

Super-Planckian Near-Field Thermal Emission with Phonon-Polaritonic Hyperbolic Metamaterials



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Abstract

The radiative heat flux between two bodies is for large separation distances limited by the Stefan-Boltzmann law in which only the propagating modes are taken into account. However, for distances smaller than the thermal wavelength $\lambda_{th} = hc/(k_B T)$ evanescent modes start to contribute to the heat flux and can exceed the black body limit by orders of magnitude. The common paradigm is that a maximum near-field contribution can be obtained by using materials supporting surface polariton modes resulting in large quasi-monochromatic spectral heat fluxes restricted to a small frequency band around the surface mode resonance frequency. On the one hand, we show that using a metamaterial consisting of a periodic array of SiC nanowires with a dominant contribution in the near-field due to hyperbolic modes can surpass the surface mode contribution of bulk SiC. On the other hand, we investigate the heat flux between two periodic bilayer SiC/SiO₂ structures and show that the choice of the topmost layer material determines if the heat flux is dominated by surface or hyperbolic modes.

Radiative Heat Transfer at the Nanoscale

- Heat transfer coefficient (HTC)

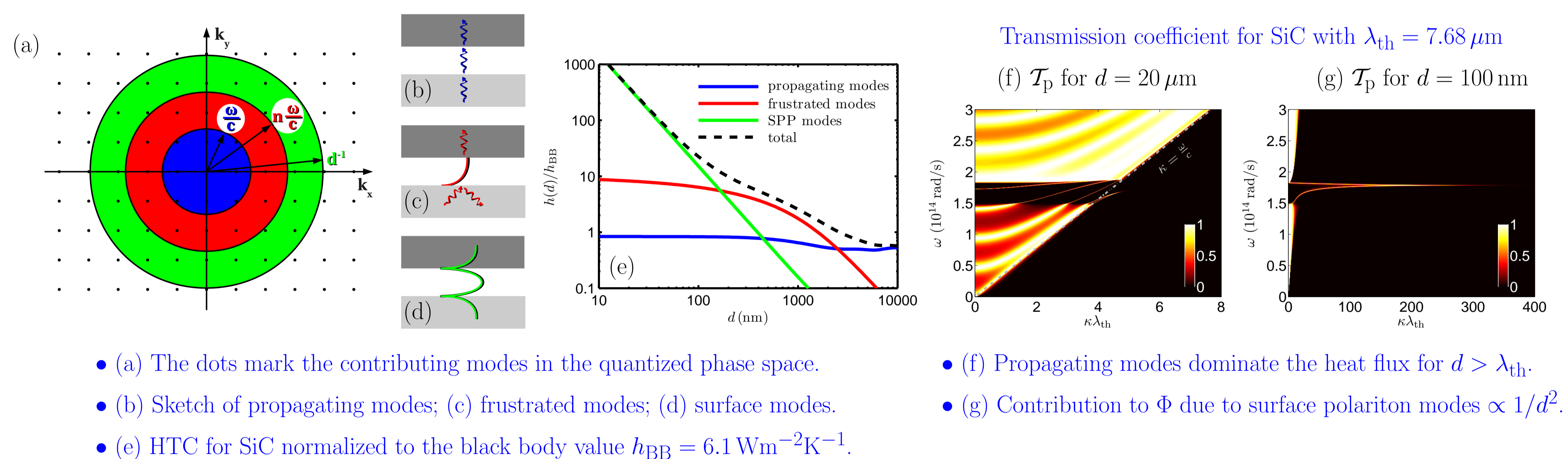
$$h(d) = \int_0^\infty \frac{d\omega}{2\pi} \frac{\hbar^2 \omega^2}{k_B T^2} \frac{e^{-\frac{\hbar\omega}{k_B T}}}{(e^{\frac{\hbar\omega}{k_B T}} - 1)^2} H(\omega, d).$$

