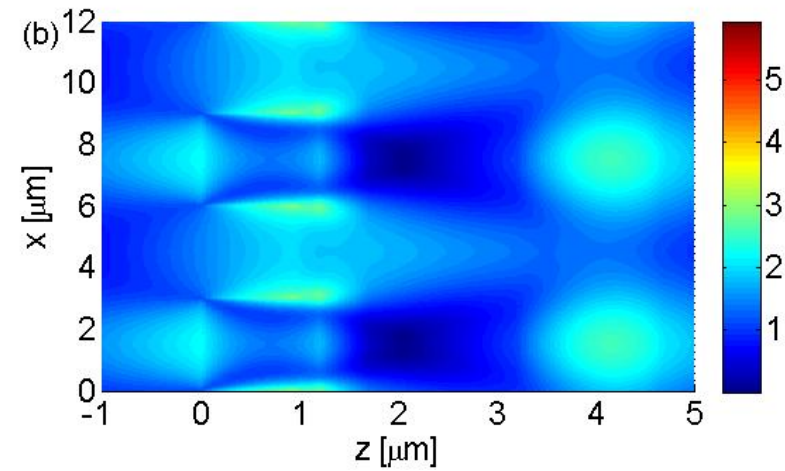
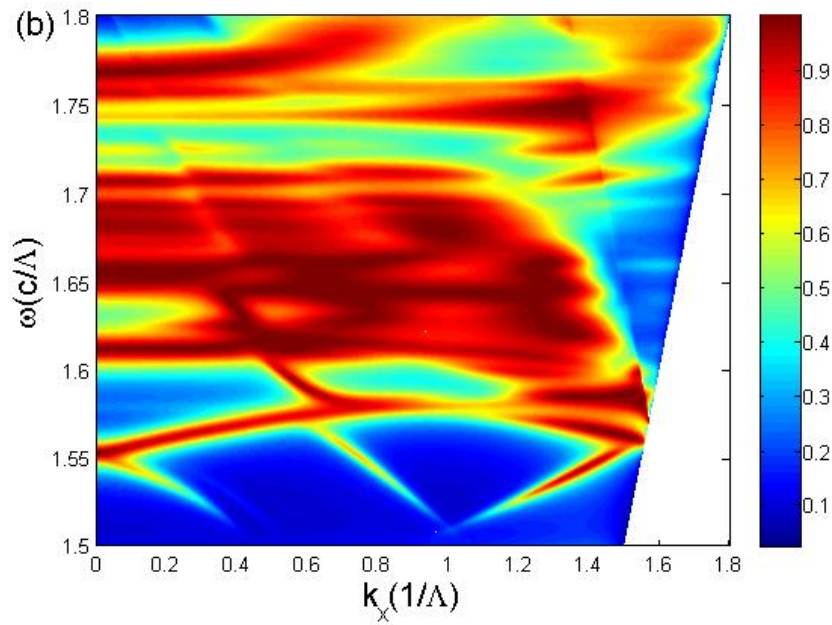
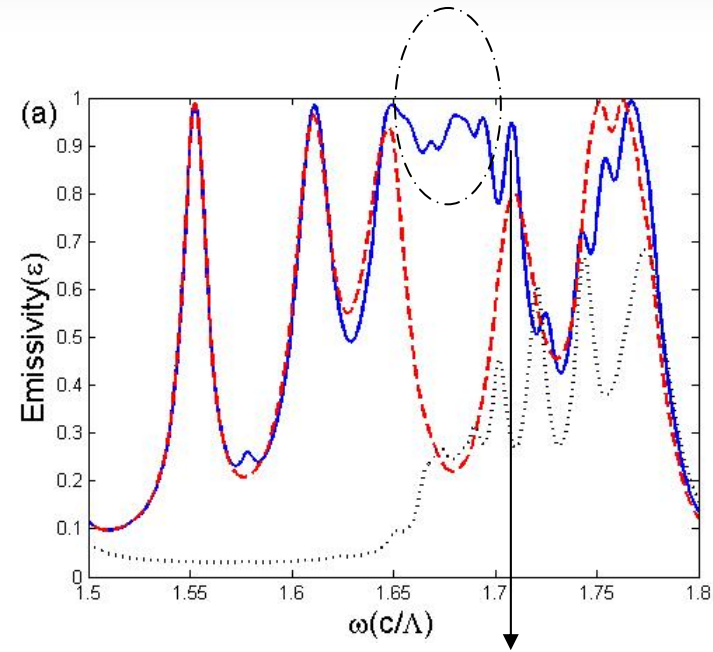