- Spectral heat transfer coefficient

$$H(\omega, d) = \sum_{j=s,p} \int_0^\infty \frac{d^2\kappa}{(2\pi)^2} \mathcal{T}_j(\omega, \kappa; d).$$

- Transmission coefficient

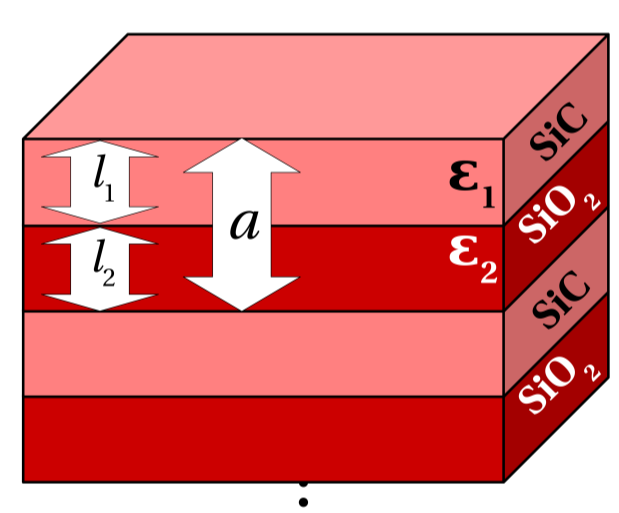
$$\mathcal{T}_j(\omega, \kappa, d) = \begin{cases} \frac{(1-|r_j^+|^2)(1-|r_j^-|^2)}{4\text{Im}(r_j^+)\text{Im}(r_j^-)e^{-2\text{Im}(k_z d)}} & , \kappa \perp \mathbf{e} \\ \frac{1-|r_j^+|^2}{1-|r_j^-|^2} e^{2\text{Im}(k_z d)} & , \kappa \parallel \mathbf{e} \end{cases}$$



- (a) The dots mark the contributing modes in the quantized phase space.
- (b) Sketch of propagating modes; (c) frustrated modes; (d) surface modes.
- (e) HTC for SiC normalized to the black body value $h_{BB} = 6.1 \text{ Wm}^{-2}\text{K}^{-1}$.

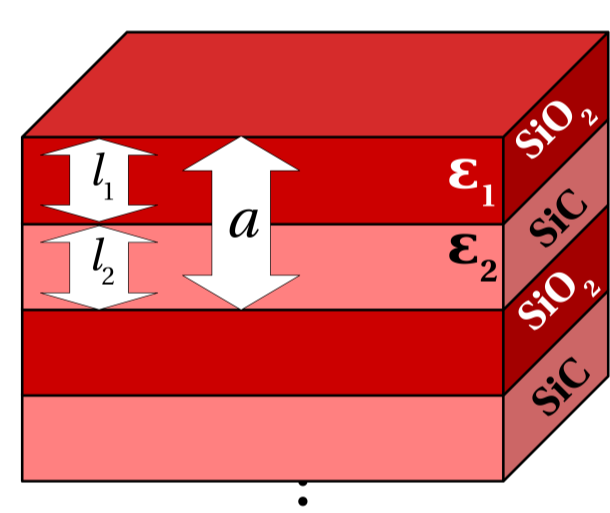
- (f) Propagating modes dominate the heat flux for $d > \lambda_{th}$.
- (g) Contribution to Φ due to surface polariton modes $\propto 1/d^2$.

Layered Composite Structure [1]

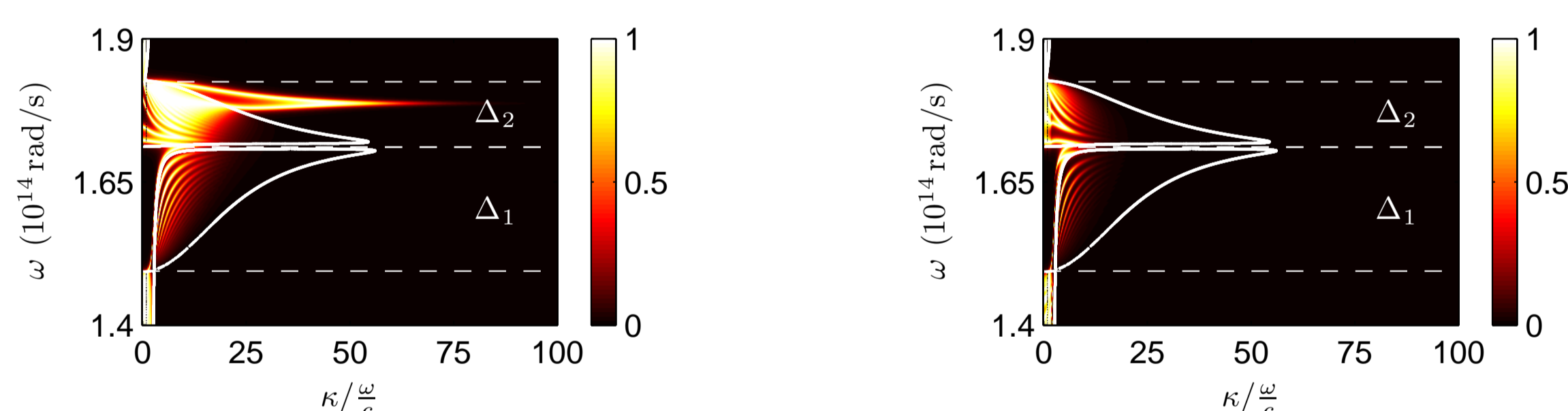


- The Bloch mode dispersion relation for p-modes:

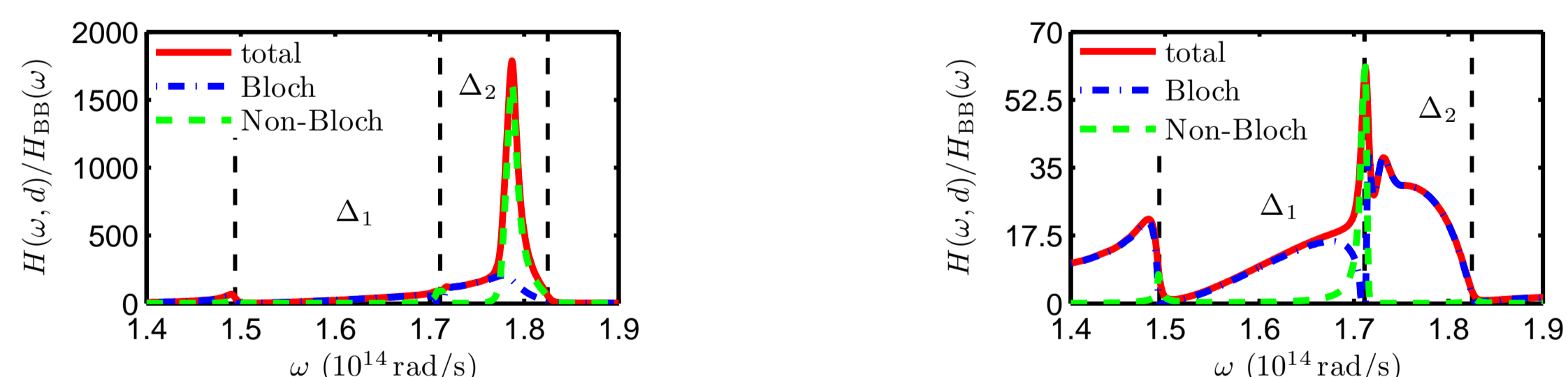
$$\cos(Kz a) = \cos(k_{z1} l_1) \cos(k_{z2} l_2) - \frac{1}{2} \left(\frac{\epsilon_2 k_{z1}}{\epsilon_1 k_{z2}} + \frac{\epsilon_1 k_{z2}}{\epsilon_2 k_{z1}} \right) \sin(k_{z1} l_1) \sin(k_{z2} l_2).$$