# Emissivity of the structure

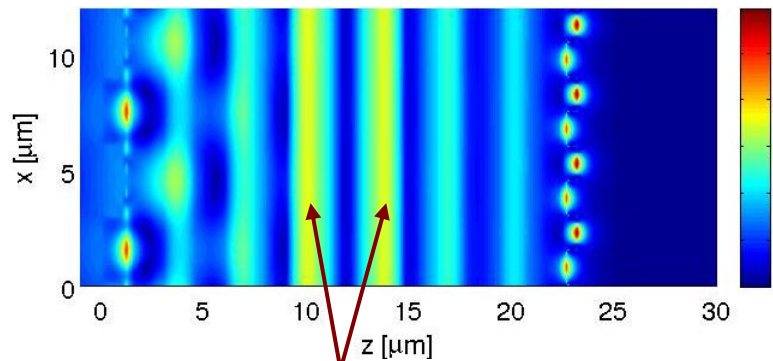
Dotted:  $f_1=1$

Dashed:  $f_1=f_2=0.5$





## Effect of defect on the excitation of SPhP

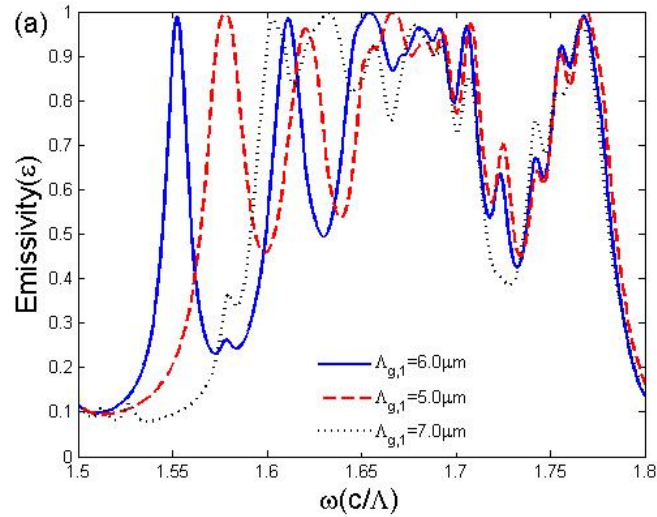


**Excitation of  
a defect mode**

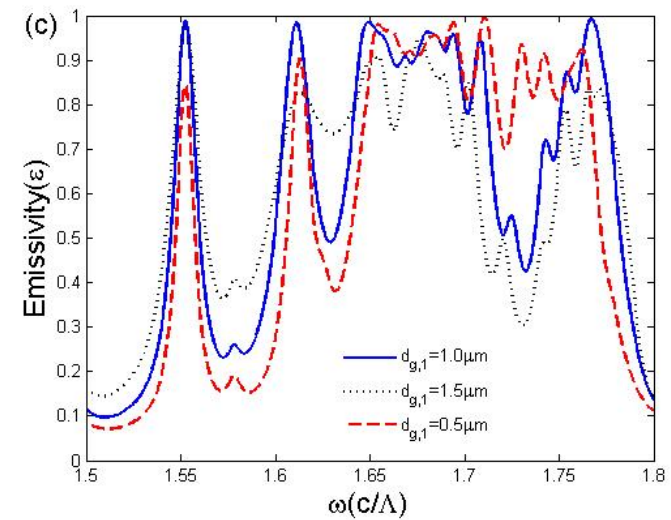
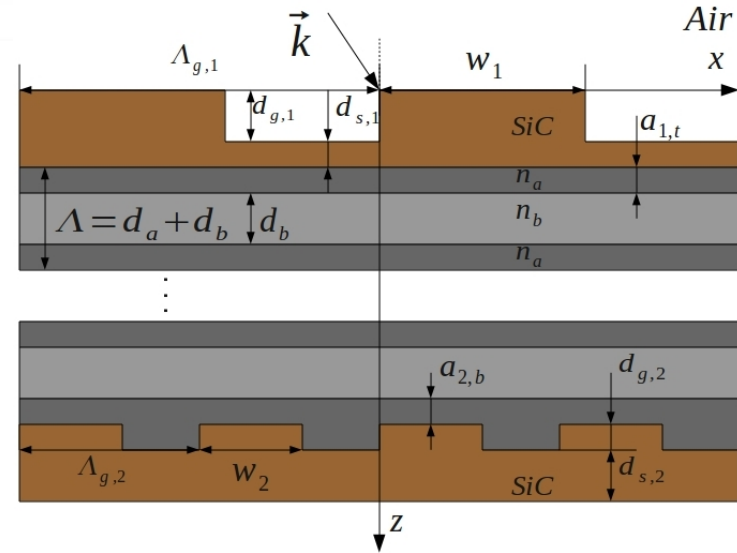
**Excitation of SPhP, with the effect of  
a defect mode sustained by a defect  
located between 2<sup>th</sup> and 3<sup>th</sup> period.  
Normal direction for TM waves  
 $\omega = 1.68$**