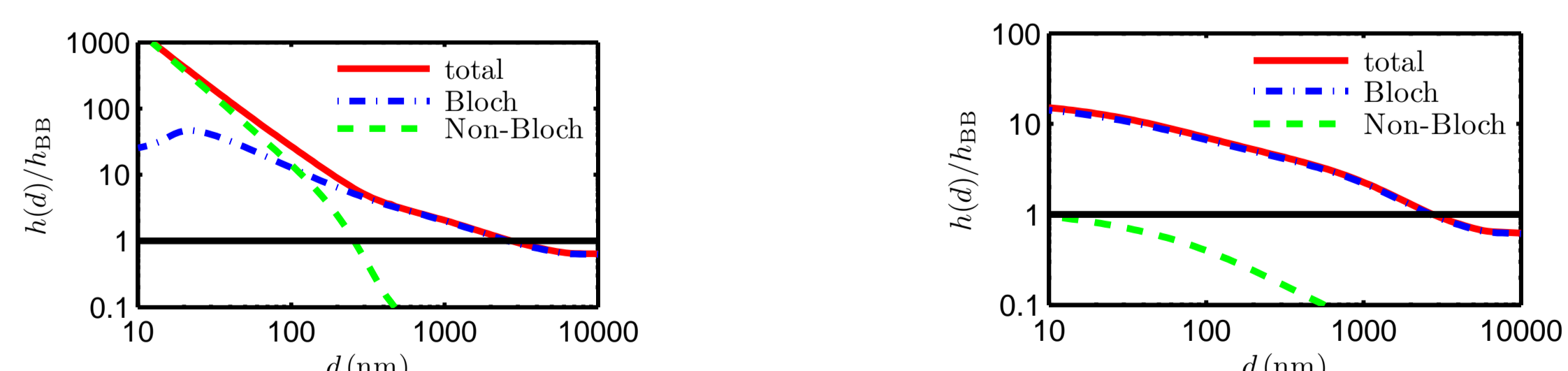
- Layered composite structure consisting of SiC and SiO₂ with (left) SiC and (right) SiO₂ as topmost material. Its permittivities are ϵ_1 and ϵ_2 and its layer thicknesses are l_1 and l_2 . The period of the structure is $a = l_1 + l_2$.



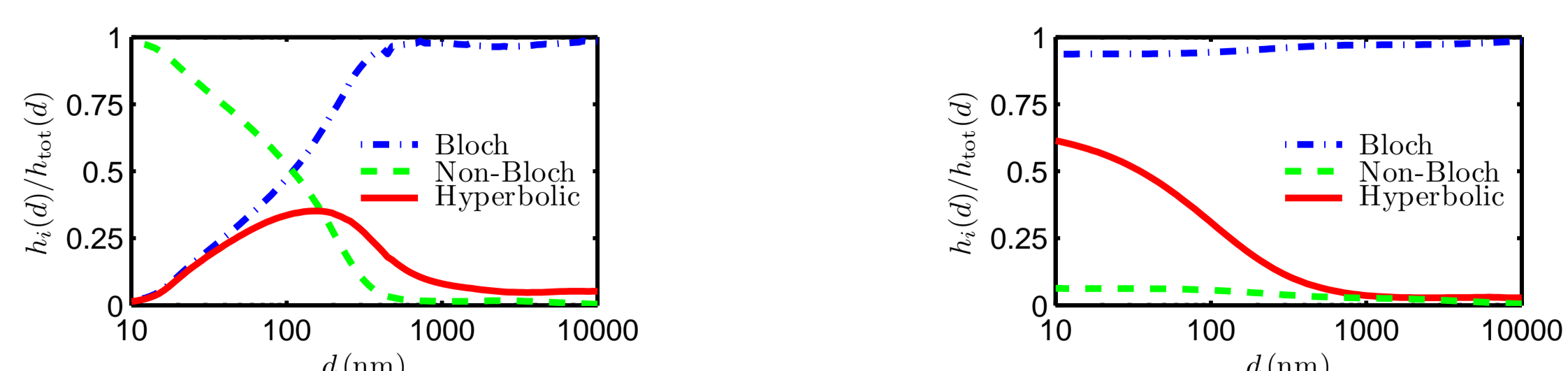
- Transmission coefficient \mathcal{T}_p for $l_1 = l_2 = 100 \text{ nm}$ and $d = 100 \text{ nm}$ with (left) SiC and (right) SiO₂ as topmost material. The white solid line mark the Bloch bands and the dashed lines mark the effective hyperbolic bands.



- Spectral heat transfer coefficient $H(\omega, d)$ normalized to the black-body result $H_{BB}(\omega) = \omega^2/(2\pi c^2)$ with (left) SiC and (right) SiO₂ as topmost material for $T = 300 \text{ K}$.



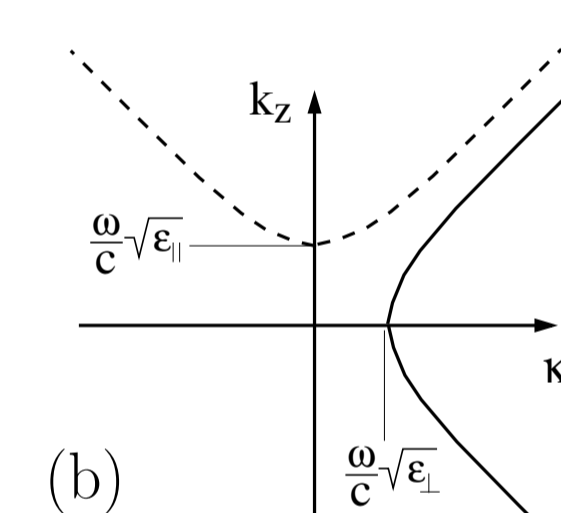
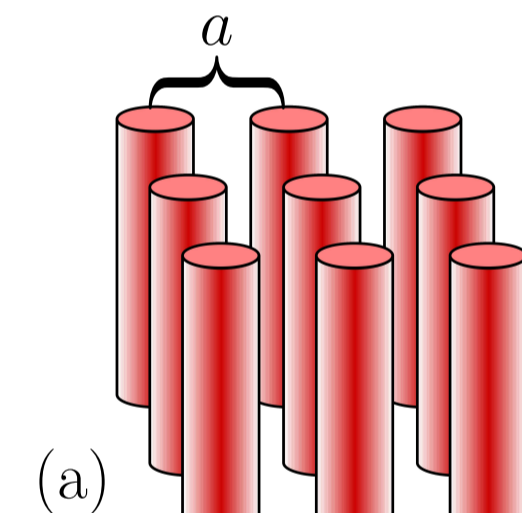
- Heat transfer coefficient $h(d)$ normalized to the black-body value $h_{BB} = 6.1 \text{ Wm}^{-2}\text{K}^{-1}$ with (left) SiC and (right) SiO₂ as topmost material.



- Heat transfer coefficients of the Bloch modes h_B , the modes outside the Bloch bands h_{NB} , and the hyperbolic modes h_{hm} normalized to the total heat transfer coefficient $h_{tot}(d) = h_B + h_{NB}$ with (left) SiC and (right) SiO₂ as topmost material.

[1] S.-A. Biehs, M. Tschikin, R. Messina, and P. Ben-Abdallah, Appl. Phys. Lett. **102** 131106 (2013).