# Effect of geometric parameters on the emission pattern

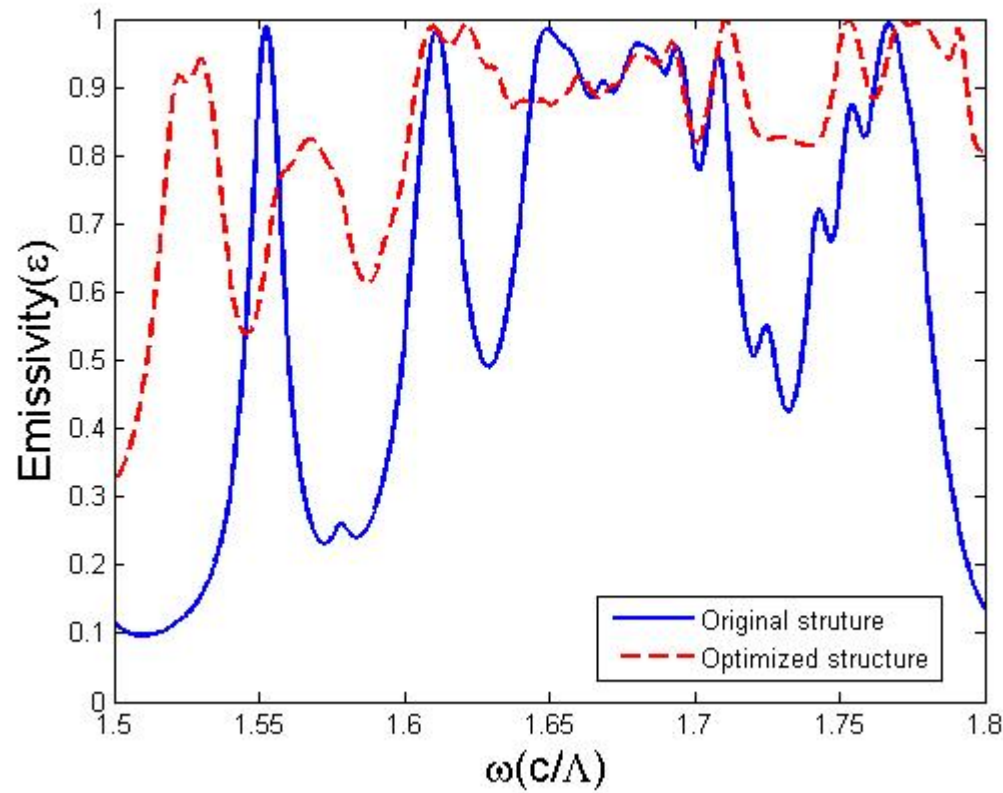


Wang, Fu, and Tan, JQSRT (in press)





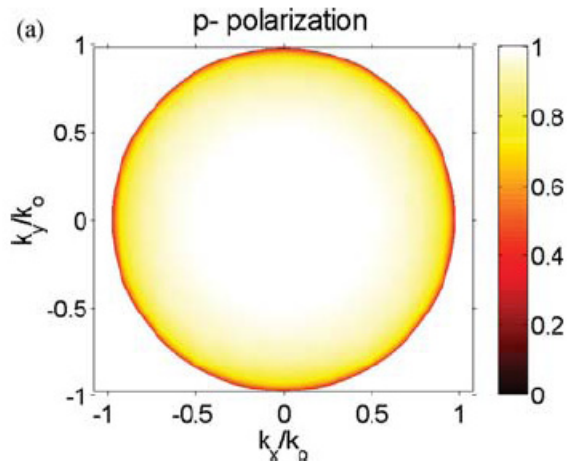
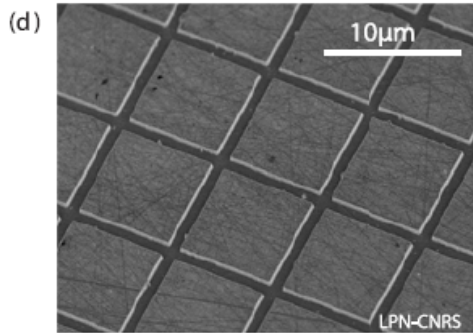
# Optimized emissivity at normal direction for p-polarization