Hyperbolic Nanowire Material [2]

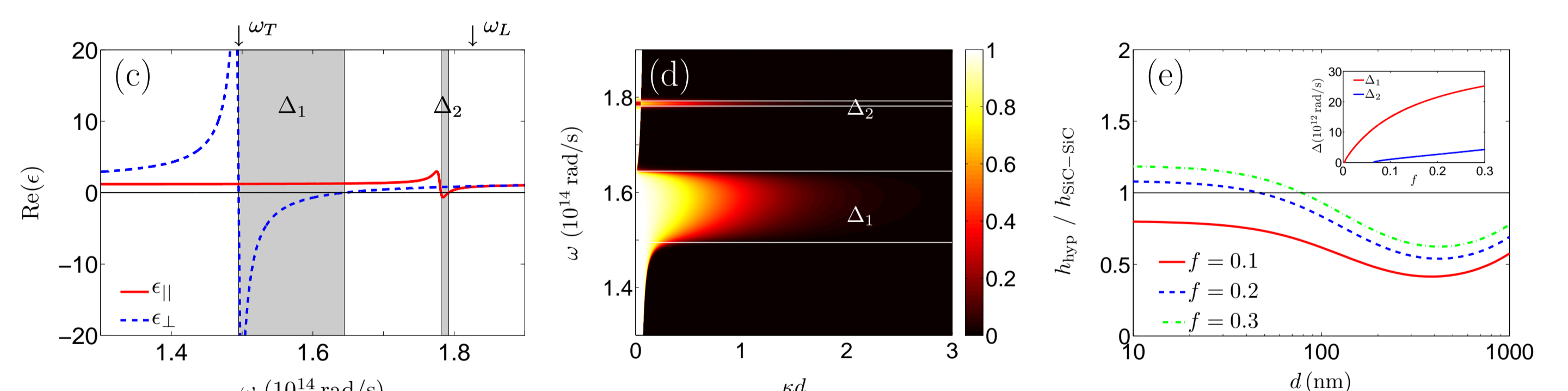


- For $\lambda_{th} \gg a$: the effective material properties can be described by the Maxwell-Garnett expressions

$$\epsilon_{\parallel} = \frac{\epsilon(\omega)(1+f) + (1-f)}{\epsilon(\omega)(1-f) + (1+f)},$$

$$\epsilon_{\perp} = (1-f) + \epsilon(\omega)f.$$

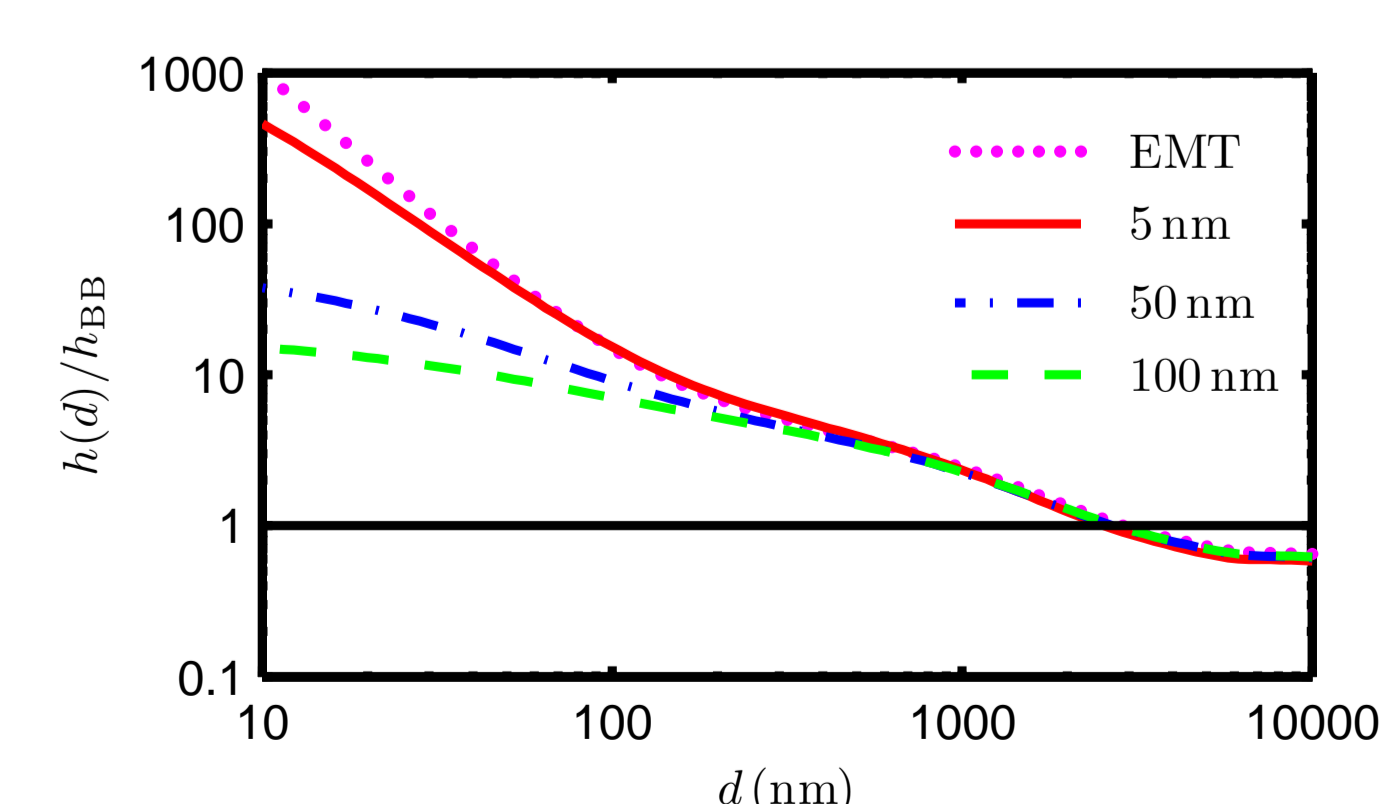
- Fig. (a) Hyperbolic material consisting of a periodical array of nanowires with f the volume filling fraction.
- Fig. (b) Hyperbolic dispersion relation $\frac{\kappa_{\perp}^2}{\epsilon_{\perp}} + \frac{\kappa_{\parallel}^2}{\epsilon_{\parallel}} = \frac{\omega^2}{c^2}$ with different signs for ϵ_{\parallel} and ϵ_{\perp} (i.e. $\epsilon_{\parallel}\epsilon_{\perp} < 0$).



- Fig. (c) Real part of ϵ_{\parallel} and ϵ_{\perp} for SiC nanorods embedded in vacuum with $f = 0.1$. Δ_1 and Δ_2 mark the hyperbolic regions.
- Fig. (d) Transmission coefficient \mathcal{T}_p for $f = 0.1$ and $d = 100 \text{ nm}$. High transmission in the hyperbolic regime. No surface modes!
- Fig. (e) Heat transfer coefficient for different filling fractions normalized to the value for two flat SiC half spaces ($T = 300 \text{ K}$). Inset: Δ_1 and Δ_2 over f .

[2] S.-A. Biehs, M. Tschikin, and P. Ben-Abdallah, Phys. Rev. Lett. **109** 104301 (2012).

Super-Planckian Hyperbolic Emitter [1]



- The heat transfer coefficient for the layer structure with the passive material SiO₂ as topmost layer for different layer thicknesses $l_1 = l_2$ ($f = 0.5$) of 100 nm, 50 nm, and 5 nm normalized to the black-body value $h_{BB} = 6.1 \text{ Wm}^{-2}\text{K}^{-1}$.
- For decreasing layer thicknesses the heat transfer coefficient increases drastically for small separation distances.
- The effective medium theory (EMT) tends to overestimate the radiative heat transfer.

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