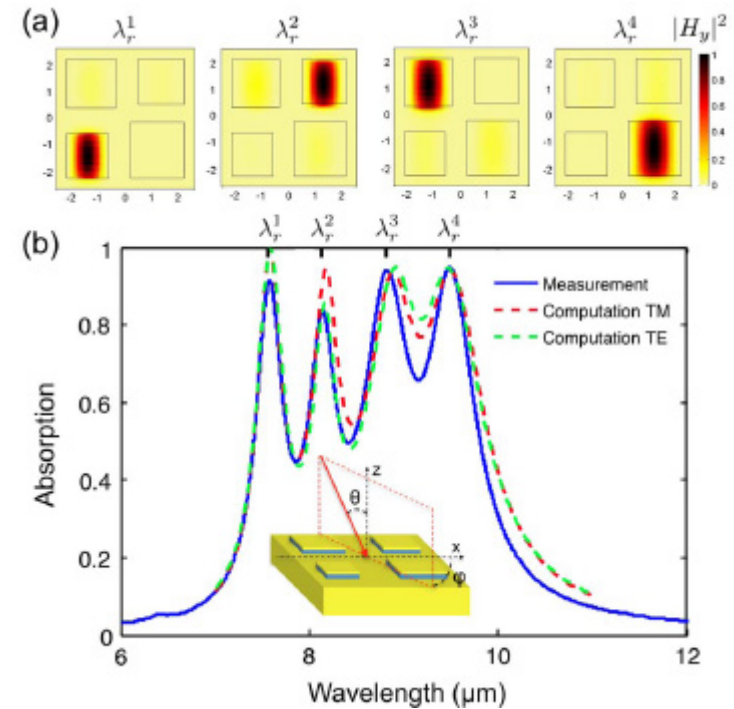


# 2-D gratings



Arnold et al, PRB, 2012

Omni-directional emission is due to surface polaritons

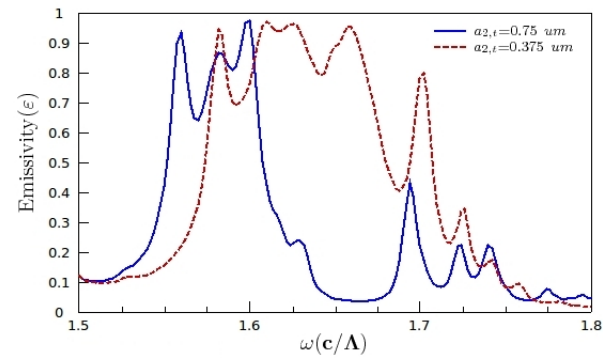
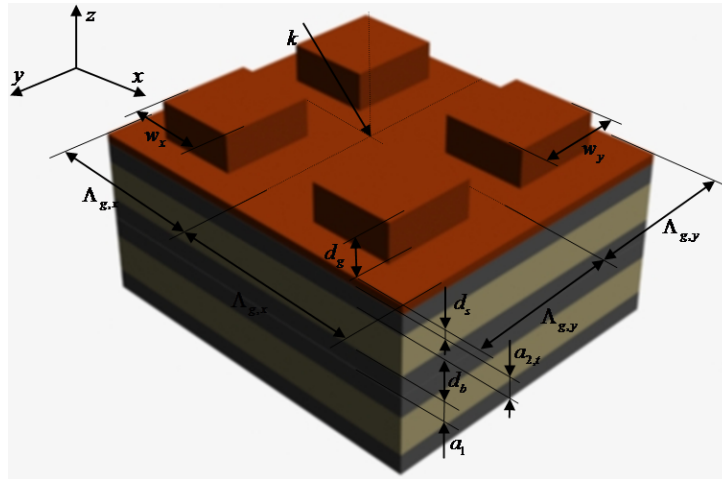


Bouchon et al, Opt Lett, 2012

Different resonant patterns are found in individual MIM patches



## A 2D SiC grating on PC and the spectral normal emissivity







## Summary

1. Interaction and coupling of different electromagnetic modes may result in large enhancement of the emissivity of a microstructure in broadened spectral band and range of emission angle
2. Manipulation and optimization of thermal emission from microstructures can be achieved by tuning the geometric parameters of the structure.



北京大學  
PEKING UNIVERSITY



**Thank you for your attention!**

